### LATTICES IN S-ADIC LIE GROUPS

### YVES BENOIST AND JEAN-FRANÇOIS QUINT

ABSTRACT. We show that any finite volume quotient of an S-adic Lie group admits a fibration with compact fibers over some finite volume quotient of a product of algebraic semisimple p-adic Lie groups.

We also prove a similar decomposition for lattices in a solvable locally compact group.

#### 1. Introduction

This text can be seen as a short survey of elementary results about lattices  $\Lambda$  in a real Lie group G. However, its main purpose is the extension of some of these results to the context of lattices  $\Lambda$  in more general locally compact groups G. In particular, when G is an S-adic Lie group i.e. a group which is locally the product of real and p-adic Lie groups (see Definition 4.1), we prove in Theorem 6.6 a decomposition theorem of  $\Lambda$  with respect to the adjoint action of G on a suitable semisimple quotient  $\mathfrak s$  of its Lie algebra  $\mathfrak g$ .

With the same methods we prove also in Proposition 3.4 a similar decomposition for lattices in a solvable locally compact group. The proof relies on a property of certain minimal actions of  $\mathbb{R}^d$  that we call strong minimality.

Our motivation to prove the decomposition theorem 6.6 comes from our paper [4]: In this paper we prove, when G is a real Lie group, some recurrence properties of random walks on  $G/\Lambda$  which were conjectured in [9]. Our decomposition theorem 6.6 is then the key ingredient which allows us, in the last section of [4], to extend these recurrence properties from the framework of real Lie groups to the one of S-adic Lie groups. These recurrence properties will be used in [5] to extend the results of [3].

Here is the structure of the paper:

- Section 2 : General facts about minimal actions of abelian groups.
- Section 3: General facts about lattices in locally compact groups and decomposition of lattices in solvable locally compact groups.
- Section 4: General facts about S-adic Lie groups and Borel density

theorem.

- Section 5 : A cocompactness criterion for lattices in S-adic Lie groups.
- Section 6 : The decomposition theorem for lattices in S-adic Lie groups.

We thank U. Bader, P.E. Caprace, T. Gelander and S. Mozes for showing us their unpublished example 3.5 related to [1].

## 2. MINIMAL ACTIONS OF $\mathbb{R}^d$

In this section we give a criterion for a locally compact space X, equipped with a continuous minimal action of  $\mathbb{R}^d$ , to be compact.

Let  $A = \mathbb{R}^d$  and X be a locally compact A-space, i.e. a space endowed with a continuous action of A. We denote this action by  $(a, x) \mapsto a x$ .

An orbit Ax is said to be *strongly* dense if, for every non-empty open convex cone  $C \subset A$ , the set Cx is dense.

We recall that the A-space X is minimal if all its A-orbits are dense. The A-space X is said to be strongly minimal if all its A-orbits are strongly dense.

**Proposition 2.1.** Let X be a minimal locally compact  $\mathbb{R}^d$ -space.

- a) If the action preserves a Borel probability measure  $\mu$  on X, then there exists at least one strongly dense orbit in X.
- b) If the space X is compact, the action is strongly minimal.
- c) Conversely, if the action is strongly minimal, X is compact.

By reading the proof, it is worth keeping in mind the following two examples.

**Example 2.2.** There exists a minimal action of  $\mathbb{R}^d$  which does not contain any strongly dense orbit.

*Proof.* The action by translations of  $\mathbb{R}$  on itself, or the product action of  $\mathbb{R}^2$  on  $\mathbb{R} \times X'$  where X' is a minimal  $\mathbb{R}$ -space.

**Example 2.3.** There exists a continuous action of  $\mathbb{R}$  on a non-compact locally compact space X which is minimal and preserves a Borel probability measure  $\mu$  on X.

*Proof.* Our example is a suspension over an irrational rotation of the circle. Let  $\mathbb{T} := \mathbb{R}/\mathbb{Z}$  be the circle,  $\alpha \in \mathbb{T}$  an irrational element,  $d\theta$  the Lebesgue probability on  $\mathbb{T}$  and  $f : \mathbb{T} \to (0, \infty]$  a continuous function such that  $\int_{\mathbb{T}} f(\theta) d\theta = \frac{1}{2}$  and  $f^{-1}(\infty) = \{0\}$ . We set

$$Y := \{(\theta, t) \in \mathbb{T} \times \mathbb{R} \mid -f(\theta) \le t \le f(\theta + \alpha)\}\$$

and  $X = Y/_{\sim}$  the quotient space for the identifications

$$(\theta, -f(\theta)) \sim (\theta - \alpha, f(\theta))$$
, for all  $\theta \neq 0$ .

The space X is locally compact but not compact. There exists a continuous flow  $s \mapsto \varphi_s$  on X such that  $\varphi_s(\theta,t) = (\theta,t+s)$  as soon as both  $(\theta,t)$  and  $(\theta,t+s)$  are in Y. This flow is minimal and it preserves the probability measure  $\mu = d\theta \otimes dt$ . This flow is not strongly minimal since the only limit point of the half orbit  $\mathbb{R}_+ x_0$  of the point  $x_0 := (-\alpha, 0)$  is the point  $x_\infty := (-\alpha, \infty)$ .

Proof of Proposition 2.1. We endow  $\mathbb{R}^d$  with the usual euclidean norm  $\|.\|$ . Let  $\mathcal{C}$  be the set of open convex cones  $C \subset \mathbb{R}^d$ .

For  $C \in \mathcal{C}$  and  $x \in X$ , we define the  $\omega_C$ -limit set of x to be

$$\omega_C(x) := \bigcap_{a \in A} \overline{(a+C)x}.$$

By definition this set is closed and A-invariant. Since the action is minimal, this set is either empty or equal to X.

a) We will check that

For  $\varepsilon > 0$ , choose a compact set  $K \subset X$  with  $\mu(K) > 1-\varepsilon$ . By Poincaré recurrence theorem, for  $\mu$ -almost every x in K, for every rational vector a in  $\mathbb{R}^d$ , infinitely many translates (na)x,  $n \in \mathbb{N}$ , belong to K. We note that the interior of any  $C \in \mathcal{C}$  contains a rational vector a. Hence for such a point x, for every  $C \in \mathcal{C}$ , one has  $\omega_C(x) \cap K \neq \emptyset$  and thus, since the action is minimal, one has  $\omega_C(x) = X$ . Since  $\varepsilon$  is arbitrarily small, this proves (2.1). In particular, the set given in (2.1) is non empty. This proves the claim, since every point in this set has a strongly dense orbit.

- b) Since X is compact, all the sets  $\omega_C(x)$  are non empty. Since the action is minimal, they are equal to X. Hence the action is strongly minimal.
- c) We can choose a constant  $\varepsilon_0 > 0$  and d+1 open convex cones  $C_0, \ldots, C_d$  of  $\mathbb{R}^d$  such that, for every family of d+1 vectors  $v_0, \ldots, v_d$  with  $||v_i|| = 1$  and  $v_i \in C_i$ ,
- (2.2) the ball  $B(0, \varepsilon_0)$  is contained in the convex hull of  $v_0, \ldots, v_d$ .

Let U be a non-empty open subset of X with compact closure K. For  $0 \le i \le d$ , let  $T_i: X \to [0, \infty]$  be the "hitting time of U in the direction  $C_i$ ":

$$(2.3) T_i(x) = \inf\{\|c\| \ge 1 \mid c \in C_i, \ cx \in U\}.$$

Since the action is strongly minimal, the function  $T_i$  is finite everywhere. Since U is open, the function  $T_i$  is upper semi-continuous. Hence, since K is compact, the constant

$$M_0 := \sup\{T_i(x) \mid 0 \le i \le d, x \in K\}$$

is finite. For every  $x \in X$ , we introduce the set of "hitting times"

$$A_x := \{ a \in \mathbb{R}^d \mid ax \in K \}.$$

Since the action is minimal the closed set  $A_x$  is non-empty. Let a be an element of  $A_x$  with minimal norm. We claim that

$$||a|| \le \frac{M_0}{2\varepsilon_0}.$$

Indeed, for every  $0 \le i \le d$ , one can find  $c_i \in C_i$  with  $1 \le ||c_i|| \le M_0$  and  $a + c_i \in A_x$ . Since the element a has minimal norm in  $A_x$ , one has, for all i,

$$||a + c_i|| \ge ||a||,$$

that is,

$$||a||^2 + 2\langle a, c_i \rangle + ||c_i||^2 \ge ||a||^2.$$

Setting, for all  $i, v_i := \frac{1}{\|c_i\|} c_i$ , we get

$$2\langle a, v_i \rangle \ge -M_0.$$

Therefore, using (2.2), for any v in  $B(0, \varepsilon_0)$ , one also has

$$2\langle a, v \rangle > -M_0$$
.

