# Modeling analysis of tidal bore formation in convergent estuaries

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#### Abstract

Despite the recognized impact of tidal bores on estuarine ecosystems, the large scale mechanism of bore formation in convergent alluvial estuaries is still under investigation. So far, field data exist only for a small number of estuaries, while numerical simulations employ the shallow water equations mainly focusing on the small-scale and local processes. In this work, firstly we apply the fully nonlinear weakly dispersive Serre-Green-Naghdi equations to simulate the tide propagation in a convergent estuary of idealized form, verifying that the local dispersion effects, responsible for the appearance of the secondary waves, do not influence the tidal bore onset, which only results from the large scale processes of amplification/damping and distortion of the incoming wave. In

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a second part, we numerically investigate (225 runs) the estuarine parameter space in order to identify the physical conditions that lead to tidal bore generation. In this parameter space, we determine a critical curve which divides estuaries according to tidal bore occurrence. As a result of this investigation we have shown that bore formation is controlled by the competition between two physical processes: a) the *knee-shaped* distortion of the tidal wave, with flood dominance and eventually bore inception; b) the dissipation of the tidal wave, which is unfavorable to bore formation. We also provide evidence that amplification due to topographic convergence is not a necessary condition for tidal bore generation and that there exist estuaries which display both wave damping and bore development. Finally, the validity of the results has been also assessed in the presence of freshwater river discharge, showing that for low river discharge, its effect on estuarine dynamics can be neglected.

*Keywords:* Estuarine hydrodynamics; long wave; tidal bore formation; dispersion; undular tidal bore; Serre-Green-Naghdi equations.

#### 1 1. Introduction

Tidal wave transformation in convergent alluvial estuaries plays a crucial role in the development of a sustainable management of water resources. For 3 this reason, and to better understand the human impact on the estuarine ecosystem, it has been the subject of intensive scientific research. Parametric studies 5 conducted in [1, 2, 3, 4, 5] have shown that, when neglecting river discharge 6 effects, the estuarine hydrodynamics is controlled by only three dimensionless parameters. These parameters represent a combination of the properties of the tidal forcing at the estuary mouth (wave amplitude and period), the large-scale geometrical characteristics of the channel and the friction coefficient. For par-10 ticular conditions of the above dimensional variables, of freshwater flow and of 11 river channel bathymetry, the tidal wave may result strongly distorted when the 12 flow turn to rise, and a bore can be observed at the beginning of the flood tide. 13 In the lower part of the estuary, tidal wave propagation can be well described by 14

the so called Saint Venant or Nonlinear Shallow Water (NLSW) equations with 15 friction (cf. [6]). However the onset of a tidal bore and its evolution upstream 16 is controlled by non-hydrostatic dispersive mechanisms (cf. [7]). Even if Mad-17 sen et al. [8] and Pan and Liu [9] have shown that the non-dispersive NLSW 18 equations with shock capturing methods can still be used to simulate breaking 19 bore propagation with relatively good results, undular bores requires the use 20 of other long wave modeling approaches. If weakly dispersive weakly nonlinear 21 Boussinesq-type equations can be adopted to describe the onset of the tidal bore 22 (cf. [7]), the nonlinear evolution of high-intensity bores requests fully nonlinear 23 equations to be employed, as for example the Serre-Green-Naghdi (SGN) ones 24 (cf. [10, 11, 12]). The first part of this work is thus devoted to reproduce the 25 progressive formation of an undular tidal bore inside an idealized estuary using 26 the SGN equations. 27

The results obtained in this first part will then justify the use of the NLSW 28 model to investigate at large scales how the three dimensionless parameters, 29 which control the estuarine long scale dynamics, influence the physical pro-30 cesses of amplification/damping and distortion which may lead to the bore on-31 set. These processes take place at large spatial and temporal scales, where 32 the non-hydrostatic effects associated with tidal bores can be neglected. Some 33 numerical studies already used the NLSW system to simulate the propagation 34 of tidal waves in rivers up to bore formation, but they are limited to a single 35 [8, 9] or to a small number [4] of estuaries. A similar limitation concerns also 36 field data: since every estuary in nature represents a unique combination of the 37 three dimensionless variables, we dispose only of a limited number of points in 38 the parameter space coming from *in situ* measurements. Based on the set of 39 data available, it is very difficult to understand how such parameters influence 40 the bore development process. In this paper, we bypass this major constraint 41 through a numerical investigation of the whole space of dimensionless variables. 42 This allows us both to characterize the global conditions for tidal bore occur-43 rence and to analyze for each estuarine regime the nonlinear processes associated 44 with tidal wave transformation. Our approach is based on a scaling analysis in-45

troduced in [13], where the reader can also find a collection of estuary data, which are used here to validate our numerical investigation.

The paper is structured as follows: section 2 introduces the idealized geometry used to accomplish our study and defines the dimensionless parameters 49 emerging from the scaling analysis of the governing equations; section 3 gives 50 some details about the solution strategy adopted to solve the SGN system, the 51 implemented numerical scheme and motivates the choice of the type of bound-52 ary conditions used; section 4 introduces a criterion for bore detection based 53 on experimental observation in situ; the numerical simulation of the onset of an 54 undular tidal bore inside an idealized estuary is presented in section 5; section 6 55 discusses the numerical investigation on the favorable conditions for tidal bore 56 occurrence, introducing a criterion for bore detection based on experimental 57 observation in situ and showing the main findings of the study; finally, section 58 7 treats the effects of water river discharge on tidal bore formation. 59

#### 60 2. Problem setting

The study of tidal wave propagation in funnel shaped estuaries is often per-61 formed under several simplifying assumptions. The geometry of real alluvial 62 estuaries in coastal plains can be well-approximated by an exponentially de-63 creasing width variation and flat bathymetry [14, 15, 6]. This morphology is 64 the natural result of a morphodynamic equilibrium of the erosion/sedimentation 65 process. For this reason, we will perform our study on the simplified case (figure 66 1) of a tide propagating in an idealized convergent channel of constant depth 67  $D_0$  and an exponentially decreasing width B(x), varying in the longitudinal 68 direction x with the law: 69

$$B(x) = B_0 e^{-x/L_b} \tag{1}$$

where  $L_b$  represents the convergence length of the channel. We assume a rectangular cross-section suitable, as a first approximation, to describe the behavior of a real section with the same area.

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Figure 1: Sketch of the idealized geometry of the channel and basic notations.

It is a common practice in the literature to use quasi-one-dimensional systems of equations to investigate the large scale dynamics of long waves propagating in convergent channels [1, 2, 16, 5, 4]. In the case of open channels with exponentially decreasing cross section areas, the equations assume the following form:

$$\frac{\partial \zeta}{\partial t} + u \frac{\partial \zeta}{\partial x} + D \frac{\partial u}{\partial x} - \frac{uD}{L_b} = 0$$

$$\frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} + g \frac{\partial \zeta}{\partial x} + C_{f0} \frac{u|u|}{D} = 0$$
(2)

where  $D = \zeta + D_0$  denotes the total water depth, with  $\zeta$  the free surface elevation and  $D_0$  the still water depth and u stands for the cross-sectionally averaged flow velocity. The friction term is modeled by a quadratic law, with  $C_{f0}$  the friction coefficient.

