

# LTE and Non-LTE Solutions in Gases Interacting with Radiation

Jin Woo Jang (POSTECH, Korea)

with a collaborator:

Juan J. L. Velázquez (University of Bonn)

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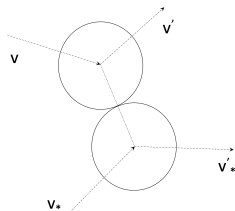
# The (elastic, single-species) Boltzmann equation

- The Boltzmann equation

$$\partial_t F + v \cdot \nabla_x F = Q(F, F), \quad x \in \Omega \subset \mathbb{R}^3, \quad v \in \mathbb{R}^3, \quad t \geq 0$$

- $F = F(t, x, v)$ : velocity distribution function

$$Q(F, G)(t, x, v) = \int_{\mathbb{R}^3} dv_* \int_{\mathbb{S}^2} d\omega B(v - v_*, \theta) \\ \times [F(t, x, v'_*)G(t, x, v') - F(t, x, v_*)G(t, x, v)].$$



- Energy-momentum conservation laws for a binary collision:

$$v + v_* = v' + v'_*, \quad |v|^2 + |v_*|^2 = |v'|^2 + |v'_*|^2.$$

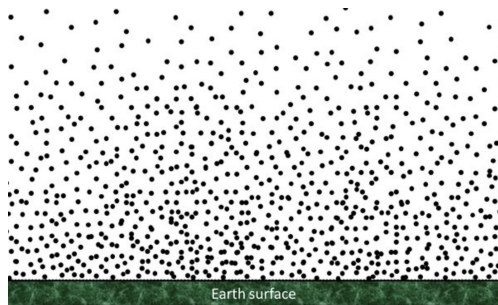
# Connections to physical quantities

- mass/charge density:  $\rho(t, x) = \int_{\mathbb{R}^3} F(t, x, v) dv$
- macroscopic velocity:  $u(t, x) = \frac{1}{\rho} \int_{\mathbb{R}^3} vF(t, x, v) dv$
- temperature:  $T(t, x) = \frac{1}{3k_B\rho} \int_{\mathbb{R}^3} |u - v|^2 F(t, x, v) dv$
- pressure:  $p(t, x) = k_B\rho T$
- The entropy functional is defined as

$$S(t) = -H(t) \stackrel{\text{def}}{=} - \int_{\Omega \times \mathbb{R}^3} F(t, x, v) \log F(t, x, v) dv dx.$$

- Boltzmann H-theorem:  $\frac{dS}{dt} \geq 0$ .
- (local) Maxwellian equilibrium:  $M(x, v; \rho, u, T) = \rho \frac{e^{-\frac{|v-u|^2}{2k_B T}}}{(2\pi k_B T)^{3/2}}$ .

# Radiation added to the system



(a) Earth atmosphere

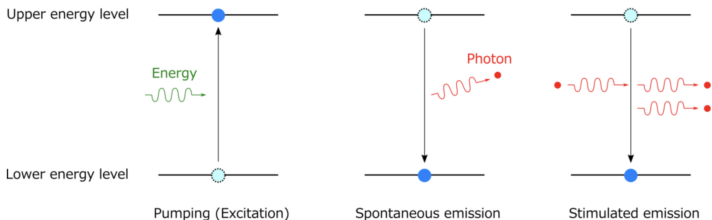
nebulae.jpeg

(b) Astrophysical cloud

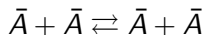
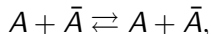
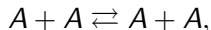
# A three-particle system and simplification assumptions

- molecules of the gas can be in two different states
- the ground state and the excited state, which we will denote as  $A$  and  $\bar{A}$
- the radiation is **monochromatic** and it consists of collection of photons with frequency  $\nu_0 > 0$ .
- all the photons of the system have the same energy  $\epsilon_0 = h\nu_0$  where  $h$  is the Planck constant.
- no Doppler effect: valid if non-relativistic  $\left| \frac{v}{c} \right| \simeq 0$