Choosing  $v := -\frac{\varepsilon_0}{\|a\|} a$ , we get the expected bound (2.4).

This bound (2.4) proves that, for all x in X, there exists a in  $B(0, \frac{M_0}{2\varepsilon_0})$  and y in K such that  $x = a^{-1}y$ . In particular, it proves that the space X is compact.

We conclude this section by noting that these results can easily be adapted to actions of the group  $A = \mathbb{Z}^d$ .

### 3. Lattices in locally compact groups

We give elementary properties of lattices and we prove a decomposition result for lattices in a locally compact solvable group.

Let G be a locally compact group and H be a closed subgroup of G. We shall say that H has finite covolume in G if the quotient G/H admits a finite G-invariant Borel measure. For instance, a lattice is by definition a discrete finite covolume subgroup.

Let us state some elementary properties of finite covolume subgroups.

**Lemma 3.1.** Let G be a locally compact group and  $H_1$ ,  $H_2$  be two closed subgroups such that  $H_1 \subset H_2$ . Then  $H_1$  has finite covolume in G if and only if simultaneously  $H_1$  has finite covolume in  $H_2$  and  $H_2$  has finite covolume in G.

*Proof.* This is classical (see [13, Lemma 1.6]). Recall (see [16]) that a quotient G/H admits a G-invariant Radon measure if and only if the modular function of H is the restriction to H of the modular function of G.

If  $H_1$  has finite covolume in  $H_2$  and  $H_2$  has finite covolume in G, the transitivity formula for integration on homogeneous spaces (see [16]) proves that  $G/H_1$  supports a G-invariant measure with total mass 1.

Conversely, if  $H_1$  has finite covolume in G, then the image in  $G/H_2$  of the G-invariant probability measure on  $G/H_1$  is also G-invariant with total mass 1. The same transitivity formula proves that  $H_1/H_2$  supports also a  $H_1$ -invariant measure with total mass 1.

- **Lemma 3.2.** Let G be a locally compact group, H be a finite covolume closed subgroup of G, G' be an open subgroup of G and  $H' := H \cap G'$ .
- a) The group H' has finite covolume in G'.
- b) If H is cocompact in G then H' is cocompact in G'.
- c) Conversely, if H' is cocompact in G' and G' is normal in G then H is cocompact in G.
- *Proof.* a) The restriction of the G-invariant probability on G/H to the G'-orbit G'/H' is a non-zero finite G'-invariant measure.
- b) The G'-orbits in the compact space G/H are open hence closed. In particular G'/H' is compact.
- c) Since the group G'H is open, the space G/G'H is discrete. This space admits a finite measure which is invariant under the transitive action of the group G/G'. Hence, this space is finite, that is G/H is a finite union of G'-orbits. As each of these orbits is compact, G/H is compact.

From these results, we at once get the following

**Lemma 3.3.** Let N be a nilpotent locally compact group. Then any finite covolume closed subgroup  $H \subset N$  is cocompact.

*Proof.* Let Z be the center of N and  $N' := \overline{HZ}$ . By lemma 3.1, N' has finite covolume in N and H has finite covolume in N'.

Now, by an induction argument on the length of the central series of N, the finite covolume subgroup N'/Z of N/Z is cocompact. Hence N' is cocompact in N.

Besides, one has

$$[N', N'] \subset \overline{[HZ, HZ]} \subset \overline{[H, H]} \subset H.$$

Hence H is normal in N' and the quotient N'/H is a group. As its Haar measure is finite, it is compact and H is cocompact in N.

The proof of the following proposition will be much more delicate.

**Proposition 3.4.** Let G be a solvable locally compact group,  $G_e$  its connected component, and H a finite covolume closed subgroup of G. Then H is cocompact in the group  $\overline{HG_e}$ .

In particular, as proved by Mostow, when G is solvable and connected, any lattice H in G is cocompact in G.

If we set  $G_d$  for the totally discontinuous quotient  $G_d = G/G_e$  and  $H_d$  for the finite covolume closed subgroup  $H_d := \overline{HG_e}$ , Proposition 3.4 tells us that the finite volume quotient G/H fibers over the totally discontinuous finite volume homogeneous space  $G_d/H_d$  with compact fibers.

Example 3.5. (Bader, Caprace, Gelander, Mozes) There exist metabelian locally compact groups containing non-cocompact lattices.

Proof of Example 3.5. The group G is a semidirect product  $G = A \rtimes K$  of a commutative discrete group A by a commutative compact group K, where A is the direct sum of finite fields  $\mathbb{F}_p$  for an infinite set S of primes p such that  $\sum_{p \in S} p^{-1} < \infty$ , and where K is the corresponding product of multiplicative groups  $\mathbb{F}_p^*$ .

Let H be the subgroup of G generated by the following elements  $h_p$  for  $p \in S$ . The only non-trivial component of  $h_p$  is the  $p^{\text{th}}$  coordinate which is  $(1-k_p, k_p) \in \mathbb{F}_p \rtimes \mathbb{F}_p^*$  where  $k_p$  is a generator of  $\mathbb{F}_p^*$ . The orbits of H in  $A \simeq G/K$  are the sets  $A_I := \{a \in A \mid p \in I \Leftrightarrow a_p = 1\}$ , for I finite subset of S. The cardinality  $N_I$  of the stabilizer of a point  $a \in A_I$  is  $N_I = \prod_{p \in I} (p-1)$ . Since  $\sum_I N_I^{-1} < \infty$ , H is a lattice in G.

Proof of Proposition 3.4. We may assume that  $G = \overline{HG_e}$ . We argue by induction on the length of the derived series of  $G_e$ . Let A be the closure of the last non-trivial term of the derived series of  $G_e$  and  $H' := \overline{HA}$ . According to Lemma 3.1, the group H'/A has finite covolume in G/A and the group H has finite covolume in H'.

By the induction hypothesis, the group H'/A is cocompact in G/A hence H' is cocompact in G. By Lemma 3.6 below, H is cocompact in H'. Hence H is cocompact in G.

**Lemma 3.6.** Let G be a locally compact group,  $H \subset G$  a finite covolume closed subgroup and  $A \subset G$  a normal closed connected abelian subgroup. If  $G = \overline{HA}$ , then H is cocompact in G.

*Proof.* According to [12, §2.21], as A is a connected locally compact abelian group, it contains a largest compact subgroup  $K_A$  and the quotient group  $A/K_A$  is isomorphic to  $\mathbb{R}^d$ , for some  $d \geq 0$ . By uniqueness,  $K_A$  is normal in G. Thus, after replacing G by  $G/K_A$  and H by  $HK_A/K_A$ , we may assume  $A = \mathbb{R}^d$ .

We will apply Proposition 2.1 to the action of A on the locally compact space X := G/H. Since H has finite covolume, this action preserves a Borel probability measure. Since  $G = \overline{HA}$ , this action is minimal. Hence, by Proposition 2.1.a, there exists at least one orbit  $Ax_0$  in X which is strongly dense. Since G acts transitively on X and normalizes A, all the orbits Ax in X are strongly dense. Hence, by Proposition 2.1.c, the space X is compact.

More generally, the same argument proves the following

**Proposition 3.7.** Let G be a locally compact group, R a closed normal connected amenable subgroup of G, and H a finite covolume closed subgroup of G. Then H is cocompact in the group  $\overline{HR}$ .

*Proof.* We use the following two facts: every connected locally compact group is a compact extension of a connected Lie group (see [12]); every amenable connected Lie group is a compact extension of its solvable radical (see [17]). Hence the group R is a compact extension of a connected solvable Lie group  $R_1$  which is normal in G. We follow then the same proof as for Proposition 3.4 by induction on the length of the derived series of  $R_1$ .

# 4. S-ADIC LIE GROUPS

This section contains elementary definitions and facts about S-adic Lie groups. It also contains a version of the Borel density theorem for S-adic Lie groups.

We recall that  $\mathbb{Q}_p$  is the field of p-adic numbers and  $\mathbb{Q}_{\infty} = \mathbb{R}$  is the field of real numbers or  $\infty$ -adic numbers. Let S be a finite subset of the set of prime numbers including  $\infty$ .

**Definition 4.1.** An S-adic Lie group G is a locally compact group which contains an open subgroup U isomorphic to a group of the form  $(\prod_{p \in S} G_p)/N$  where, for each  $p \in S$ ,  $G_p$  is a p-adic Lie group and N is a discrete normal subgroup of this product.