As already remarked by others in the literature [1, 2, 4, 13] three external dimensionless parameters appears to fully control the system (2). Following the scaling of the equations proposed by Bonneton *et al.* [13], these parameters are:

$$\epsilon_0 = \frac{A_0}{D_0} , \qquad \delta_0 = \frac{L_{w0}}{L_b} , \qquad \phi_0 = \frac{C_{f0}(gD_0)^{1/2}}{\omega_0 D_0} ;$$
 (3)

where  $D_0$ ,  $A_0$  and  $\omega_0$  form a set of reference external parameters, respectively the water depth, the amplitude and the angular frequency of the tidal wave. Here  $\epsilon_0$  represents the standard nonlinearity parameter,  $\delta_0$  is the convergence ratio,  $\phi_0$  is a friction parameter and  $L_{w0} = (gD_0)^{1/2}\omega_0^{-1}$  is the frictionless tidal<sup>91</sup> wave length scale.

<sup>92</sup> Field observations reported in [3] show that in tidal bore estuaries the tidal

<sup>93</sup> wave nonlinearity is mainly characterized by the dimensionless parameter

$$D_i^* = \epsilon_0 \phi_0 / \delta_0 , \qquad (4)$$

being always one order of magnitude greater than  $\epsilon_0$ .

Both theoretical studies [1] and experimental observations [3] agree that large 95 values of the dissipation parameter  $D_i^*$  produce great distortion and peaking of 96 the free surface and velocity profiles of the tidal wave, leading to flood domi-97 nance; characteristics which correspond to necessary conditions for tidal bore 98 formation  $(D_i^* \ge 1.7 \text{ in } [3])$ . However, large values of  $D_i^*$  correspond also to 99 high energy dissipation, leading to tidal damping; unfavorable to tidal bore for-100 mation. For this reason some natural estuaries, despite having high values of 101  $D^{\ast}_{i},$  don't display a bore. In order to evaluate the relative importance of friction 102 in the momentum balance, several definitions of the friction parameter  $\phi_0$  have 103 been introduced in the literature [1, 2, 17, 4]. Although all of these definitions 104 are analogous from a physical point of view, only the one introduced by the 105 present authors in [13] allows to investigate separately the opposite effects of 106 peaking and dissipation taking place for high values of  $D_i^*$ . In this paper we 107 describe the details of the numerical investigation behind the scaling proposed 108 in [13]. We will thus explore numerically the space of the external parameters 109  $\epsilon_0, \delta_0$  and  $\phi_0$ , quantifying which range of values is in favour of tidal bore for-110 mation. 111

Considering that, for most alluvial estuaries, the convergence ratio  $\delta_0$  is close to 112 2 (as showed in figure 2 of [13]), we have chosen to perform our analysis using 113 a constant value  $\delta_0 = 2$ . Due to such a simplification, the expression of the 114 dissipation parameter (4) reduces to:  $D_i^* = \alpha \epsilon_0 \phi_0$  (with  $\alpha$  constant). Thus, it 115 is possible to investigate the separate effects of peaking and dissipation, both 116 contained in  $D_i^*$ , by numerically exploring only the plane of the dimensionless 117 parameters  $(\phi_0, \epsilon_0)$ . The effects of nonlinear dispersion and of the discharge are 118 also investigated, while we leave out for the moment the influence of bathymetric 119

#### 120 variations.

#### 121 3. Numerical model

#### 122 3.1. Governing equations

For nonlinear long waves, a reasonably general description is provided by 123 the fully nonlinear weakly dispersive Serre-Green-Naghdi equations. As already 124 mentioned, this model allows to simulate the phenomenon in its entirety, includ-125 ing the nonlinear dispersive effects active on the smaller scales. To the authors' 126 knowledge, it does not exist so far any mathematical model allowing to account 127 for such effects in quasi-1D setting. The closest work is the very recent paper 128 of Winckler and Liu [18], who developed a set of weakly nonlinear Boussinesq 129 equations. This justifies the use of a full two-dimensional model. In particular, 130 the SGN equations can be recast as [19, 20]: 131

$$\frac{\partial \zeta}{\partial t} + \nabla \cdot \left( D \, \boldsymbol{u} \right) = 0 \,, \tag{5a}$$

$$\frac{\partial u}{\partial t} + (\boldsymbol{u} \cdot \nabla) \boldsymbol{u} + g \nabla \zeta + C_{f0} \frac{\boldsymbol{u} |\boldsymbol{u}|}{D} = \boldsymbol{\psi} , \qquad (5b)$$

$$(\mathbf{I} + \mathbf{T}) \boldsymbol{\psi} = \mathbf{T} \left( g \nabla \zeta - C_{f0} \frac{\boldsymbol{u} |\boldsymbol{u}|}{D} \right) - \mathbf{Q}(\boldsymbol{u}) ; \qquad (5c)$$

where u is the depth averaged velocity vector of horizontal component u and transversal one v,  $\psi$  characterizes the non-hydrostatic and dispersive effects, and the linear operators  $T(\cdot)$  and  $Q(\cdot)$  are the same defined in [20] and their expressions are given in appendix A.

It is worth noting that, when applying the scaling proposed in [13] and recalled 136 in section 2 to system (5) (details are reported in appendix A), an additional 137 dimensionless parameter  $\mu^2 = (D_0/L_0)^2$  appears into the equations, multiply-138 ing all the dispersive terms (cf. equation (17b)). This parameter is responsible 139 for the fact that the non-hydrostatic effects become negligible when the charac-140 teristic scale of the phenomenon is large, transforming the original SGN system 141 of equations into the non-conservative form of the Non-Linear Shallow Water 142 (NLSW) system. For this reason, dispersion does not influence significantly 143

the large scales of tidal wave propagation, which can be well described by the
NLSW equations. A direct verification of this is given and discussed in section 5.

#### 147 3.2. Numerical strategy

To solve system (5) we adopt the strategy illustrated in [20]. Given an ini-148 tial solution, the Serre-Green-Naghdi system is solved in two independent steps. 149 First, the elliptic equation (5c) is solved for the non-hydrostatic term  $\psi$ . Then, 150 an hyperbolic step is performed for equations (5a)-(5b), evolving the flow vari-151 ables in time. This strategy solution has been shown to be very flexible and 152 robust, producing accurate results with different combinations of discretization 153 schemes in space and time. For this study, in the hyperbolic phase a third order 154 MUSCL finite volume scheme has been used, together with a third order SSP 155 Runge-Kutta method for the evolution in time. The elliptic phase is solved, 156 instead, with a classical second order finite element approach. The overall hy-157 brid FV-FE scheme obtained is thus characterized by a dispersion error of the 158 same order, or smaller, than those produced by fourth order finite differencing, 159 providing that at least third order of accuracy is guaranteed for the hyperbolic 160 component. The interested reader can refer to [20] for more details. 161

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#### 163 3.3. Boundary conditions

The choice of the boundary conditions affects the flow field significantly [21]. 164 While exact boundary conditions can be generally imposed in the case of homo-165 geneous hyperbolic problems, this remains an active research topic when source 166 terms are included inside the equations. The task becomes even harder working 167 with dispersive models. Therefore, while the fully reflective wall boundary con-168 dition can be obtained by imposing some symmetric conditions stemming from 169 the satisfaction of :  $\mathbf{u} \cdot \mathbf{n} = 0$  on the wall line (being  $\mathbf{n}$  the normal direction to 170 the wall), inflow and outflow boundaries require more complex treatments (cf. 171 [22, 23, 24, 25]).172

<sup>173</sup> In this work, the interest in long scale dynamics justifies the use of the NLSW <sup>174</sup> invariants also when the SGN equations are solved inside the computational <sup>175</sup> domain [26]. The illustrative results contained in section 5 show that the effects <sup>176</sup> of this approximation on the large scale is completely negligible on both coarse <sup>177</sup> and fine computational meshes.