# Two-level molecules and radiation



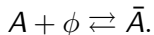
- Elastic collisions between molecules



- Inelastic collisions



- Collisions between a molecule and a photon



# Conservation laws for the inelastic collisions

- The photon energy  $\epsilon_0 = h\nu_0$ : required to form an excited
- Conservation of total energy;

$$\frac{1}{2}|\bar{v}_1|^2 + \frac{1}{2}|\bar{v}_2|^2 = \frac{1}{2}|\bar{v}_3|^2 + \frac{1}{2}|\bar{v}_4|^2 + \epsilon_0.$$

- Conservation of total momentum:

$$\bar{v}_1 + \bar{v}_2 = \bar{v}_3 + \bar{v}_4.$$

- The total energy is conserved but the total kinetic energy is not conserved here.

# Radiative transfer equation for photons

- Velocity distributions for the ground ( $A$ ) and the excited ( $\bar{A}$ ) states as  $F^{(1)} = F^{(1)}(t, x, v)$  and  $F^{(2)} = F^{(2)}(t, x, v)$ , respectively.
- Intensity of the radiation at the frequency  $\nu$  as  $I_\nu = I_\nu(t, x, n)$  where  $n \in \mathbb{S}^2$ .

$$\begin{aligned} & \frac{1}{c} \frac{\partial I_{\nu_0}}{\partial t} + n \cdot \nabla_x I_{\nu_0} \\ &= \frac{\epsilon_0 B_{12}}{4\pi} \int_{\mathbb{R}^3} dv \left[ \frac{2h\nu_0^3}{c^2} F^{(2)}(v) \left( 1 + \frac{c^2}{2h\nu_0^3} I_{\nu_0} \right) - F^{(1)}(v) I_{\nu_0}(n) \right] \\ &=: \epsilon_0 \int_{\mathbb{R}^3} dv h_{rad}[F^{(1)}, F^{(2)}, I_{\nu_0}] \\ &= \frac{\epsilon_0 B_{12}}{4\pi} \left[ \frac{2h\nu_0^3}{c^2} \rho_2 \left( 1 + \frac{c^2}{2h\nu_0^3} I_{\nu_0} \right) - \rho_1 I_{\nu_0}(n) \right]. \end{aligned}$$

- Milne, Chandrasekhar, Compton, Holstein, Mihalas, Oxenius, Rutten, Bardos, Buet, Caffisch, Depres, Golse, Monaco, Nicolaenko, Nouri, Perthame, Polewczak, Rossani, Sentis, and etc. (1926- )



# Kinetic equations for two-species gases coupled with radiation

For  $\frac{D}{Dt} \stackrel{\text{def}}{=} \partial_t + \mathbf{v} \cdot \nabla_{\mathbf{x}}$ ,

$$\begin{aligned} \frac{DF^{(1)}}{Dt} = & \mathcal{K}_{el}^{(1,1)}[F^{(1)}, F^{(1)}] + \mathcal{K}_{el}^{(1,2)}[F^{(1)}, F^{(2)}] + \mathcal{K}_{non.el}^{(1)}[F, F] \\ & + c \int_{\mathbb{S}^2} dn h_{rad}[F^{(1)}, F^{(2)}, I_{\nu_0}], \end{aligned}$$

and

$$\begin{aligned} \frac{DF^{(2)}}{Dt} = & \mathcal{K}_{el}^{(2,1)}[F^{(2)}, F^{(1)}] + \mathcal{K}_{el}^{(2,2)}[F^{(2)}, F^{(2)}] + \mathcal{K}_{non.el}^{(2)}[F, F] \\ & - c \int_{\mathbb{S}^2} dn h_{rad}[F^{(1)}, F^{(2)}, I_{\nu_0}], \end{aligned}$$