Let G be an S-adic Lie group. The  $\mathbb{Q}$ -vector space  $\mathfrak{g}:=\oplus_{p\in S}\mathfrak{g}_p$  which is the direct sum of the Lie algebras  $\mathfrak{g}_p$  of  $G_p$  does not depend on the choices and is called the Lie algebra of G. This Lie algebra is an S-adic Lie algebra i.e. a direct sum of p-adic Lie algebras with p in S. The real Lie subalgebra  $\mathfrak{g}_\infty$  is called the real factor of  $\mathfrak{g}$ . We say that  $\mathfrak{g}$  is non-archimedean if  $\mathfrak{g}_\infty=0$ . The Lie subalgebra  $\mathfrak{g}_f:=\oplus_{p\neq\infty}\mathfrak{g}_p$  is called the non-archimedean factor of  $\mathfrak{g}$ . We will denote by  $\mathrm{Ad}_{\mathfrak{g}_p}$ ,  $\mathrm{Ad}_{\mathfrak{g}},\ldots$  the adjoint action of G in  $\mathfrak{g}_p$ ,  $\mathfrak{g},\ldots$ 

Here are the first properties of S-adic Lie groups:

- Real Lie groups and p-adic Lie groups are S-adic Lie groups.
- A product of two S-adic Lie groups is an S-adic Lie group.
- A closed subgroup of an S-adic Lie group is an S-adic Lie group (see [14, Prop. 1.5]).
- The quotient of an S-adic Lie group by a closed normal subgroup is an S-adic Lie group.

An S-adic Lie group can be connected, even if its Lie algebra admits a nontrivial non-archimedean factor, as, for example, the solenoid  $(\mathbb{R} \times \mathbb{Q}_p)/\mathbb{Z}[\frac{1}{p}]$ , where  $\mathbb{Z}[\frac{1}{p}]$  is embedded diagonally in  $\mathbb{R} \times \mathbb{Q}_p$ , or the group  $(\widetilde{SL}(2,\mathbb{R}) \times \mathbb{Z}_p)/\mathbb{Z}$ , where  $\mathbb{Z}$  is embedded diagonally as a central subgroup in  $\widetilde{SL}(2,\mathbb{R}) \times \mathbb{Z}_p$ .

The following proposition is a version of the classical Borel density theorem in the framework of S-adic Lie groups (see [13, Chap. 5], [17, Chap. 3] or [11, §2.4]).

**Proposition 4.2.** Let G be an S-adic Lie group,  $p \in S$ ,  $H \subset G$  a finite covolume closed subgroup,  $\pi : G \to \mathrm{GL}(d, \mathbb{Q}_p)$  a continuous morphism.

- a) For any H-invariant line  $x_0 \in \mathbb{P}(\mathbb{Q}_p^d)$ , the G-orbit  $Gx_0$  is compact.
- b) If G has no proper cocompact normal subgroups, then any H-invariant line  $x_0 \in \mathbb{P}(\mathbb{Q}_p^d)$  is G-invariant.
- c) If G has no proper cocompact normal subgroups, then the Zariski closures of  $\pi(H)$  and  $\pi(G)$  are equal.

**Example 4.3.** In point a), there does not always exist a cocompact normal subgroup of G stabilizing  $x_0$ .

Proof of 4.3. We give an example with  $p = \infty$  and G real connected. We denote by  $r_{\theta} \in SO(2, \mathbb{R}) \subset SO(3, \mathbb{R})$  the rotation of angle  $\theta$  and we fix  $\alpha \in \mathbb{R} \setminus \mathbb{Q}$ . We choose

$$G := SO(3, \mathbb{R}) \times \mathbb{R},$$

$$H := \{ (r_{\theta}, \theta) \mid \theta \in 2\alpha\pi\mathbb{Z} \},$$

$$K := SO(3, \mathbb{R}) \times SO(2, \mathbb{R}) \times SO(2, \mathbb{R}), \text{ and}$$

$$\pi: G \to K; (k, \theta) \mapsto (k, r_{\theta}, r_{\theta/\alpha}),$$

and we set  $M := \overline{\pi(H)} = \{(r_{\theta}, r_{\theta}, 1) \mid \theta \in \mathbb{R}\}$ . The subgroup H is a cocompact lattice in G but, for no  $\beta \in \mathbb{R}$ , does the cocompact normal subgroup  $G' = \{(1, \theta) \mid \theta \in \beta \mathbb{Z}\}$  fix the base point  $x_0 \in K/M$ .

Proof of Proposition 4.2. a) After replacing G by a finite index subgroup, we may assume that  $\pi(G)$  is Zariski connected. We may also assume that the orbit  $Gx_0$  spans  $\mathbb{Q}_p^d$ .

We claim that

(4.1) the closure  $K := \overline{\pi(G)}$  is a compact subgroup of  $PGL(d, \mathbb{Q}_p)$ .

Since  $x_0$  is H-invariant, one has a G-equivariant map

$$i: G/H \to \mathbb{P}(\mathbb{Q}_p^d)$$
 given by  $i(gH) = gx_0$ .

The probability measure  $i_*(\mu)$  on  $\mathbb{P}(\mathbb{Q}_p^d)$ , which is the image of the G-invariant probability measure  $\mu$  on G/H, is K-invariant. Lemma 4.4 below shows that the group K is compact, as claimed in (4.1).

Since we did not assume G and  $\pi$  to be algebraic, the group  $\pi(G)$  might not be closed as in Example 4.3. This will make the proof a little bit longer. Let  $C \subset G$  be an open relatively compact subset so that one has

$$i_*(\mu)(Cx_0) > 0.$$

We can assume  $\pi$  to be injective. The group G is then a p-adic Lie group. Since the continuous morphism  $\pi$  is  $\mathbb{Q}_p$ -analytic, the set  $Cx_0$  is a  $\mathbb{Q}_p$ -submanifold of  $Kx_0$ . Since  $i_*(\mu)$  is the K-invariant probability measure on  $Kx_0$ , one has then

$$\dim_{\mathbb{Q}_p} Cx_0 = \dim_{\mathbb{Q}_p} Kx_0$$

and the orbit  $Gx_0$  is open in  $Kx_0$ . Since  $\pi(G)$  is dense in K, every G-orbit in  $Kx_0$  is dense hence meets the open set  $Gx_0$ . This proves the equality  $Gx_0 = Kx_0$  and this ends the proof of a).

b) We assume now that G does not admit any proper cocompact normal subgroup. In particular, the group  $\pi(G)$  is Zariski connected. We may again assume that the orbit  $Gx_0$  spans  $\mathbb{Q}_p^d$ . We want to prove that this orbit is a singleton or, equivalently, that

(4.2) the group 
$$K := \overline{\pi(G)}$$
 is trivial.

We may again assume that  $\pi$  is injective. We note first that the group K is connected: indeed for any open normal subgroup K' in K, the group  $G' := \pi^{-1}(K')$  is a finite index normal subgroup of G, hence equals G.

Therefore, we may assume  $p = \infty$  and G is a real Lie group. Now, the connected component  $G_e$  of G is an open subgroup of G. Thus,

 $G_eH$  being an open finite index subgroup of G, it contains an open normal finite index subgroup and we get

$$G = G_e H$$
.

Let S := [K, K] and let T be the connected component of the center of K, so that K = ST and  $S \cap T$  is finite. We let  $\mathfrak{k}$ ,  $\mathfrak{s}$  and  $\mathfrak{t}$  denote the Lie algebras of the real compact Lie groups K, S and T.

Let L be the immersed subgroup  $\pi(G_e)$  in K and  $\mathfrak{l}$  be its Lie algebra. As  $G_e$  is normal in G and  $\pi(G)$  is dense in K,  $\mathfrak{l}$  is an ideal in  $\mathfrak{k}$ , and one has

$$\mathfrak{l} = (\mathfrak{l} \cap \mathfrak{s}) \oplus (\mathfrak{l} \cap \mathfrak{t}).$$

Set  $\mathfrak{l}' = \mathfrak{l} \cap \mathfrak{s}$  and let L' be the closed normal connected subgroup of K with Lie algebra  $\mathfrak{l}'$ . This group L' is included in L and the group  $G' = \pi^{-1}(L')$  is included in  $G_e$ . As  $G_e$  is a connected Lie group,  $\pi$  induces a homeomorphism from compact subsets of  $G_e$  onto their images and G' is a compact connected normal subgroup of G. Now, we have  $\mathrm{Ad}_{l'}(K) = \mathrm{Ad}_{l'}(L')$ , hence

$$G = Z_G(G')G'$$

where  $Z_G(G')$  denotes the centralizer of G' in G. In particular,  $Z_G(G')$  is a normal cocompact subgroup of G. Therefore,  $Z_G(G') = G$  and L' is a central subgroup of K, that is

$$L \subset T$$

Let M be the closure of  $\pi(H)$ . As  $G = G_eH$ , we have

$$K = TM$$
.