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In practice, the seaward boundary condition is applied by imposing in the incoming NLSW Riemann invariant the free surface given by the sea level tidal oscillation:

$$\zeta(t) = A_0 \sin\left(\frac{2\pi t}{T_0}\right) \tag{6}$$

We consider here the case of semi-diurnal tides with period  $T_0 = 12.41 \ [h]$ .

On the landward boundary, the outflow condition is set imposing the informa-183 tion of still water (we recall that the river discharge is neglected at this level) 184 coming from far on the right. However, the imposition of the homogeneous 185 invariant cannot take into account the rise of the mean (tidally averaged) wa-186 ter level landward caused by the friction (cf. [5]), introducing a non-physical 187 behavior of the solution in the outlet proximity. For this reason, the outflow 188 boundary conditions has been coupled with an extension of the computational 189 domain, to reduce the spurious influences on the solution in the region of study. 190 In practice it has been enlarged up to  $x = 6L_b$ , to further limit non-physical 191 effects due to the boundary condition. 192

In order to guarantee the reliability of the results, a sensitivity study has been
performed in comparison with the technique of boundary imposition applied by
[21] and a reference solution computed using a very long computational domain.
For the interested reader the results of such study are reported in appendix B.

## <sup>197</sup> 4. Computations setting

Tide propagation up to an estuary is, in nature, partly limited by an increasing bottom slope and by discharge. Considering that the effects of both the bathymetric variations and the river discharge have been, at first, neglected

in our simulations, even the weakest and linear tidal wave would be able to 201 propagate landward and, being dissipated by only friction, it would reaches 202 non-physical distances with also possible generation of unnatural bores. For 203 this reason, and for later use, a characteristic physical length  $L_c$  has been intro-204 duced to limit the region in which the tidal signal is assumed to be physically 205 relevant. In particular, examining real estuaries data, we observed that tidal 206 bores occur, before reaching a distance of  $3L_b$  from the estuary mouth, see table 207 1. Thus, we have chosen to limit the region of interest for our simulations to 208  $L_c = 3L_b.$ 

Estuaries	$L_b \; [\mathrm{km}]$	$x_c \; [\mathrm{km}]$	$x_c/L_b$
Gironde/Garonne	43	90	2.09
Hooghly	25	60	2.4
Humber	25	75	3.0
Pungue	17	50	2.94
Qiantang	40	90	2.25
Severn	41	55	1.34

Table 1: Ratio between the location of tidal bore inception  $x_c$  and convergence length  $L_b$  for some alluvial estuaries. Data taken from [3].

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Moreover, tidal bore inception is a continuous process which takes place as 210 a gradual increase of the free surface slope at the beginning of the flood phase. 211 The same continuous increase of steepness is observed in our simulations. A 212 criterion is thus needed to detect the bore onset, within the domain  $[0, L_c]$ 213 just defined. During the two measurement campaigns on the Garonne river, 214 Bonneton et al.[3] observed that bore passage was associated to an increase in 215 the value of the free surface spatial gradient, at the start of the flood, with at 216 least one order of magnitude (from  $\mathcal{O}(10^{-4})$  in the smooth case without tidal 217 bore). For each numerical simulation we compute, in a post-processing phase, 218 the spatial slope of the free surface all along the domain, up to  $L_c$ , retaining for 219 each position x the maximum value in the tidal period. The quantity  $A_{max}$  has 220

<sup>221</sup> been defined as:

$$A_{max} = \max_{x \in L_c} \left[ \max_{t \in T_0} \left( \frac{\partial \zeta(x, t)}{\partial x} \right) \right]$$
(7)

and the following criterion to determine tidal bore onset is used:  $A_{max} \ge 10^{-3}$ .

#### 223 5. Undular tidal bore formation

Preliminary simulations concerning the development of an undular tidal bore into the idealized channel of figure 1 are reported in this section. We consider a strongly nonlinear and weakly dissipative channel of  $\epsilon_0 = 0.7$  and  $\phi_0 = 10$ . To visualize the results we consider pointwise plots and distributions of the relevant quantities along the channel axis. In developed flow conditions, deviations in the transversal direction have been quantified to be less than 1.5%.

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Figure 2 shows the time series over one tidal cycle of the computed free sur-231 face elevation, measured at 11 gauges positions along the channel. It appears 232 evident that the results provided by the SGN model do not differ respect to 233 those obtained by using the NLSW model. Differences appear, instead, focus-234 ing on the region of the shock formation. The results obtained are then reported 235 in figure 3 and show the formation process of a undular tidal bore. Also in this 236 case, the two models give identical results in the whole domain except when the 237 shock is formed (cf. top of figure 3). On the discontinuity, in fact, the SGN 238 model provides an undular bore with local formation of a train of secondary 239 waves. These waves are characterized by a wavelength of 50 [m] and a period of 240 6[s] (cf. bottom of figure 3). However, it can be remarked that the position of 241 the bore inception, the mean bore jump and its celerity are well-described by 242 the NLSW model. This justifies its application for investigations on the large 243 scale estuary dynamics. Studies concerning the local effects on tidal bores or 244 flooding problems cannot, instead, avoid the use of a dispersive model to cor-245 rectly reproduce the peaks of water height due to the secondary waves. 246

Field observations lead Bonneton *et al.* [3] to consider that the location of the tidal bore onset is associated with the appearance of an inflexion point on the



Figure 2: Illustrative result of a tidal propagation into an idealized channel with the shape described in section 2,  $\epsilon_0 = 0.7$ ,  $\phi_0 = 10$ . Computed free surface profile, measured at 11 stations along the channel corresponding to  $x = \alpha L_B$  with  $\alpha = 0 : 1/3 : 3$ . The simulation have been realized using both the NLSW and the SGN models.

tidal wave profile. However, these authors stress the difficulty to characterize 249 the tidal bore onset on the river, since this would require a high density of water 250 elevation measurements over a long distance. By contrast, with our numerical 251 simulations we are able to provide a full set of subsequent snapshots (cf. figure 252 4), describing the progressive transition from a tidal wave regime without bore 253 (cf. figures 4a and 4b) to a well-developed undular tidal bore regime (cf. fig-254 ures 4g and 4h). The gradual evolution of the surface profile towards the bore 255 inception passes by the appearance of an inflexion point at the beginning of the 256 257 rising tide.

In the middle and upper part of the estuary, the value of  $A_{max}$ , used in our tidal bore onset criterion, is registered at the beginning of the rising tide. As a consequence, the pictures of figure 4 allow to observe the temporal evolution of such quantity as the tide propagates landward. The values of  $\max_{x \in L_c} \left(\frac{\partial \zeta}{\partial x}\right)$  for the eight pictures of figure 4 are, thus, showed in table 2 for the two NLSW and SGN model. It is worth to note that the dispersive terms becomes relevant after the threshold of  $A_{max}$  has been reached, *i.e.* when tidal bore occurrence has



Figure 3: Illustrative result of tidal propagation into an idealized channel with the shape described in section 2,  $\epsilon_0 = 0.7$ ,  $\phi_0 = 10$ . Top: computed free surface profile at different increasing times of the simulation. Bottom-left: free surface signal in space. Bottom-right: free surface signal in time.

already been flagged by our criterion. We can, thus, conclude that tidal bore appearance is completely determined by the values of the external parameters  $\epsilon_0$ ,  $\delta_0$  and  $\phi_0$  and is not influenced by the choice of the model used for the numerical simulations.