# Elastic and non-elastic Boltzmann operators

$$\begin{aligned} & \mathcal{K}_{el}^{(i,j)}[F, G](v_1) \\ \stackrel{\text{def}}{=} & \int_{\mathbb{R}^3} dv_2 \int_{\mathbb{S}^2} d\omega B_{el}^{(i,j)}(|v_1 - v_2|, (v_1 - v_2) \cdot \omega) (F(v_3)G(v_4) - F(v_1)G(v_2)), \\ & \mathcal{K}_{non.el}^{(1)}[F, F] \stackrel{\text{def}}{=} 2\mathcal{K}_{1,1}[F, F] + \mathcal{K}_{1,2}^{(1)}[F, F], \end{aligned}$$

$$\begin{aligned} \mathcal{K}_{1,1}[F, F](\bar{v}_1) & \stackrel{\text{def}}{=} \int_{\mathbb{R}^3} d\bar{v}_2 \int_{\mathbb{S}^2} d\omega \frac{\sqrt{|\bar{v}_1 - \bar{v}_2|^2 - 4\epsilon_0}}{2|\bar{v}_1 - \bar{v}_2|} \\ & \times B_{non.el}(|\bar{v}_1 - \bar{v}_2|, \omega \cdot (\bar{v}_1 - \bar{v}_2)) (\bar{F}_3^{(2)} \bar{F}_4^{(1)} - \bar{F}_1^{(1)} \bar{F}_2^{(1)}), \end{aligned}$$

$$\begin{aligned} \mathcal{K}_{1,2}^{(1)}[F, F](\bar{v}_4) & \stackrel{\text{def}}{=} \int_{\mathbb{R}^3} d\bar{v}_3 \int_{\mathbb{S}^2} d\omega \frac{\sqrt{|\bar{v}_3 - \bar{v}_4|^2 + 4\epsilon_0}}{2|\bar{v}_3 - \bar{v}_4|} \\ & \times B_{non.el}(|\bar{v}_3 - \bar{v}_4|, \omega \cdot (\bar{v}_3 - \bar{v}_4)) (\bar{F}_1^{(1)} \bar{F}_2^{(1)} - \bar{F}_3^{(2)} \bar{F}_4^{(1)}), \end{aligned}$$

$$\begin{aligned} \mathcal{K}_{non.el}^{(2)}[F, F](\bar{v}_3) & \stackrel{\text{def}}{=} \int_{\mathbb{R}^3} d\bar{v}_4 \int_{\mathbb{S}^2} d\omega \frac{\sqrt{|\bar{v}_3 - \bar{v}_4|^2 + 4\epsilon_0}}{2|\bar{v}_3 - \bar{v}_4|} \\ & \times B_{non.el}(|\bar{v}_3 - \bar{v}_4|, \omega \cdot (\bar{v}_3 - \bar{v}_4)) (\bar{F}_1^{(1)} \bar{F}_2^{(1)} - \bar{F}_3^{(2)} \bar{F}_4^{(1)}). \end{aligned}$$

# Local Thermodynamic Equilibrium (LTE)

- Saha-Boltzmann Ratio:  $\frac{\rho_2}{\rho_1} = e^{-\frac{\epsilon_0}{k_B T}}$  and let  $k_B = \frac{1}{2}$
- degeneracy of energy levels = 1
- LTE:  $\rho_1, \rho_2$  satisfy approximately the Boltzmann ratio AND the distribution of velocities at each point can be approximated by the local Maxwellian distribution

$$M(x, v; \rho_i, u, T) \stackrel{\text{def}}{=} \frac{\rho_i}{(\pi T)^{3/2}} \exp\left(-\frac{1}{T} |v - u|^2\right).$$

- $\tilde{\rho} = \rho_1 + \rho_2 = \rho_1(1 + e^{-\frac{2\epsilon_0}{T}})$  and

$$F^{(1)}(t, x, v) \cong M(x, v; \rho_1, u, T), \quad F^{(2)}(t, x, v) \cong e^{-\frac{2\epsilon_0}{T}} F^{(1)}(v).$$

- Non-LTE if the assumption of LTE fails.
- The failure of the local Maxwellian approximation is rare.
- Restrict only to situations, in which the distributions of velocities are the Maxwellians, but with different temperature  $T_1$ ,  $T_2$  for each of the species, and  $\rho_1, \rho_2$  not satisfying the Boltzmann ratio.
- Each species can have different temperatures  $T_1$  and  $T_2$ .