As  $Gx_0$  spans  $\mathbb{Q}_p^d$ , the group K acts faithfully on the orbit  $Kx_0 = Gx_0$  and we have

$$(4.3) \qquad \qquad \bigcap_{k \in K} kMk^{-1} = \{e\}$$

Thus we have  $M = \{e\}$ , that is  $H = \{e\}$ . Now, the group G has finite Haar measure, hence is compact. Since G does not admit any proper cocompact normal subgroup, G is trivial and K is trivial too, what we claimed in (4.2).

c) Now, let  $\mathbf{G}$  be the Zariski closure of  $\pi(G)$  in  $\mathrm{GL}(d,\mathbb{Q}_p)$  and  $\mathbf{H}$  be the Zariski closure of  $\pi(H)$ . According to Chevalley Theorem (see [2, 5.1]) there exists an algebraic representation  $\rho: \mathbf{G} \to \mathrm{PGL}(m,\mathbb{Q}_p)$  and a line  $x_0 \in \mathbb{P}(\mathbb{Q}_p^m)$  whose stabilizer is  $\mathbf{H}$ . By point b) applied to this representation  $\rho$ , we get  $\pi(G) \subset \mathbf{H}$  hence  $\mathbf{G} = \mathbf{H}$ .

**Lemma 4.4.** Let  $\nu$  be a probability measure on  $\mathbb{P}(\mathbb{Q}_p^d)$ . Suppose that, for any two proper subspaces  $E_1, E_2 \subsetneq \mathbb{Q}_p^d$  with  $\dim E_1 + \dim E_2 \leq d$ , the support of  $\nu$  is not contained in the union  $\mathbb{P}(E_1) \cup \mathbb{P}(E_2)$ . Then the stabilizer  $S := \{g \in \mathrm{PGL}(\mathbb{Q}_p^d) \mid g_*\nu = \nu\}$  of  $\nu$  is compact.

*Proof.* This lemma due to Furstenberg is proven in  $[17, \S 3.2]$ .

Corollary 4.5. Let G be an S-adic Lie group and H be a finite covolume closed subgroup of G, with Lie algebra  $\mathfrak{h}$ .

- a) Then the normalizer  $N_G(\mathfrak{h})$  is cocompact in G.
- b) If G has no proper cocompact normal subgroup, G normalizes  $\mathfrak{h}$ .

**Example 4.6.** In point a), there does not always exist a cocompact normal subgroup of G normalizing  $\mathfrak{h}$ .

Proof of 4.6. We give an example with  $p < \infty$  and G nilpotent with an exact sequence  $1 \to G_0 \to G \to \mathbb{Z} \to 1$  where  $G_0$  is an open compact subgroup. Let K be the group of upper triangular unipotent  $4 \times 4$ -matrices u with coefficients  $u_{i,j}$  in  $\mathbb{Z}_p$ , for  $1 \le i < j \le 4$ . The group G is immersed in K as

$$G := \{ u \in K \mid u_{1,2} \in \mathbb{Z} \}.$$

The group H is the closed subgroup of G isomorphic to  $\mathbb{Z} \times \mathbb{Z}_p$ ,

$$H := \{ u \in G \mid u_{1,3} = u_{2,3} = u_{1,4} = u_{2,4} = 0 \}.$$

One computes the normalizer  $N_G(\mathfrak{h}) = \{u \in G \mid u_{1,3} = u_{2,3} = 0\}$  and the group  $\bigcap_{g \in G} g N_G(\mathfrak{h}) g^{-1} := \{u \in G \mid u_{1,2} = u_{1,3} = u_{2,3} = 0\}$  is not cocompact in G.

Proof of Corollary 4.5. a) Applying, for any p in S, Proposition 4.2 to the adjoint representation of G in  $\Lambda^{d_p}\mathfrak{g}_p$ , where  $d_p := \dim_{\mathbb{Q}_p}(\mathfrak{h}_p)$ , we get that the G-orbit of the line  $x_p := \Lambda^{d_p}\mathfrak{h}_p$  is compact. But the G-orbits in the product  $\prod_{p \in S} Gx_p$  are open and hence closed. Thus the stabilizer  $N_G(\mathfrak{h})$  of the point  $x := (x_p)_{p \in S}$  is cocompact in G.

b) Since H normalizes  $\mathfrak{h}$ , by Proposition 4.2, G normalizes  $\mathfrak{h}$  too.  $\square$ 

**Corollary 4.7.** For any p in S, let  $G_p$  be the group of  $\mathbb{Q}_p$ -points of a  $\mathbb{Q}_p$ -algebraic semisimple group with no anisotropic factor and set  $G = \prod_{p \in S} G_p$ . If H is a finite covolume closed subgroup of G, for any p in S, the image of H in  $G_p$  has finite index Zariski closure.

*Proof.* For any p in S, let  $G_p^+$  be the subgroup of  $G_p$  which is spanned by unipotent one-parameter subgroups in  $G_p$ . As  $G_p$  does not have anisotropic factors,  $G_p^+$  is open with finite index in  $G_p$  and every cocompact normal subgroup of  $G_p$  contains  $G_p^+$ . The result now follows from Proposition 4.2 applied to the group  $G = \prod_{p \in S} G_p^+$ .

Corollary 4.8. Let G be as above and  $\Lambda$  be a lattice in G, then  $\Lambda$  has finite index in its normalizer  $N_G(\Lambda)$ .

Proof. Let  $N := N_G(\Lambda)$  be the normalizer of  $\Lambda$  and  $\mathfrak n$  its Lie algebra. By noetherianity there exists a finitely generated subgroup  $\Lambda_0 \subset \Lambda$  whose centralizer in  $\mathfrak g$  is the same as the one of  $\Lambda$ . Since  $\Lambda$  is discrete, the elements of N which are small enough commute with  $\Lambda_0$ . Hence the group  $\Lambda$  centralizes  $\mathfrak n$ . By corollary 4.7, the centralizer of  $\mathfrak n$  in G has finite index. Hence  $\mathfrak n$  is a central ideal of  $\mathfrak g$ . Such an ideal is trivial, hence the group N is discrete. Since  $\Lambda \subset N$  and  $\Lambda$  is a lattice in G, this group  $\Lambda$  has finite index in N.

#### 5. Cocompactness of lattices

We give a sufficient criterion for an S-adic Lie group G to admit only cocompact lattices.

We say that an S-adic Lie algebra  $\mathfrak{g}$  is amenable if it is the Lie algebra of some amenable S-adic Lie group, that is if  $\mathfrak{g}_{\infty}$  does not admit any noncompact semisimple Lie algebra or, equivalently, if  $\mathfrak{g}$  does not contain a copy of  $\mathfrak{sl}(2,\mathbb{R})$ . In particular, every non-archimedean S-adic Lie algebra is amenable.

**Proposition 5.1.** Let G be an S-adic Lie group whose Lie algebra  $\mathfrak{g}$  is amenable. Then any finite covolume closed subgroup  $H \subset G$  is cocompact.

We begin the proof of Proposition 5.1 by a special case:

**Lemma 5.2.** Let G be a non-archimedean S-adic Lie group. Then any finite covolume closed subgroup  $H \subset G$  is cocompact.

We note that Lemma 5.2 can not be extended to any locally compact totally discontinuous group G. Indeed, for example, if k is the non-archimedean local field with positive characteristic  $\mathbb{F}_q(T)$ , the group  $\mathrm{SL}(2,\mathbb{F}_q[T^{-1}])$  is a non-cocompact lattice in  $\mathrm{SL}(2,k)$ .

When H is a lattice in G, Lemma 5.2 is [14, Prop. 2]. In this case the proof is very short: Just choose a torsion free compact open subgroup  $\Omega$  of G, and note successively that the action of  $\Omega$  on G/H is free, that all the  $\Omega$ -orbits have same volume, that there are only finitely many  $\Omega$  orbits and that G/H is compact.

In order to adapt this proof to non discrete groups H, we recall a few facts on standard groups and on invariant measures.

A p-adic Lie group  $G_p$  with Lie algebra  $\mathfrak{g}_p$  is said to be standard if there exists a compact open subgroup  $O_p$  of  $\mathfrak{g}_p$  which is invariant by

the Lie bracket and such that the exponential map  $O_p \to G_p$  is well-defined and is a bijection onto  $G_p$  (see [7]). A non-archimedean S-adic Lie group is said to be *standard* if it is a product of standard p-adic Lie groups. By [15, Prop. 1.1], if G is standard, every closed subgroup H of G with Lie algebra  $\mathfrak{h}$  is contained in  $\exp(\mathfrak{h})$ . Every non-archimedean S-adic Lie group contains a standard open subgroup.