# <sup>269</sup> 6. Study of tidal bore occurrence

The results of the previous section show that dispersive effects are not necessary to study the large scales of the propagation and transformation of the tide. At these scales the NLSW system is a sufficiently accurate and more efficient



Figure 4: Computed free surface profile at different increasing times of the simulation, showing the undular bore inception from the formation of an inflexion point of the wave profile: from top left to bottom right.

	NLSW	SGN
a)	$7.25\times 10^{-5}$	$7.25\times 10^{-5}$
b)	$1.05\times 10^{-4}$	$1.05\times 10^{-4}$
c)	$1,74\times10^{-4}$	$1,74\times 10^{-4}$
d)	$4.11\times 10^{-4}$	$4.11\times 10^{-4}$
e)	$1.9 imes10^{-3}$	$1.9 imes10^{-3}$
f)	$2.87  imes \mathbf{10^{-3}}$	$1.61  imes 10^{-3}$
g)	$5.04 imes10^{-3}$	$3.77 imes10^{-3}$
h)	$9.47 imes10^{-3}$	$7.38 imes10^{-3}$

Table 2: Values assumed by the quantity  $\max_{x \in L_c} \left(\frac{\partial \zeta}{\partial x}\right)$  in the several pictures of figure 4 and for the two NLSW and SGN models. Bold numbers represent values which satisfy the tidal bore onset criterion:  $A_{max} \geq 10^{-3}$ 

model. This is why we will employ it here to perform the numerical investigation of the space ( $\phi_0$ ,  $\epsilon_0$ ) of dimensionless parameters, trying to quantify the favorable conditions for bore inception. However, dispersion simulations will still be used in some cases to provide some quantification of the local order of magnitude of the non hydrostatic terms. This quantitative comparison will allow to comfort our hypothesis and provide further insight into the mechanisms of bore formation.

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#### 281 6.1. Investigation of the plane $(\phi_0, \epsilon_0)$

We have performed 225 simulations of the idealized case of study defined in section 2, corresponding to an equivalent number of estuaries. We recall that the value of convergence ratio is constant,  $\delta_0 = 2$ , and thus each simulation represents a unique combination of the parameters  $\epsilon_0$ ,  $\phi_0$ , corresponding to precise conditions of the tidal forcing at the mouth and to specific geometrical and physical properties of the channel. In such a way we have systematically investigated the plane ( $\phi_0$ ,  $\epsilon_0$ ), applying the criterion described in section 4 in order to detect bore formation. Figure 5(left) shows the contour lines of the quantity  $A_{max}$  in the plane of the parameters ( $\phi_0$ ,  $\epsilon_0$ ), performed by collecting and linearly interpolating the simulations' results. The red color denotes the region where the bore detection criterion is satisfied, while the blue one represents cases characterized by a smooth solution. Figure 5(right) will be discussed later on. The results show that there exists a critical curve  $\epsilon_c(\phi_0)$  that can be traced in this plane, dividing tidal-bore and no-tidal-bore estuaries. This curve is the white dashed line traced in figure 5(left). Observing the shape of the isolines



Figure 5: (Left): Isocurves of the quantity  $A_{max}$  in the plane of the parameters ( $\phi_0$ ,  $\epsilon_0$ ), the white dashed line represents the  $\epsilon_c(\phi_0)$  curve, namely the limit for tidal bore appearance following the criterion  $A_{max} \ge 10^{-3}$ . (Right) : Projection of real alluvial estuaries data on the plane ( $\phi_0$ ,  $\epsilon_0$ ); with a grey dashed line (- -) we have represented by hand the transition between the two regimes, inspired by the similar trend in the left picture. The database used to generate the picture can be found in table 2 of [13], for brevity and completeness we list here the name and number of the estuaries included in the picture: 1. Chao Phya; 2. Columbia; 3. Conwy; 4. Corantijin; 5. Daly; 6. Delaware; 7. Elbe; 8. Gironde; 9. Hooghly; 10. Humber; 11. Limpopo; 12. Loire; 13. Mae Klong; 14. Maputo; 15. Ord; 16. Pungue; 17. Qiantang; 18. Scheldt; 19. Severn; 20. Tha Chin; 21. Thames. Sources: [6] for estuaries 1, 4, 11, 13, 14, 18, 20; [1] for 2, 3, 6, 7, 15, 19, 21; [3] for 8, 9, 10, 16, 17; [27] for 5; [28] for 12.

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<sup>297</sup> in figure 5(left), two different behaviors can be distinguished, depending on the <sup>298</sup> values assumed by the friction parameter  $\phi_0$ .

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The first region is characterized by values of the friction parameter in the

range  $1 < \phi_0 \leq \sim 20$ . Here the mechanism of bore inception appears to be fully 300 controlled by the nonlinear parameter  $\epsilon_0$ . Figure 6 shows a comparison between 301 two numerical results computed using two different values of  $\epsilon_0$ , one just above 302 the critical curve and one just below it ( $\epsilon_0 = 0.3$  and  $\epsilon_0 = 0.225$  respectively), 303 at a constant value of  $\phi_0$  equal to 13.33. The comparison is made in terms of 304 the free surface and velocity time series, respectively in figure 6(a) and 6(b), 305 measured at the location  $x = L_c$ . In both figures, the signals associated to the 306 case at higher  $\epsilon_0$  are characterized by a greater distortion at the beginning of 307 the flood phase, leading to bore formation according to our threshold. The two 308 zooms, displayed in figures 6(c) and (d), allow to better appreciate the different 309 time gradients exhibited by the water wave on the time scale of the tidal bore 310 (around 20 minutes). It is also interesting to note that the free surface profile 311 of figure 6(a) does not display a Burger's like shock, but rather a knee shape 312 is observed. This is mainly due to the fact that the nonlinear effect of friction 313 prevails on the advective one, remaining the dominant nonlinearity for the major 314 part of the wave transformation. Figure 7 displays the relative importance of 315 the various terms in the momentum equation during a tidal cycle in the previous 316 case of bore development ( $\epsilon_0 = 0.3$  and  $\phi_0 = 13.33$ ). In the figures, the time 317 evolution of these quantities is represented at three equispaced locations along 318 the channel, in particular at  $x = \frac{1}{3}L_c$ ,  $x = \frac{2}{3}L_c$  and  $x = L_c$ . It emerges that 319 the advective term remains negligible in the momentum equation and the bore 320 formation results from a balance between acceleration, friction and hydrostatic 321 terms. Only in the presence of incipient bore, the relative importance of the 322 advective term rises up overcoming the frictional nonlinearity. This behaviour 323 can be also recovered when a dispersive bore development is simulated. For 324 the previous case of undular bore formation of section 5, the time variations 325 of the relative magnitude for the momentum equation's terms are compared 326 in figure 8. For sake of clarity, data are shown for the small time of the bore 327 passage at two space locations: just before (left) and after (center and right) 328 the appearence of the secondary waves. It can be seen that, as in the previous 320 case of figure 7, the advective term becomes the relevant nonlinear term on the 330



Figure 6: Time variation of the free surface elevation (a) and the velocity (b) signals measured at  $x = L_c$  for a fixed value of  $\phi_0 = 13.33$  and the two different values of  $\epsilon_0 = 0.225$  (-) and  $\epsilon_0 = 0.3$  (-). (c) and (d) are two zooms on the water wave profile at the beginning of the flood phase, on the time scale of the tidal bore (around 20 minutes).

bore location. However, in this case, its increase is partially limited by the rise of the dispersive term in a general context dominated by the balance between the inertial and the hydrostatic contributions.