# Chapman-Enskog approximations yielding LTE

- $F = (F^{(1)}, F^{(2)})^\top$  and the Local Maxwellians

$$F_{eq}^{(j)} = F_{eq}^{(j)}(\rho, u, T) \stackrel{\text{def}}{=} \frac{c_0 \rho}{T^{3/2}} \exp\left(-\frac{1}{T} (|v - u|^2 + 2\epsilon_0 \delta_{j,2})\right).$$

- Rescaled Kinetic System ( $t \rightarrow \alpha t$ ,  $x \rightarrow y = \alpha x$ ,  $\frac{B_{12}}{4\pi} = 1$ ,  $l_{\nu_0} \rightarrow G = \frac{c^2}{2h\nu_0^3} l_{\nu_0}$ ):

$$\frac{1}{c} [\partial_t F + v \cdot \nabla_y F] = \frac{1}{\alpha c} (\mathcal{K}_{el}[F, F] + \eta \mathcal{K}_{non.el}[F, F]) + \mathcal{R}_p[F, G],$$
$$\frac{1}{c} \partial_t G + n \cdot \nabla_y G = \frac{1}{\alpha} \mathcal{R}_r[F, G].$$

- Chapman-Enskog expansion with  $c \rightarrow \infty$ ,  $\alpha c \rightarrow 0^+$ ,  $\alpha \rightarrow 0^+$ :

$$F^{(j)} = F_{eq}^{(j)} [1 + \alpha c f_1^{(j)} + \alpha f_2^{(j)} + (\alpha c)^2 f_3^{(j)} + \dots].$$

- $\eta \approx 1$ ,  $(\alpha c)^2 \ll \alpha \ll \alpha c \ll 1$ .

$$\mathcal{R}_p[F, G] \stackrel{\text{def}}{=} \begin{pmatrix} \int_{\mathbb{S}^2} [F^{(2)}(1 + G) - F^{(1)}G] dn \\ - \int_{\mathbb{S}^2} [F^{(2)}(1 + G) - F^{(1)}G] dn \end{pmatrix},$$

$$\mathcal{R}_r[F, G] \stackrel{\text{def}}{=} \epsilon_0 \int_{\mathbb{R}^3} [F^{(2)}(1 + G) - F^{(1)}G] dv,$$

$$\rho \stackrel{\text{def}}{=} \int_{\mathbb{R}^3} F^{(1)} dv,$$

$$u_i \stackrel{\text{def}}{=} \frac{1}{\rho} \int_{\mathbb{R}^3} v_i F^{(1)} dv, \text{ for each } i = 1, 2, 3,$$

$$T \stackrel{\text{def}}{=} \frac{2}{3\rho} \int_{\mathbb{R}^3} |v - u|^2 F^{(1)} dv.$$

# Euler system coupled with radiative transfer equation (LTE)

$$\frac{\partial \tilde{\rho}}{\partial t} + \nabla \cdot (\tilde{\rho} u) = 0,$$

$$\frac{\partial u}{\partial t} + (u \cdot \nabla) u + \frac{\nabla p}{\tilde{\rho}} = 0,$$

$$\frac{\partial(\tilde{\rho} e)}{\partial t} + \nabla \cdot (\tilde{\rho} u e) + p \nabla \cdot u = \epsilon_0 \rho \int_{\mathbb{S}^2} dn [G(1 - e^{-\frac{\epsilon_0}{k_B T}}) - e^{-\frac{\epsilon_0}{k_B T}}] = 0,$$

$$n \cdot \nabla_y G = \epsilon_0 \rho \left[ e^{-\frac{2\epsilon_0}{T}} (1 + G) - G \right].$$

# Boundary-value problem for the stationary system (LTE)

$$\nabla \cdot (\tilde{\rho} u) = 0,$$

$$(u \cdot \nabla) u + \frac{\nabla p}{\tilde{\rho}} = 0,$$

$$\nabla \cdot (\tilde{\rho} u e) + p \nabla \cdot u = 0,$$

$$n \cdot \nabla G = \epsilon_0 \rho \left[ e^{-\frac{2\epsilon_0}{r}} (1 + G) - G \right].$$

- Domain  $\Omega$ : convex with  $C^1$  boundary
- Specular reflection boundary conditions for  $F$ :  
 $F(t, y, v) = F(t, y, v - 2(n_y \cdot v)n_y)$  for  $y \in \partial\Omega$
- $\rightarrow$  boundary condition for macroscopic velocity  $u \cdot n_y = 0$ .