Let G be an S-adic Lie group. Then the tangent bundle of G identifies G-equivariantly on the right with  $G \times \mathfrak{g}$  and, through this identification, the left action of G reads as the map

$$G \times (G \times \mathfrak{g}) \to G \times \mathfrak{g}; (g, h, v) \mapsto (gh, \mathrm{Ad}_{\mathfrak{q}}(g)v).$$

Besides, if  $\lambda$  is a right Haar measure on G, there exists a Haar measure  $\omega$  on  $\mathfrak{g}$  such that  $\lambda$  is the measure associated to the constant field  $g \mapsto \omega$  on G. In particular, the modular function of G is the function  $g \mapsto |\det(\operatorname{Ad}_{\mathfrak{g}}(g))| := \prod_{p \in S} |\det(\operatorname{Ad}_{\mathfrak{g}_p}(g))|$  and, if H is a closed subgroup of G, the space G/H admits a G-invariant measure if and only if, for any h in H,  $|\det(\operatorname{Ad}_{\mathfrak{g}/\mathfrak{h}}(h))| = 1$ .

Proof of Lemma 5.2. According to Lemma 3.1, the group H has also finite covolume in the normalizer  $N_G(\mathfrak{h})$  of  $\mathfrak{h}$  in G. According to Corollary 4.5,  $N_G(\mathfrak{h})$  is cocompact in G. Hence, it is enough to show that H is cocompact in  $N_G(\mathfrak{h})$ . Thus, replacing G by  $N_G(\mathfrak{h})$ , we may assume that the Lie algebra  $\mathfrak{h}$  is  $\mathrm{Adg}(G)$ -invariant. In this case, the tangent bundle of X := G/H identifies with  $X \times \mathfrak{g}/\mathfrak{h}$  and the action of G on this bundle can be read as the map

$$G \times (X \times \mathfrak{g}/\mathfrak{h}) \to G \times \mathfrak{g}/\mathfrak{h}; (g, x, v) \mapsto (gx, \mathrm{Ad}_{\mathfrak{g}/\mathfrak{h}}(g)v).$$

In the same way, if  $x_0$  is the base point of X and  $\lambda_X$  is the G-invariant probability measure, then  $\lambda_X$  comes from the field

$$x = gx_0 \mapsto |\det(\operatorname{Ad}_{\mathfrak{g}/\mathfrak{h}}(g))|\omega$$

where  $\omega$  is some fixed Haar measure on  $\mathfrak{g}/\mathfrak{h}$  (note that, by the remark above, for any h in H,  $|\det(\mathrm{Ad}_{\mathfrak{g}/\mathfrak{h}}(h))| = 1$ ). Now, we claim that

(5.1) 
$$|\det(\operatorname{Ad}_{\mathfrak{g}/\mathfrak{h}}(g))| = 1 \text{ for all } g \text{ in } G.$$

Indeed the character  $\chi: G \to \mathbb{R}_+^*; g \mapsto |\det(\operatorname{Ad}_{\mathfrak{g}/\mathfrak{h}}(g))|$  is trivial on H and the probability measure  $\chi_*\lambda_X$  on  $\mathbb{R}_+^*$  is  $\chi(G)$ -invariant, hence  $\chi(G) = 1$ . Thus,  $\lambda_X$  comes from the constant field  $x \mapsto \omega$  on X.

We will then prove that, for any compact open subgroup,  $\Omega$  one has

(5.2) 
$$\inf_{x \in X} \lambda_X(\Omega x) > 0.$$

To do this, we may assume that  $\Omega = \prod_{p \in S} \Omega_p$  is a standard open compact subgroup of G. We write  $\Omega = \exp(O)$  where  $O = \prod_{p \in S} O_p$  and exp is the componentwise exponential map. Now, since  $\Omega$  is standard, the set  $H_0 := \exp(\mathfrak{h} \cap O)$  is the largest subgroup of  $\Omega$  with Lie algebra  $\mathfrak{h}$ . For every  $x := gx_0$  in X, the  $\Omega$ -orbit map at x gives rise to an embedding  $\Omega x \simeq \Omega/(\Omega \cap H_x) \hookrightarrow X$ , where  $H_x := gHg^{-1}$  is the stabilizer of x in G, and  $\lambda_X$  restricts on  $\Omega x$  as the measure coming from the constant field  $y \mapsto \omega$ . Since G normalizes  $\mathfrak{h}$ , the Lie algebra of  $H_x$  is equal to  $\mathfrak{h}$  and  $H_x \cap \Omega$  is contained in  $H_0$  as a subgroup of finite index  $d_x$ . Thus, we get

$$\lambda_X(\Omega x) = \frac{d_x}{d_{x_0}} \lambda_X(\Omega x_0)$$

and this quantity is bounded below by  $\frac{\lambda_X(\Omega x_0)}{dx_0}$ , whence Equation (5.2). Since X has finite volume, this implies that  $\Omega$  has only finitely many orbits in X and X is compact.

Proof of Proposition 5.1. We proceed by induction on the dimension of the largest solvable ideal  $\mathfrak{r}_{\infty}$  of  $\mathfrak{g}_{\infty}$ .

 $1^{st}$  case :  $\mathfrak{r}_{\infty} = 0$ .

In this case, the connected immersed subgroup  $G_{\infty}$  of G with Lie algebra  $\mathfrak{g}_{\infty}$  is compact. According to Lemma 3.1, the group  $HG_{\infty}/G_{\infty}$  has finite covolume in the non-archimedean S-adic Lie group  $G/G_{\infty}$ . Hence according to Lemma 5.2, the group H is cocompact in G.

 $2^{\mathrm{nd}}$  case :  $\mathfrak{r}_{\infty} \neq 0$ .

We argue here exactly as in the proof of Proposition 3.4. Let  $R_{\infty}$  be the connected immersed real Lie group with Lie algebra  $\mathfrak{r}_{\infty}$ . Let A be the closure of the last non trivial term of the derived series of  $R_{\infty}$  and  $H' := \overline{HA}$ . According to Lemma 3.1, the group H'/A has finite covolume in G/A and the group H has finite covolume in H'. By the induction hypothesis, the group H'/A is cocompact in G/A hence H' is cocompact in G. By Lemma 3.6, the group H is cocompact in H'. Hence the group H is cocompact in G.

## 6. Projections of lattices

The aim of this section is to prove, for any lattice  $\Lambda$  in any S-adic Lie group G, a decomposition theorem of  $\Lambda$  with respect to the adjoint action on a suitable semisimple quotient  $\mathfrak{s}$  of the Lie algebra of G (Theorem 6.6).

**Proposition 6.1.** Let G be an S-adic Lie group. Let  $\mathfrak{r}_0$  be the smallest ideal of  $\mathfrak{g}$  such that the Lie algebra  $\mathfrak{s}_0 := \mathfrak{g}/\mathfrak{r}_0$  is semisimple and such

that, for any non zero G-invariant ideal  $\mathfrak{s}_1$  of  $\mathfrak{s}_0$ , the group  $\mathrm{Ad}_{\mathfrak{s}_1}(G)$  is an unbounded subgroup of  $\mathrm{Aut}(\mathfrak{s}_1)$ . Let  $R_0 := \mathrm{Ker}(\mathrm{Ad}_{\mathfrak{s}_0})$  be the kernel of the adjoint map in  $\mathfrak{s}_0$ .

Then, for any lattice  $\Lambda$  in G, the Lie algebra  $\mathfrak{l}$  of the group  $L := \overline{\Lambda R_0}$  is amenable.

We postpone the proof of Proposition 6.1 to the end of this section.

If  $S = \{\infty\}$ , the group  $\Lambda R_0$  is closed (see lemma 6.4 below). In the general setting, our statement is optimal, as shown by the following two examples.

**Example 6.2.** The S-adic Lie group L may contain Lie subgroups isomorphic to  $PSL(2, \mathbb{Q}_p)$  with p finite.

Proof. Let  $G_0$  be a non compact simple p-adic Lie group, for instance  $G_0 = \operatorname{PSL}(2, \mathbb{Q}_p)$ . We will prove, more generally, that L may contain Lie subgroups isomorphic to  $G_0$ . Let  $Y := G_0/K_0$  where  $K_0$  is a compact open subgroup of  $G_0$  and  $R_0$  be the free group on Y, i.e. the discrete free non-abelian group with infinitely many generators  $e_y$  with y in Y. We define G to be the semidirect product  $G := G_0 \ltimes R_0$  where the action by conjugation of  $G_0$  on  $G_0$  is given by  $g_0 e_y g_0^{-1} = e_{g_0 y}$  for all  $g_0$  in  $G_0$  and all  $g_0$  in  $g_0$  and  $g_0$  in  $g_0$ 

Let us now construct a lattice  $\Lambda$  in G. We first construct a discrete subgroup F of G. For y in Y, one chooses an element  $a_y$  in  $G_0$  which fixes y. We assume that  $a_y$  has infinite order. Let F be the group generated by the elements

$$f_y := e_y a_y = a_y e_y.$$

We claim that

(6.1) the group F is a free discrete subgroup of G and the map  $F \to R_0$ ;  $f \mapsto r_f$  is a bijection.