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For higher values of  $\phi_0$  ( $\phi_0 \ge \sim 20$ ), the isolines of  $A_{max}$  spread out forming a wider transition region but, more important, display an almost vertical slope. This implies an increasing role of the friction parameter in the physical mechanism of bore formation. In figure 9, we show the solutions computed for



Figure 7: The order of magnitude of the several dimensionless terms in the momentum equation of system (17) without dispersion; three test sections are presented: at  $x = \frac{1}{3}L_c$  (left),  $x = \frac{2}{3}L_c$  (center) and  $x = L_c$  (right): (a)  $\frac{\partial \boldsymbol{u}}{\partial t}$ , (b)  $\frac{K}{\mathcal{L}}\epsilon_0(\boldsymbol{u}\cdot\nabla)\boldsymbol{u}$ , (c)  $\frac{1}{K\mathcal{L}}\delta_0^2\nabla\zeta$  and (d)  $K\frac{\epsilon_0\phi_0}{\delta_0}\frac{\boldsymbol{u}|\boldsymbol{u}|}{D}$ . Upper and lower peaks on the figure (right) reach values respectively of 30.65 and -28.61.



Figure 8: The order of magnitude of the several dimensionless terms in the momentum equation of system (17), including the dispersion; two test sections are presented: at  $x = \frac{1.02}{3}L_c$ (left) and  $x = \frac{1.12}{3}L_c$  (center), focusing on the time scale of the bore passage, while the third picture (right) represents, for clearness, a zoom of the central one: (a)  $\frac{\partial \boldsymbol{u}}{\partial t}$ , (b)  $\frac{K}{\mathcal{L}}\epsilon_0(\boldsymbol{u}\cdot\nabla)\boldsymbol{u}$ , (c)  $\frac{1}{K\mathcal{L}}\delta_0^2\nabla\zeta$  and (d)  $K\frac{\epsilon_0\phi_0}{\delta_0}\frac{\boldsymbol{u}|\boldsymbol{u}|}{D}$  and (e)  $\mu^2\boldsymbol{\Psi}$ .

two cases across the transition zone ( $\phi_0 = 20$  and  $\phi_0 = 40$  respectively), keep-339 ing constant  $\epsilon_0\,=\,0.4$  . Once again, we look to the free surface and velocity 340 time signals to compare the two results, figures 9(a) and 9(b) respectively. It 341 clearly appears that an increase of the value of the friction parameter  $\phi_0$  is 342 directly associated to both potential and kinetic energy dissipation, leading to 343 more damped profiles. This process decreases the local nonlinearity of the wave 344 which, in turn, is smeared out, as one can see from the free surface zooms on the 345 time scale of the bore (figures 9(c) and 9(d)). Moreover, figures 9(b) displays 346 the particular tendency of the tidal current to become constant during the ebb 347 tide for large values of the friction parameter (strongly dissipative estuaries), in 348

#### <sup>349</sup> agreement with previous studies (e.g. [1] and [3]).



Figure 9: Time variation of the free surface elevation (a) and the velocity (b) signals measured at  $x = L_c$  for a fixed value of  $\epsilon_0 = 0.4$  and the two different values of  $\phi_0 = 20$  (-) and  $\phi_0 = 40$ (-). (c) and (d) are two zooms on the water wave profile at the beginning of the flood phase, on the time scale of the tidal bore (around 20 minutes).

We can schematically summarize that the nonlinear parameter  $\epsilon_0$  mainly relates to the distortion mechanism which leads to bore formation, while the friction parameter  $\phi_0$  mainly relates the dissipation of the tidal wave, unfavorable to bore generation.

The separation between estuaries displaying or not a tidal bore, which emerges from our numerical results, is in qualitative agreement with real-estuary observations analyzed in [13]. A list of tidal and geometrical properties for 21 conver-

gent alluvial estuaries (8 of them displaying a bore and 13 not) has been used in 357 [13] to produce figure 5(right), reported here for sake of clarity. In the picture, a 358 grey dashed line dividing tidal-bore and no-tidal-bore estuaries has been traced 359 by hand, inspired by the trend of the critical curve emerged in figure 5(left). 360 We can notice that the numerical critical curve  $\epsilon_c(\phi_0)$  and the data-based one 361 only slightly differ considering the several simplifying modeling assumptions. 362 In particular, in real alluvial estuaries the general decrease of depth landward 363 is favorable to bore inception and this can cause the critical data-based curve 364 (figure 2b of [13]) to be located slightly below with respect to the modeling one 365 on figure 5(left). 366

From the observations made in the previous paragraph, a close relation between bore formation and tidal damping emerges. A standard parameter used in the literature [29, 30] to measure the amplification/damping of the tidal wave during its propagation along the estuary is the rate of change of the tidal range Tr, defined in accordance to reference [30] as:

$$\delta_{\rm Tr} = \frac{1}{\rm Tr} \frac{d{\rm Tr}}{dx} \ . \tag{8}$$

In the present work we integrate equation (8), from the estuary mouth to  $x = L_c$ (end of our region of study), and we compute, for each simulation performed, the quantity:

$$\Delta_{\rm Tr} = \frac{{\rm Tr}(L_c) - {\rm Tr}(0)}{{\rm Tr}(0)} \tag{9}$$

using the tidal range at the estuary mouth Tr(0) as a scaling factor.

Figure 10(left) shows, on the same plane  $(\phi_0, \epsilon_0)$  of figure 5, the contour lines 376 of the computed quantity  $\Delta_{Tr}$ , obtained by linearly interpolating the values of 377 each simulation. The black dashed line is the contour line for  $\Delta_{\rm Tr} = 0$ , namely 378 the marginal curve for tidal range amplification, where all the estuaries with 379 unamplified and undamped wave lie. It represents an ideal situation for which 380 the amplification effect associated to funneling is exactly balanced by friction. 381 The marginal curve divides the plane into two regions; estuaries located below 382 are characterized by a tidal range amplification while estuaries located above 383 are affected by damping. A simple analytical model, derived by Savenije et al. 384

<sup>385</sup> [29] in the linearized case, allows to explicitly compute the damping factor of <sup>386</sup> an estuary as a function of the three external independent parameters of the <sup>387</sup> estuarine dynamics. According to this model, Toffolon et al. [2] found that <sup>388</sup> synchronous estuaries ( $\delta_{\rm Tr} = 0$ ) lie on a curve, which can be recast in terms of <sup>389</sup> our parameters as:

$$\epsilon_0 = \frac{\delta_0(\delta_0^2 + 1)}{\phi_0} \ . \tag{10}$$

Using the value of  $\delta_0 = 2$  in (10), we get the red curve plotted in figure 10(left). 390 A good agreement is observed between the marginal curve obtained through 391 numerical simulations (black dashed line) and the one of the analytical model 392 of Savenije (red line), especially for low values of  $\epsilon_0$ , namely the linear regime. 393 From figure 10(left) it is interesting to note that  $\epsilon_0$  plays a fundamental role 394 also in the damping/amplification process. If we fix the physical and geometrical 395 properties  $L_b$ ,  $C_{f0}$ ,  $D_0$ , this corresponds to a specific estuary configuration 396 with  $\phi_0$  constant in addition to  $\delta_0 = 2$ . In this context, the variations of 397  $\epsilon_0$  can be considered as associated with neap-spring tide cycles. We can, thus, 398 conclude that strong tides lead to weaker tidal wave amplification values and, for 399 particularly strong tides, the wave most likely will be damped. This result is in 400 qualitative agreement with the physical observation made in [3] for the Garonne 401 river. Their measurements showed that, for such estuary always characterized 402 by tidal wave amplification, minor amplifications were related to higher values 403 of  $\epsilon_0$ , observed during spring tides. 404