# Different scaling limits for LTE

- Linearization around constant steady states

$$(\tilde{\rho}_0, 0, T_0, \frac{e^{-2\epsilon/T}}{1-e^{-2\epsilon/T}}):$$

$$\tilde{\rho} = \tilde{\rho}_0(1 + \zeta), \quad T = T_0(1 + \theta) \text{ for } |\zeta| + |\theta| + |u| \ll 1,$$

such that  $\frac{2\epsilon_0}{T_0}|\theta| \ll 1$ .

- A scaling limit yielding constant absorption rate (and nonlinear emission rate) with  $\frac{2\epsilon_0}{T_0}|\theta| \approx 1$

Linearized system near the constant states with  $\frac{2\epsilon_0}{T_0}|\theta| \ll 1$

$$\begin{aligned}\frac{\partial \zeta}{\partial t} + \nabla_y \cdot u &= 0, \\ \frac{\partial u}{\partial t} + \frac{T_0}{2} \nabla_y (\zeta + \theta) &= 0, \\ \lambda_0 \epsilon_0 \frac{\partial \theta}{\partial t} + \frac{p_0}{\tilde{\rho}_0} \nabla_y \cdot u &= \frac{\epsilon_0 G_0}{1 + e^{-\frac{2\epsilon_0}{T_0}}} \int_{\mathbb{S}^2} dn \left[ \frac{h}{1 + G_0} - \frac{2\epsilon_0}{T_0} \theta \right] \\ n \cdot \nabla_y h &= \frac{\epsilon_0 \tilde{\rho}_0 G_0}{1 + e^{-\frac{2\epsilon_0}{T_0}}} \left[ \frac{2\epsilon_0}{T_0} \theta - \frac{h}{1 + G_0} \right],\end{aligned}$$

where  $\lambda_0 \stackrel{\text{def}}{=} \tilde{\rho}_0 T_0$  and  $p_0 = \tilde{\rho}_0 T_0$ .

- Mass conservation:  $\int_{\Omega} \xi \, dy = b_2$ .

## Theorem

*The linearized stationary system with the incoming boundary condition has a unique solution  $(\zeta, \theta)$  with  $u = 0$ .*

# A system with nonlinear emission rate with $\frac{2\epsilon_0}{T_0}|\theta| \approx 1$

- a new scaling limit  $|\zeta| + |u| + |\theta| \ll 1$  with  $\frac{2\epsilon_0}{T_0}|\theta| \approx 1$ ,  
 $\zeta = \frac{T_0}{2\epsilon_0}\xi$  and  $\theta = \frac{T_0}{2\epsilon_0}\vartheta$
- leading-order system with an exponential dependence in the temperature:

$$\tilde{\rho}_0 \nabla_y \cdot u = 0,$$

$$\frac{T_0}{2} \nabla_y (\xi + \theta) = 0,$$

$$\epsilon_0 e^{-\frac{2\epsilon_0}{T_0}} \int_{\mathbb{S}^2} dn \left[ H - e^\vartheta \right] = 0,$$

$$n \cdot \nabla_y H = \epsilon_0 \tilde{\rho}_0 \left[ e^\vartheta - H \right],$$

$$\int_{\Omega} \tilde{\rho} dy = \int_{\Omega} \tilde{\rho}_0 \left( 1 + \frac{T_0}{2\epsilon_0} \xi \right) dy = M_0 \text{ given.}$$

# Boundary-value problem with the nonlinear emission rate

- Incoming boundary conditions: at any given  $y_0 \in \partial\Omega$ , define  $\nu = \nu_{y_0}$  as the outward normal vector at  $y_0$ . For any  $n \in \mathbb{S}^2$ , if  $n \cdot \nu_{y_0} < 0$ , then

$$H(y_0, n) = f(n),$$

for a given profile  $f$ .