Indeed, the  $R_0$  component  $r_w$  of a word

$$w = f_{y_1}^{n_1} \cdots f_{y_\ell}^{n_\ell}$$
 with  $y_i \in Y$  and  $n_i \in \mathbb{Z}$ 

is equal to

$$r_w = e_{z_1}^{n_1} \cdots e_{z_\ell}^{n_\ell} \text{ with } z_j := a_1^{n_1} \cdots a_{j-1}^{n_{j-1}}(y_j).$$

This proves (6.1).

Let  $\Lambda_0$  be a torsion free cocompact lattice in  $G_0$ . Since  $\Lambda_0$  acts freely on Y, we can choose the  $a_y$ ,  $y \in Y$ , in such a way that, for every  $\lambda_0$  in  $\Lambda_0$  and y in Y, one has  $a_{\lambda_0 y} = \lambda_0 a_y \lambda_0^{-1}$ . This ensures that  $\Lambda_0$ 

normalizes the group F. We choose  $\Lambda$  to be the group  $\Lambda := \Lambda_0 F$ . This group is discrete and cocompact in G but its projection on  $G/R_0$  is dense. Hence, in this case, the group L is equal to  $G = G_0 \ltimes R_0$ .  $\square$ 

**Example 6.3.** When  $\Lambda$  is a discrete subgroup of G which is not assumed to be a lattice, the Lie algebra  $\mathfrak{l}$  may contain Lie subalgebras isomorphic to  $\mathfrak{sl}(2,\mathbb{R})$ .

Proof. Let  $G_0$  be a simple real Lie group, for instance  $G_0 = \operatorname{PSL}(2, \mathbb{R})$ , and  $\Gamma$  be a dense subgroup of  $G_0$ . Let G be the cartesian product  $G := G_0 \times R_0$  where  $R_0$  is a copy of  $\Gamma$  endowed with the discrete topology. The discrete subgroup  $\Lambda := \{(\gamma, \gamma) \mid \gamma \in \Gamma\}$  is a discrete subgroup of G whose projection in  $G_0$  is dense. Proposition 6.1 tells us that this can not happen if  $\Lambda$  is a lattice in G.

For real Lie groups G, Proposition 6.1 is a consequence of the following

**Lemma 6.4.** Let G be a real Lie group and  $\Lambda$  be a lattice in G. Let  $\mathfrak{r}$  be the largest amenable ideal of  $\mathfrak{g}$ ,  $\mathfrak{s} := \mathfrak{g}/\mathfrak{r}$  and R be the kernel of the adjoint action  $\mathrm{Ad}_{\mathfrak{s}} : G \to \mathrm{Aut}(\mathfrak{s})$  in  $\mathfrak{s}$ . Then, the intersection  $\Lambda \cap R$  is a cocompact lattice in R and the image  $\mathrm{Ad}_{\mathfrak{s}}(\Lambda)$  is a lattice in  $\mathrm{Aut}(\mathfrak{s})$ .

*Proof.*  $\mathbf{1}^{\text{st}}$  **case** : G is a connected real Lie group.

Let  $R_e$  be the connected component of R. The group  $R_e$  is the largest closed connected normal amenable subgroup of G. Since  $R_e$  is a compact extension of a solvable group, according to Auslander projection theorem in [13, Chap. 8], the group  $L := \overline{\Lambda R_e}$  has an amenable Lie algebra  $\mathfrak{l}$ . Since  $\mathfrak{l}$  is normalized by  $\Lambda$  and  $\mathfrak{s}$  has no compact factors, by Borel density theorem ([13, Chap. 5] or Corollary 4.7),  $\mathfrak{l}$  is an ideal of  $\mathfrak{g}$ . Hence  $\mathfrak{l} = \mathfrak{r}$ , and the group  $\Lambda R_e$  is closed. By Lemma 3.1,  $\Lambda \cap R_e$  is a lattice in  $R_e$ . Since  $R_e$  is amenable, this lattice is cocompact ([13, Chap. 4] or Proposition 5.1). Replacing G by  $G/R_e$ , we can assume that G is semisimple connected with no compact factor.

The group R is then discrete and is the center of G. Since the group  $L := \overline{\Lambda R}$  has a discrete derived subgroup, its Lie algebra  $\mathfrak{l}$  is abelian. Again by Borel density theorem, one gets  $\mathfrak{l} = 0$ . Hence L is discrete and, by Lemma 3.1, the group  $L/\Lambda \simeq R/(\Lambda \cap R)$  is finite. Thus the image  $\Lambda_{\mathfrak{s}} = \mathrm{Ad}_{\mathfrak{s}}(\Lambda) \simeq \Lambda/(\Lambda \cap R)$  is a lattice in the adjoint group  $\mathrm{Ad}_{\mathfrak{s}}(G)$ . Since this adjoint group has finite index in  $\mathrm{Aut}(\mathfrak{s})$ ,  $\Lambda_{\mathfrak{s}}$  is also a lattice in  $\mathrm{Aut}(\mathfrak{s})$ .

 $2^{\text{nd}}$  case : G is any real Lie group.

Since the connected component  $G_e$  of G is an open subgroup of G, by Lemma 3.2, the intersection  $\Lambda_0 := \Lambda \cap G_e$  is a lattice in  $G_e$ . According

to the first case, the group  $\Lambda_{0,S} := \mathrm{Ad}_{\mathfrak{s}}(\Lambda_0)$  is a lattice in the group  $\mathrm{Aut}(\mathfrak{s})$ . Since the group  $\Lambda_{\mathfrak{s}} := \mathrm{Ad}_{\mathfrak{s}}(\Lambda)$  normalizes  $\Lambda_{0,S}$ , it is discrete by Corollary 4.8. Hence  $\Lambda_{\mathfrak{s}}$  is a lattice. As a consequence, the intersection  $\Lambda_R := \Lambda \cap R$  is a lattice in R by Lemma 3.1. According also to the first case, the group  $\Lambda_0 \cap R$  is cocompact in  $G_e \cap R$ , hence, by Lemma 3.2, the lattice  $\Lambda_R$  is also cocompact in R.

**Lemma 6.5.** Let G be a non-archimedean S-adic Lie group,  $\mathfrak{r}$  be the largest solvable ideal of  $\mathfrak{g}$  and  $\mathfrak{s} := \mathfrak{g}/\mathfrak{r}$ . Assume that the image  $\mathrm{Ad}_{\mathfrak{s}}(G)$  of G in  $\mathrm{Aut}(\mathfrak{s})$  is a compact group. Then there exists an increasing sequence

$$G_1 \subset \cdots \subset G_i \subset \cdots \subset G$$

of open subgroups whose union  $G' := \bigcup_i G_i$  is a normal open subgroup of G and such that, for all integers  $i \geq 1$ , the group  $\mathrm{Ad}_{\mathfrak{g}}(G_i)$  is compact.

In order to help the reader to understand the following technical proof, we suggest him to keep in mind the example where  $G := (\Gamma \times \mathbb{Q}_p^*) \ltimes \mathbb{Q}_p^2$  where  $\Gamma$  is the group  $\mathrm{SL}(2,\mathbb{Z}[\frac{1}{p}])$  with the discrete topology and where  $\mathbb{Q}_p^*$  acts diagonally on  $\mathbb{Q}_p^2$ .

Proof of Lemma 6.5. We first note that it is enough to prove this lemma for a finite index subgroup of G.