The superimposition of the computed marginal curve on the contour lines of 405 the quantity  $A_{max}$ , in figure 10(right), shows that a large part of the red region 406 in the figure, lies in the part of the plane  $(\phi_0, \epsilon_0)$  characterized by damping of 407 the tidal range. This means that, despite a reduction of the local nonlinearity of 408 the wave, this remains high enough to develop distorted profiles and to produce 409 bores. We can conclude that, contrary to what is generally accepted, tidal 410 range amplification along the estuary is not a necessary condition for tidal bore 411 formation. 412



Figure 10: (Left): contour lines of the quantity  $\Delta_{\text{Tr}}$  on the plane ( $\phi_0$ ,  $\epsilon_0$ ); the black dashed line (- -) represents the marginal curve resulting from the computations, while the continuous red one (-) is the analytical marginal curve of the Savenije model [30]. Hot colors cover the region of amplification of the tidal wave during propagation; cold colors represent damping. (Right): the computed marginal curve (- -) is superimposed on the  $A_{max}$  contour lines.

## 413 7. Influence of river discharge

In the previous sections, we have analyzed tidal wave transformation and 414 tidal bore occurrence in a simplified context in which freshwater river discharge 415 was neglected. However, it is well known that tide in estuaries may be signif-416 icantly affected by the rate of discharge [16, 5]. The effects of river discharge 417 become much more important moving landward from the mouth of the estuary 418 and can influence for bore formation. The present section will provide a qual-419 itative estimation on the effects of discharge, leaving a full quantitative study 420 for future works. 421

In the experimental campaigns on the Garonne river, Bonneton et al. [3] 422 observed that small river discharges Q were favorable to tidal range amplifi-423 cation and bore occurrence, while significant freshwater discharges offsets the 424 amplification mechanism related to estuary convergence. Horrevoets et al. [16] 425 described, with an analytical model, that the influence of river discharge on tidal 426 damping takes place mainly through friction. Generally speaking, the tidally 427 averaged free surface elevation along the estuary does not coincide with the 428 mean sea level, due to the nonlinear frictional effect on the averaged water level 429

 $\bar{D}(x)$ . In practice there is a monotone increase of  $\bar{D}(x)$  landward, proportional to the river discharge Q, see [31, 5]. Moreover, a damping of the local tidal range Tr(x) has been pointed out by Bonneton et al. [3], hence the local nonlinear parameter  $\epsilon(x) = Tr(x)/(2\bar{D}(x))$  is a decreasing function of Q. Due to this damping effect, tidal bores are rarely observed for strong freshwater river discharges.

For a fixed estuary (fixed  $\delta_0$  and  $\phi_0$ ), the dimensionless parameters governing 436 the flow dynamics are the amplitude of tidal forcing  $\epsilon_0$  and the dimensionless 437 intensity of river discharge  $Q_0$ . The goal of the present section is to explore the 438 space of parameters  $(\epsilon_0, Q_0)$  in order to find, for an estuary characterized by 439  $\delta_0 = 2$  and  $\phi_0 = 18$  (values closed to the ones of the Garonne river), a critical 440 curve  $\epsilon_c(Q_0)$  for tidal bore development, following the criterion  $A_{max} \ge 10^{-3}$ . 441 In order to perform our investigation, we have to express  $Q_0$  as a function of the 442 external variables of the problem. The scaling analysis proposed in [13] leads 443 to the definition of a parameter  $K = \frac{U_0 D_0}{A_0 \omega_0 L_b}$  (see also appendix A for details), 444 that has been measured to be  $K \sim 1$  in convergent alluvial estuaries [3]. A 445 characteristic velocity scale  $U_0 = \epsilon_0 \omega_0 L_b$  can, thus, be derived. Being  $B_0$  the 446 width at the estuary mouth (figure 1), we finally define: 447

$$Q_0 = \frac{Q}{A_0 B_0 L_b \omega_0} . \tag{11}$$

The river discharge is introduced by the boundary condition already described in 448 section 3, through the incoming Riemann invariant from far on the right. Figures 449 11 shows, for the particular estuary considered, the effect of an increasing river 450 flow in terms of normalized free surface elevation and velocity signals at the 451 position  $x = L_c$  along the channel. The simulations were performed using 452  $\epsilon_0 = 0.32$  and a range of values  $Q_0 \in [0, 4.16 \times 10^{-3}]$ , obtained by scaling 453 the typical values of the Garonne river through relation (11). In particular, 454 the values  $Q_0 = 4.16 \times 10^{-4}$  and  $Q_0 = 4.16 \times 10^{-3}$  correspond to the low and 455 high characteristic fresh water discharges measured in the Garonne (respectively 456  $Q = 150 \ [m^3/s]$  and  $Q = 1500 \ [m^3/s]$ ). In figure 11 (a), we observe that the 457 dimensionless mean water depth  $\overline{D}(L_c)/D_0$  increases with  $Q_0$ , from 1.033 with 458

 $Q_0 = 0$  to 1.219 with  $Q_0 = 4.16 \times 10^{-3}$ . We can also measure the damping 459 effect of freshwater river discharge on the tidal range; the dimensionless value 460  $\Delta_{Tr}(L_c)$  (as defined in (8)) goes from 0.156 with  $Q_0 = 0$  (amplified case) to 461 -0.041 with  $Q_0 = 4.16 \times 10^{-3}$  (damped case). An important vertical shift of 462 the velocity curve, in agreement with field observations [16], can be observed in 463 figure 11 (b), moving towards the condition of unidirectional flow. Moreover, 464 it can be noticed that this result confirms the theoretical predictions set by 465 Horrevoets et al. [16] concerning the evolution of the phase lag between high 466 water and high water slack (and at the same time between low water and low 467 water slack). Note that all the effects described are small below the value of 468  $Q_0 = 4.16 \times 10^{-4}$  and the discharge does not affect the topology of the tidal 469 wave for the river flow values typically observed in the Garonne river at the end 470 of the summer season. Thus, the parametric analysis performed in section 2, by 471 neglecting  $Q_0$ , can be considered valid in this range of small  $Q_0$ . 472

In figure 11 (c), the zoom on the time scale of the bore displays the ten-473 dency of the free surface profile to become much smoother as the value of river 474 discharge increases. In order to explore better this point, we have performed 47 475 simulations for different combinations of tidal amplitude and river discharge ( $\epsilon_0$ , 476  $Q_0$ ). The values of  $A_{max}$ , obtained for all the simulations, have been plotted in 477 figure 12. Note that we chose to represent in the y-axis of the figure the product 478  $Q_0\epsilon_0$ , rather than simply  $Q_0$ , in order to remove the dependence of  $Q_0$  from  $A_0$ 479 (11). The figure shows that, in the presence of weak river discharges, estuarine 480 dynamics is not influenced by  $Q_0$  and, consequently, the effects of discharge can 481 be considered negligible in the bore formation process. A qualitative critical 482 curve  $\epsilon_c(Q_0)$  has been traced by hand (grey dashed line in figure 12) according 483 to the few computations performed and following the criterion  $A_{max} \geq 10^{-3}$ . 484 This trend is in qualitative agreement with experimental data for the Garonne 485 river, presented in [13]. 486



Figure 11: Time variation of the water depth (a) and velocity (b) signals measured at  $x = 3L_b$ for an ideal estuary characterized by  $\epsilon_0 = 0.32$ ,  $\phi_0 = 18$  and increasing values of freshwater discharge from  $Q_0 = 0$  to  $Q_0 = 4.16 \times 10^{-3}$  (in particular the values have been chosen considering the typical range of values displayed by the Garonne river and measured by Bonneton et al. [3]). Figure (c) represents a zoom on (a) in the time scale of the bore (around 20 min).

# 487 8. Conclusions

The two-dimensional Serre-Green-Naghdi system of equations has been used in order to simulate the inception of tidal bores in convergent alluvial estuaries of idealized geometry. Exploiting the dispersive properties of the model, we were, thus, the first to reproduce the formation of an undular tidal bore inside a channel, to the authors' knowledge. The results obtained have illustrated that dispersion does not impact the large scale of propagation of the tide, as it comes



Figure 12: Circles represent computations performed for a fixed estuary ( $\delta_0 = 2$  and  $\phi_0 = 18$ ) varying the values of the tidal forcing amplitude  $A_0$  and river discharge  $Q_0$ ; colors represent the intensity of  $A_{max}$  for each computation; with a grey dashed line we have represented by hand the  $\epsilon_c(Q_0)$  curve, namely the limit for tidal bore appearance following the criterion  $A_{max} \geq 10^{-3}$ 

<sup>494</sup> also from the scaling analysis of the equations proposed in appendix A, and af-<sup>495</sup> fects the solution only in the proximity of bore formation. For these reasons, <sup>496</sup> an accurate description of the free surface profile at large scale can be obtained <sup>497</sup> via the simpler NLSW system. Under the hypotheses of constant bathymetry <sup>498</sup> and negligible river discharge, we have thus employed the NLSW equations to <sup>499</sup> numerically investigate the bore occurrence in convergent alluvial estuaries of <sup>500</sup> idealized geometry.

The scaling of the equations shows that estuarine dynamics is fully controlled 501 by three dimensionless parameters entirely dependent on the estuary geometri-502 cal properties and tidal forcing: the nonlinearity  $\epsilon_0$ , the convergence parameter 503  $\delta_0$  and the friction parameter  $\phi_0$ , defined by (3). Taking a constant value of 504  $\delta_0 = 2$ , we have numerically explored the space  $(\phi_0, \epsilon_0)$ . By means of a bore 505 detection criterion, we have traced the critical line  $\epsilon_c(\phi_0)$  dividing estuaries into 506 displaying or not a tidal bore. This curve is in good agreement with real es-507 tuaries data, despite the several assumptions made, and provides the necessary 508

conditions for tidal bore formation. These conditions are a result of a complex 509 equilibrium between nonlinear distortion and tidal range damping/amplification 510 processes both driven by the dissipation parameter  $D_i^* \propto \epsilon_0 \phi_0$  multiplying the 511 friction, the dominant nonlinear term for this class of estuaries. The particular 512 shape of  $\epsilon_c(\phi_0)$  shows that, for low values of  $\phi_0$  (indicatively  $1 < \phi_0 \leq \sim 20$ ), 513 bore formation depends almost exclusively on the nonlinear parameter  $\epsilon_0$ , while 514 being, instead, mainly disciplined by the dissipation, related to the value of  $\phi_0$ , 515 for  $\phi_0 \geq \sim 20$ . 516

The critical curve intersects the computed marginal curve of amplification, di-



Figure 13: The computed critical line for bore formation (white dashed line) and the computed marginal curve of amplification (black dashed line) divide the  $(\phi_0, \epsilon_0)$  plane into four main areas. In the picture, TB stands for tidal-bore estuaries, while NTB stands for no tidal-bore estuaries; A indicates amplification of the tidal wave along the estuary, D damping.

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viding the plane into four main areas. Estuaries will thus experience tidal range amplification or damping, tidal bore formation or not, depending on which region in the plane they belong to (see figure 13). The existence of a sector characterized by tidal bore generation and tidal range damping shows that tidal range amplification along the estuary is not a necessary condition for tidal bore occurrence, as it is instead commonly assumed in the literature [32]. This result is also in agreement with field observations analyzed in [13]. Finally, we have studied the effect of river discharge for estuaries characterized by  $\delta_0 = 2$  and  $\phi_0 = 18$  (which are close to the values displayed by the Gironde/Garonne estuary). We have shown that for low  $Q_0$  (i.e.  $Q_0 <$  $4.16 \times 10^{-3}$ ), corresponding to the dry season, the effect of river discharge on tidal wave dynamics and bore formation can be neglected.

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The above findings are based on several simplifying assumptions, that have allowed a clear understanding of the bore inception mechanism. Other effects influencing the spatial location of bore development have been so far ignored and may arise due to the variable bathymetry, river banks and meanders. The consideration of such effects will require significant attention, but will provide a more thorough comprehension when approaching the analysis of real natural estuaries.

#### <sup>538</sup> Appendix A: Scaling of the SGN equations

In this section we report some details concerning the application of the scaling proposed in [13] to the SGN system of equations (5). The following scaling of the physical variables is thus applied:

$$x = L_0 x' ; \qquad y = L_0 y' ; \qquad t = \frac{t'}{\omega_0} ; \qquad D = D_0 D' ; \qquad (12)$$
$$\zeta = A_0 \zeta' ; \qquad \boldsymbol{u} = U_0 \boldsymbol{u}'.$$

The governing equations (5a) and (5b), written in dimensionless form, will thus read (dropping the primes for sake of clarity):

$$\frac{\partial \zeta}{\partial t} + \frac{K}{\mathcal{L}} \left( \epsilon_0 \, \boldsymbol{u} \nabla \zeta + D \nabla \cdot \boldsymbol{u} \right) = 0 \,, \qquad (13a)$$

$$\frac{\partial u}{\partial t} + \frac{K}{\mathcal{L}} \epsilon_0 (\boldsymbol{u} \cdot \nabla) \boldsymbol{u} + \frac{1}{K \mathcal{L}} \delta_0^2 \nabla \zeta + K \frac{\epsilon_0 \phi_0}{\delta_0} \frac{\boldsymbol{u} |\boldsymbol{u}|}{D} = \boldsymbol{\psi} .$$
(13b)

where  $\mathcal{L} = \frac{L_0}{L_b}$  and  $K = \frac{U_0 D_0}{A_0 \omega_0 L_b}$  are functions of the length and velocity scales of the phenomenon [13] and the scale factor  $\frac{2\pi U_0}{T_0}$  has been used for the nonhydrostatic term  $\psi$ . <sup>547</sup> Considering a flat bathymetry, the linear operators  $T(\boldsymbol{w})$  and  $Q(\boldsymbol{w})$ , applied to <sup>548</sup> a generic vector  $\boldsymbol{w}$ , are:

$$T(\boldsymbol{w}) = -\frac{1}{3D} \nabla \left( D^3 \nabla \cdot \boldsymbol{w} \right) , \qquad (14a)$$

$$Q(\boldsymbol{w}) = \frac{2}{3D} \nabla \left[ D^3 \left( \nabla \boldsymbol{w}_1 \cdot \nabla^{\perp} \boldsymbol{w}_2 + \left( \nabla \cdot \boldsymbol{w} \right)^2 \right) \right]; \qquad (14b)$$