We will need some notations. Let  $\mathfrak{g} = \oplus \mathfrak{g}_p$  be the Lie algebra of G and  $\mathfrak{s}_p^0$  be a maximal semisimple Lie subalgebra of  $\mathfrak{g}_p$ . Let

- $\operatorname{Aut}(\mathfrak{g}_p)$  be the group of automorphisms of the Lie algebra  $\mathfrak{g}_p$ ,
- $\mathbf{A}_p$  the Zariski connected component of  $\mathrm{Aut}(\mathfrak{g}_p)$ ,
- $S_p$  a maximal semisimple Zariski connected subgroup of  $A_p$ ,
- $\mathbf{R}_p$  the maximal solvable Zariski connected normal subgroup of  $\mathbf{A}_p$ ,
- $\mathbf{U}_p$  the maximal unipotent normal subgroup of  $\mathbf{A}_p$ ,

and  $\mathfrak{a}_p$ ,  $\mathfrak{s}_p$ ,  $\mathfrak{r}_p$  and  $\mathfrak{u}_p$  their Lie algebras. We may assume that  $\mathfrak{s}_p$  contains the Lie algebra  $\mathfrak{s}_p^1 := \mathrm{ad}(\mathfrak{s}_p^0)$ . Since the image  $\mathrm{ad}(\mathfrak{g}_p)$  is an ideal of  $\mathfrak{a}_p$ ,  $\mathfrak{s}_p^1$  is an ideal of  $\mathfrak{s}_p$ . We denote by  $\mathfrak{s}_p^2$  the complementary ideal of  $\mathfrak{s}_p^1$  in  $\mathfrak{s}_p$ . We have the decomposition

$$\mathfrak{s}_p^1 \oplus \mathfrak{s}_p^2 \oplus \mathfrak{r}_p = \mathfrak{a}_p$$

We set  $\mathbf{S}_p^1$  and  $\mathbf{S}_p^2$  for the Zariski closed and Zariski connected semisimple subgroups of  $\mathbf{A}_p$  with Lie algebras respectively  $\mathfrak{s}_p^1$  and  $\mathfrak{s}_p^2$ . The group

$$\mathbf{S}_p^1 \mathbf{S}_p^2 \mathbf{R}_p \subset \mathbf{A}_p$$

is a finite index subgroup.

Let  $\Omega_p$  be an open compact subgroup of  $\mathbf{S}_p^1 \mathbf{R}_p$ . By the compactness assumption in Lemma 6.5, and since we are allowed to replace G by a

finite index subgroup, we may assume that

$$\operatorname{Ad}_{\mathfrak{g}_p}(g) \in \Omega_p \mathbf{S}_p^2 \mathbf{R}_p$$
 for all  $g \in G$  and for all  $p$ .

On the one hand, since  $ad(\mathfrak{g}_p) \subset \mathfrak{s}_p^1 \oplus \mathfrak{r}_p$ , the group

$$G' := \{ g \in G \mid \mathrm{Ad}_{\mathfrak{g}_p}(g) \in \Omega_p \mathbf{U}_p \text{ for all } p \}.$$

is an open subgroup of G.

On the other hand, since  $[\mathbf{A}_p, \mathbf{R}_p] \subset \mathbf{U}_p$ , the group  $\Omega_p \mathbf{U}_p$  is normal in  $\Omega_p \mathbf{S}_p^2 \mathbf{R}_p$  and the group G' is normal in G.

To conclude we just apply the following fact with  $H := Ad_{\mathfrak{g}_n}(G')$ .

Let  $H = \Omega U$  be a non-archimedean linear p-adic Lie group which is generated by a compact subgroup  $\Omega$  and a normal unipotent subgroup U, then there exists an increasing sequence  $(H_i)_{i\geq 1}$  of compact open subgroups of H whose union is equal to H.

We now check this fact. We first notice that this fact is true for the groups  $U_d$  of p-adic upper triangular unipotent  $d \times d$  matrices. Since any unipotent group U is isomorphic to a closed subgroup of  $U_d$ , for some  $d \geq 1$ , this fact is also true for the group U. Hence, any subgroup of U generated by two compact open subgroups is still an open compact subgroup. Therefore any compact subgroup of U is included in a compact subgroup invariant by conjugation by  $\Omega$ . Our claim follows.

Proof of Proposition 6.1. Let  $\mathfrak{g} = \mathfrak{g}_f \oplus \mathfrak{g}_{\infty}$  and  $\mathfrak{s}_0 = \mathfrak{s}_{0,f} \oplus \mathfrak{s}_{0,\infty}$  be the decompositions of  $\mathfrak{g}$  and  $\mathfrak{s}_0$  as a sum of a non-archimedean ideal and a real one and let  $G_{\infty}$  be the connected immersed subgroup of G with Lie algebra  $\mathfrak{g}_{\infty}$ . We first begin by a special case.

 $\mathbf{1}^{\mathrm{st}}$  case : The group  $\mathrm{Ad}_{\mathfrak{G}_{\mathfrak{f}}}(G)$  is compact.

Let  $D := \operatorname{Ker}(\operatorname{Ad}_{\mathfrak{g}})$  be the Kernel of the adjoint action. Since the Lie algebras  $\mathfrak{g}_f$  and  $\mathfrak{g}_{\infty}$  commute, there exists a compact subgroup  $G_f$  of G with Lie algebra  $\mathfrak{g}_f$  which commutes with  $G_{\infty}$ . Since  $\operatorname{Ad}_{\mathfrak{g}_f}(G)$  is compact, the group  $G_{\infty}G_fD$  is a finite index open subgroup of G. Hence we may assume that

$$G = G_{\infty}G_fD$$
.

For any compactly generated subgroup  $D_1$  of D, the centralizer of  $D_1$  in  $G_f$  has finite index in  $G_f$ . In particular, D is the union of its  $G_f$ -invariant compactly generated subgroups  $D_1$ .

We want to prove that the group  $L := \overline{\Lambda R_0}$  has an amenable Lie algebra.

We proceed by contradiction. Assume this is not the case. Since every dense subgroup of a real connected Lie group contains a finitely generated dense subgroup, there would exist a finitely generated subgroup  $\Lambda_0$  of  $\Lambda$  such that the group  $\overline{\Lambda_0R_0}$  has a non-amenable Lie algebra. Let  $D_1$  be an open compactly generated  $G_f$ -invariant subgroup of D such that the group  $G_1 := G_{\infty}G_fD_1$  contains  $\Lambda_0$ . By Lemma 3.2, the intersection  $\Lambda_1 := \Lambda \cap G_1$  is a lattice in  $G_1$ .

It is enough to prove our claim for  $(G_1, \Lambda_1)$ . Hence we can assume D to be compactly generated. But then, after replacing G by an open finite index subgroup, we can assume that  $G_f$  and D commute. The quotient group  $G' := G/G_f$  is then a real Lie group and the image  $\Lambda'$  of  $\Lambda$  in G' is a lattice. Since one has  $G_f R_0 = \text{Ker}(\text{Ad}_{\mathfrak{S}_{0,\infty}})$ , according to Lemma 6.4 applied to  $(G', \Lambda')$ , the group  $\Lambda G_f R_0$  is closed. Hence the group  $L := \overline{\Lambda R_0}$  has an amenable Lie algebra, whence a contradiction.

 $2^{\text{nd}}$  case: General case.

Let K be a compact open subgroup of  $Aut(\mathfrak{s}_{0,f})$ . Since the group

$$(6.2) G_K := \{ g \in G \mid \mathrm{Ad}_{\mathfrak{S}_{0,f}}(g) \in K \}$$

is an open subgroup of G,  $\Lambda \cap G_K$  is a lattice in  $G_K$  by Lemma 3.2. Since  $G_K$  contains  $R_0$ , the groups  $\overline{\Lambda}R_0$  and  $\overline{(\Lambda \cap G_K)}R_0$  both have Lie algebra  $\mathfrak{l}$ . Hence we may assume that  $G = G_K$ .

According to equality (6.2) and the definition of  $\mathfrak{s}_0$ , the adjoint group  $\mathrm{Ad}\mathfrak{s}_f(G)$  is compact. Hence we can apply Lemma 6.5 to the group  $G/\overline{G_\infty}$ : there exists an increasing sequence

$$G_1 \subset \cdots \subset G_i \subset \cdots \subset G$$

of open subgroups of G containing  $G_{\infty}$  whose union  $G' := \bigcup_i G_i$  is normal in G and such that, for  $i \geq 1$ , the group  $\mathrm{Ad}_{\mathfrak{g}_f}(G_i)$  is compact.

Again by Lemma 3.2, for all  $i \geq 1$ , the group  $\Lambda_i := \Lambda \cap G_i$  is a lattice in  $G_i$ . We denote by

$$\Lambda_{\mathfrak{s}_0,i} := \mathrm{Ad}_{\mathfrak{s}_{0,\infty}}(\Lambda_i)$$

its image in the group  $\operatorname{Aut}(\mathfrak{s}_{0,\infty})$  and we set

$$R_{0,\infty} := \operatorname{Ker}(\operatorname{Ad}_{\mathfrak{S}_{0,\infty}}).$$

According to the first case applied to  $(G_i, \Lambda_i)$ , the group  $\overline{\Lambda_i R_{0,\infty}}/R_{0,\infty}$  has an amenable Lie algebra. Since the group  $\operatorname{Aut}(\mathfrak{s}_{0,\infty})$  is semisimple with no compact factor, by the Borel density theorem (Corollary 4.7), this Lie algebra is an ideal of  $\mathfrak{s}_{0,\infty}$ , hence it is trivial. Therefore,  $\Lambda_i R_{0,\infty}$  is closed and, by Lemma 3.1, the group  $\Lambda_{\mathfrak{s}_{0,i}}$  is a lattice in  $\operatorname{Aut}(\mathfrak{s}_{0,\infty})$ . Since any increasing sequence of lattices in a semisimple real Lie group

is stationary (see [10]), there exists  $i_0 \geq 1$  such that  $\Lambda_{\mathfrak{g}_0,i} = \Lambda_{\mathfrak{g}_0,i_0}$  for all  $i \geq i_0$ .