<sup>549</sup> in which  $\boldsymbol{w}_1$  and  $\boldsymbol{w}_2$  indicates respectively the first and second component of the <sup>550</sup> vector  $\boldsymbol{w}$  and  $\nabla^{\perp}$  stays for the normal gradient operator. Applying the scaling <sup>551</sup> (12) to the two expressions above and introducing the dimensionless dispersion <sup>552</sup> parameter  $\mu = \frac{D_0}{L_0}$ , we can state that  $T(\boldsymbol{w}) = \mu^2 T'(\boldsymbol{w})$  and  $Q(\boldsymbol{w}) = \frac{\mu^2}{L_0} Q'(\boldsymbol{w})$ . <sup>553</sup> Equation (5c), written in terms of dimensionless variables, then becomes (still <sup>554</sup> primes are dropped for clarity):

$$\left(\mathbf{I} + \mu^{2}\mathbf{T}\right)\boldsymbol{\psi} = \mu^{2}\mathbf{T}\left(\frac{1}{K\mathcal{L}}\delta_{0}^{2}\nabla\zeta - K\frac{\epsilon_{0}\phi_{0}}{\delta_{0}}\frac{\boldsymbol{u}|\boldsymbol{u}|}{D}\right) - \mu^{2}\mathbf{Q}\left(\frac{K}{\mathcal{L}}\epsilon_{0}\boldsymbol{u}\right).$$
(15)

<sup>555</sup> Equation (15) can be rearranged as follows:

$$\boldsymbol{\psi} = \mu^2 \left( \mathbf{I} + \mu^2 \mathbf{T} \right)^{-1} \left[ \mathbf{T} \left( \frac{1}{K\mathcal{L}} \delta_0^2 \, \nabla \zeta - K \frac{\epsilon_0 \phi_0}{\delta_0} \, \frac{\boldsymbol{u} |\boldsymbol{u}|}{D} \right) - \mathbf{Q} \left( \frac{K}{\mathcal{L}} \epsilon_0 \, \boldsymbol{u} \right) \right] \,, \quad (16)$$

- showing that  $\psi = \mu^2 \Psi$ .
- <sup>557</sup> The final form of scaled SGN system will thus read:

$$\frac{\partial \zeta}{\partial t} + \frac{K}{\mathcal{L}} \left( \epsilon_0 \, \boldsymbol{u} \nabla \zeta + D \nabla \cdot \boldsymbol{u} \right) = 0 \,, \qquad (17a)$$

$$\frac{\partial u}{\partial t} + \frac{K}{\mathcal{L}} \epsilon_0 (\boldsymbol{u} \cdot \nabla) \boldsymbol{u} + \frac{1}{K \mathcal{L}} \delta_0^2 \nabla \zeta + K \frac{\epsilon_0 \phi_0}{\delta_0} \frac{\boldsymbol{u} |\boldsymbol{u}|}{D} = \mu^2 \boldsymbol{\Psi} , \qquad (17b)$$

$$\boldsymbol{\Psi} = \left(\mathbf{I} + \mu^{2}\mathbf{T}\right)^{-1} \left[ \mathbf{T} \left( \frac{1}{K\mathcal{L}} \delta_{0}^{2} \nabla \zeta - K \frac{\epsilon_{0} \phi_{0}}{\delta_{0}} \frac{\boldsymbol{u} |\boldsymbol{u}|}{D} \right) - \mathbf{Q} \left( \frac{K}{\mathcal{L}} \epsilon_{0} \boldsymbol{u} \right) \right] .$$
(17c)

# 558 Appendix B: Landward boundary conditions

Imposing correctly the landward boundary condition (b.c.) is not a trivial operation. Up to the authors knowledge, there is not an efficient and systematic method to impose an outflow conditions in the presence of friction and convergence. In this appendix a sensitivity analysis has been performed in order to quantify the influence of the inexact b.c. that has been implemented for this

study (cf. section 3.3). Two tests have been conducted: one for a low and 564 the other for a high value of the nonlinear parameter  $\epsilon_0$ , respectively  $\epsilon_0 = 0.1$ 565 and  $\epsilon_0 = 0.7$ . We compare two solutions: one computed by setting the outflow 566 b.c. at the location  $x = 5L_b$ , the other, considered as a reference, derived by 567 imposing a wall b.c. at the further distance  $x = 8L_b$ , where the tidal wave is as-568 sumed to be completely dissipated. Figure 14 shows the time evolution profiles 569 of the non-dimensional free surface and velocity at different positions along the 570 channel. In order to measure the deviation from the reference solution we use 571 the  $L_2$ -norm  $\frac{||\zeta-\zeta_{ref}||_{L_2}}{||\zeta_{ref}||_{L_2}}$ . At the station  $x = 3L_b$ , the percentage values of the 572 deviation are 3.3% for  $\zeta$  and 3.98% for u in the case with  $\epsilon_0 = 0.1$ , while being 573 respectively 5.27% and 7.67% in the case with  $\epsilon_0 = 0.7$ . 574

Toffolon [21] revealed the difficulties of imposing such conditions. He con-575 sidered two limit cases: the reflecting barrier and the transparent condition. 576 On the former, a wall boundary condition was imposed at the end of the chan-577 nel, which determined a complete reflection of the wave. The latter condition, 578 instead, referred to a situation where the tidal wave exited from the compu-579 tational domain without being deformed or reflected (transparent b.c.). This 580 condition was implemented by replacing, on the last cells of the domain, mass 581 and momentum conservation laws with a scalar advection equation for each con-582 servative variable, characterized by an advection speed equal to the outgoing 583 eigenvalue. Even though, the need of considering a longer estuary in order to 584 vanish the influence of the boundary on the solution, remained. 585

Figure 15 shows the comparison with the solution performed by implementing the transparent boundary condition used in [21]. The percentage deviations from the reference are of the same order of magnitude as those recovered using our approach and, most importantly, the two approaches provide identical topologies for both the water depth and the velocity. The results of our study are thus independent from the choice of the method used.



Figure 14: Time evolution profiles of the non-dimensional free surface (left) and velocity (right) measured at  $x = 2L_b$  (-),  $x = 2.5L_b$  (-) and  $x = 3L_b$  (-) for the two test cases performed with  $\epsilon_0 = 0.1$  (top) and  $\epsilon_0 = 0.7$  (bottom). In the two computations  $\phi_0$  has been set constant and equals to  $\phi_0 = 35$ . Continuous lines represent the results obtained by using absorbing landward b.c. by means of the homogeneous NLSW invariants at  $x = 5L_b$ ; while dashed lines were obtained by imposing wall b.c. at  $x = 8L_b$ .

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Figure 15: Time evolution profiles of the non-dimensional free surface (left) and velocity (right) measured at  $x = 3L_b$ , obtained by setting the absorbing homogeneous b.c. (-) and the transparent b.c. of [21] (-). The red curve (-) represents the reference solution computed by imposing wall b.c. on a longer domain of  $L = 8L_b$  ( $\epsilon_0 = 0.7$  and  $\phi_0 = 35$ ).

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