We set  $\Lambda_{\mathfrak{S}_0}$  and  $\Lambda'_{\mathfrak{S}_0}$  for the images of  $\Lambda$  and  $\Lambda' := \Lambda \cap G'$  in  $\operatorname{Aut}(\mathfrak{s}_{0,\infty})$ . We have just proven that  $\Lambda'_{\mathfrak{S}_0} = \Lambda_{\mathfrak{S}_0, i_0}$  is a lattice in  $\operatorname{Aut}(\mathfrak{s}_{0,\infty})$ . Since  $\Lambda_{\mathfrak{S}_0}$  normalizes  $\Lambda'_{\mathfrak{S}_0}$ , we obtain, by Corollary 4.8, that  $\Lambda_{\mathfrak{S}_0}$  is also a lattice in  $\operatorname{Aut}(\mathfrak{s}_{0,\infty})$ . Hence the group  $\Lambda R_{0,\infty}$  is closed in G. This proves that the Lie algebra  $\mathfrak{l}$  is amenable.

Let us now state the main result of this paper.

**Theorem 6.6.** Let G be an S-adic Lie group and  $\Lambda$  be a lattice in G. Then, there exists a G-invariant ideal  $\mathfrak{r}$  of  $\mathfrak{g}$  with the following properties. Let  $\mathfrak{s} := \mathfrak{g}/\mathfrak{r}$  and R be the kernel of the adjoint map  $\mathrm{Ad}_{\mathfrak{s}} : G \to \mathrm{Aut}(\mathfrak{s})$ .

- (i)  $Aut(\mathfrak{s})$  is a semisimple S-adic Lie group with no compact factor.
- (ii) The group  $Ad\mathfrak{s}(G)$  is a finite index subgroup in  $Aut(\mathfrak{s})$ .
- (iii) The group  $\Lambda_{\mathfrak{S}} := \mathrm{Ad}_{\mathfrak{S}}(\Lambda)$  is a lattice in  $\mathrm{Aut}(\mathfrak{S})$ .
- (iv) The intersection  $\Lambda \cap R$  is a cocompact lattice in R.

See [8, Thm 9.5] where a related projection theorem is proven for a general locally compact group G and a normal amenable subgroup R. We note that in our Theorem 6.6, the group R may not be amenable (see Example 6.2).

Proof. Let  $L = \overline{\Lambda R_0}$  be as in Proposition 6.1 and let  $\mathfrak{r} = \mathfrak{l}$  be the Lie algebra of L. Note that, by [6, 6.14 and 9.10], for any simple real or p-adic Lie algebra  $\mathfrak{h}$ , any non-compact open subgroup of  $\operatorname{Aut}(\mathfrak{h})$  has finite index. Hence,  $G/R_0$  is a finite index subgroup of  $\operatorname{Aut}(\mathfrak{s}_0)$ . Besides, by Lemma 3.1, L is a finite covolume closed subgroup of G. Therefore, by Corollary 4.7, the Lie algebra  $\mathfrak{r}$  is an ideal of  $\mathfrak{g}$ . In particular, the quotient Lie algebra  $\mathfrak{s} = \mathfrak{g}/\mathfrak{r}$  is semisimple. We thus get (i) and (ii).

Let R be the kernel of the map  $\mathrm{Ad}_{\mathfrak{s}}$  in G and let us prove that  $L \cap R$  has finite index in R. As R and  $L \cap R$  have Lie algebra  $\mathfrak{r}$ , through the map  $\mathrm{Ad}_{\mathfrak{r}/\mathfrak{r}_0}(G)$ ,  $R/R_0$  and  $L \cap R/R_0$  identify with open subgroups of  $\mathrm{Aut}(\mathfrak{r}/\mathfrak{r}_0)$  which are normalized by  $\mathrm{Ad}_{\mathfrak{r}/\mathfrak{r}_0}(L)$ . Now, by assumption, the group  $\mathrm{Ad}_{\mathfrak{r}/\mathfrak{r}_0}(G)$  is a finite index open subgroup of  $\mathrm{Aut}(\mathfrak{r}/\mathfrak{r}_0)$  and, since L is a finite covolume subgroup of G and since the Lie algebra of L is  $\mathfrak{r}$ , the group  $\mathrm{Ad}_{\mathfrak{r}/\mathfrak{r}_0}(L)$  is a finite index open subgroup of  $\mathrm{Ad}_{\mathfrak{r}/\mathfrak{r}_0}(G)$ . Thus,  $\mathrm{Ad}_{\mathfrak{r}/\mathfrak{r}_0}(R)$  and  $\mathrm{Ad}_{\mathfrak{r}/\mathfrak{r}_0}(L \cap R)$  are also finite index open subgroup of  $\mathrm{Aut}(\mathfrak{r}/\mathfrak{r}_0)$  and  $L \cap R$  has finite index in R.

In particular, the group LR is closed and has Lie algebra  $\mathfrak{r}$ . Now, as  $R \subset \overline{\Lambda R} \subset LR$ ,  $\overline{\Lambda R}$  also has Lie algebra R, that is  $\Lambda R$  is closed. By Lemma 3.1,  $\Lambda R$  has finite covolume in G/R and  $\Lambda \cap R$  has finite covolume in R. By Proposition 6.1, the Lie algebra of R being amenable,

 $\Lambda \cap R$  is cocompact in R. Thus, G/R being a finite index open subgroup of  $\operatorname{Aut}(\mathfrak{s})$ , we get (iii) and (iv).

#### References

- [1] U. Bader, P.E. Caprace, T. Gelander and S. Mozes, Simple groups with no lattices, Bull. London Math. Soc. 44 (2012) 55-67.
- [2] A. Borel, Linear algebraic groups, Springer (1991).
- [3] Y. Benoist, J.-F. Quint, Mesures stationnaires et fermés invariants des espaces homogènes, CRAS 347 (2009), 9-13 and Ann. of Math. (2010).
- [4] Y. Benoist, J.-F. Quint, Random walks on finite volume homogeneous spaces, Invent. Math. 187 (2012) 37-59.
- [5] Y. Benoist, J.-F. Quint, Stationary measures and invariant subsets of homogeneous spaces II, J. Amer. Math. Soc. 26 (2013) 659-734
- [6] A. Borel, J. Tits, Homomorphismes abstraits de groupes algébriques simples, Annals Math. 97 (1973) 499-571.
- [7] N. Bourbaki, Groupes et Algèbres de Lie Ch. 2 et 3, Hermann (1972).
- [8] E. Breuillard, T. Gelander, A topological Tits alternative, Annals Math. 166 (2007), 427-474.
- [9] A. Eskin, G. Margulis, Recurrence properties of random walks on finite volume homogeneous manifolds, in Random walks and geometry W. de Gruiter (2004), 431-444.
- $[10]\,$  D. Kazhdan, G. Margulis, A proof of Selberg's hypothesis, Mat. Sb. 75 (1968), 163-168.
- [11] G. Margulis, Discrete subgroups of semisimple Lie groups, Springer (1991).
- [12] D. Montgomery, L. Zippin, *Topological transformation groups*, Interscience Publishers (1955).
- [13] M. Raghunathan, Discrete subgroups of Lie groups, Springer (1972).
- [14] M. Ratner, Raghunathan's conjectures for p-adic Lie groups, Internat. Math. Res. Notices (1993), 141-146.
- [15] M. Ratner, Invariant measures and orbit closures for unipotent actions on homogeneous spaces, Geom. Funct. Anal. 4 (1994), 236-257.
- [16] A. Weil, L'intégration dans les groupes topologiques et ses applications Hermann (1940).
- [17] R. Zimmer, Ergodic theory and semisimple groups, Birkhäuser (1984).

CNRS-UNIVERSITÉ PARIS-SUD ORSAY E-mail address: benoist@math.u-psud.fr

CNRS-UNIVERSITÉ PARIS-NORD VILLETANEUSE E-mail address: quint@math.univ-paris13.fr