## Theorem

*For  $\Omega \in \mathbb{R}^3$  convex and bounded with  $\partial\Omega \in C^1$ , there exists a unique solution with  $u = 0$  to the system of nonlinear emission rate with the incoming boundary condition.*

# Strategy for the proof

- Given  $y \in \Omega$  and  $n \in \mathbb{S}^2$ , there exist unique  $y_0 = y_0(y, n) \in \partial\Omega$  and  $s = s(y, n)$  such that

$$y = y_0(y, n) + s(y, n)n.$$

- $s = s(y, n)$ : optical length
- Using  $n \cdot \nabla H = e^{\vartheta} - H =: w - H$  with the boundary condition, we have

$$H(y, n) = f(n)e^{-s(y, n)} + \int_0^{s(y, n)} e^{-(s(y, n) - \xi)} w(y_0(y, n) + \xi n) d\xi.$$

- Then the flow  $\vec{J} \stackrel{\text{def}}{=} \int dn nH$  satisfies

$$\begin{aligned} 0 &= \operatorname{div}(\vec{J}) \\ &= \operatorname{div}(\vec{R}) + \operatorname{div} \int_{\mathbb{S}^2} n \left( \int_0^{s(y, n)} e^{-(s(y, n) - \xi)} w(y_0(y, n) + \xi n) d\xi \right) dn. \end{aligned}$$

# Strategy for the proof

- Goal: to derive that  $w$  actually satisfies the Fredholm integral equation of the second kind
- Key idea: to raise the integral into a 5-fold one:

$$\begin{aligned} & \int_{\mathbb{S}^2} n \left( \int_0^{s(y,n)} e^{-(s(y,n)-\xi)} w(y_0(y,n) + \xi n) d\xi \right) dn \\ &= \int_{\partial\Omega} dz \int_{\mathbb{S}^2} n \left( \int_0^{s(y,n)} e^{-(s(y,n)-\xi)} w(z + \xi n) \delta(z - y_0(y,n)) d\xi \right) dn. \end{aligned}$$

- change of variables  $\xi \mapsto \hat{\xi} = s(y,n) - \xi$  and then  $(\hat{\xi}, n) \mapsto \eta \stackrel{\text{def}}{=} y - \hat{\xi}n \in \Omega$  with the Jacobian  $J(\eta, n) \stackrel{\text{def}}{=} \left| \frac{\partial(\hat{\xi}, n)}{\partial \eta} \right| = \frac{1}{|y-\eta|^2}$ .
- Since  $n = n(y - \eta) = \frac{y-\eta}{|y-\eta|}$  and  $\hat{\xi} = |y - \eta|$ , we have

$$\begin{aligned} & \int_{\partial\Omega} dz \int_{\mathbb{S}^2} n \left( \int_0^{s(y,n)} e^{-\hat{\xi}} w(z + (s - \hat{\xi})n) \delta(z - y_0(y,n)) d\hat{\xi} \right) dn \\ &= \int_{\Omega} \frac{1}{|y - \eta|^2} \frac{y - \eta}{|y - \eta|} e^{-|y-\eta|} w(\eta) d\eta. \end{aligned}$$

# Strategy for the proof

- Using

$$\operatorname{div} \left( \frac{1}{|y - \eta|^2} \frac{y - \eta}{|y - \eta|} e^{-|y - \eta|} \right) = \operatorname{div} \left( \frac{e^{-r}}{r^2} \hat{r} \right) = -\frac{e^{-r}}{r^2} + 4\pi\delta(r),$$

we have

$$w(y) = \int_{\Omega} \frac{e^{-|y - \eta|}}{4\pi|y - \eta|^2} w(\eta) d\eta - \frac{1}{4\pi} \operatorname{div}(\vec{R}).$$

- $w(\eta) = 0$  if  $\eta \notin \Omega$  and  $w \in L^\infty(\Omega)$ .
- $\int_{\Omega} \frac{e^{-|y - \eta|}}{4\pi|y - \eta|^2} d\eta < 1$ .
- A unique solution exists.

# Non-LTE with two different temperatures

- $F^{(j)}$  in local Maxwellians, but  $F^{(2)} \neq e^{-\frac{2\epsilon_0}{T}} F^{(1)}$
- Two different temperatures  $T_1$  and  $T_2$  for  $A$  and  $\bar{A}$ .
- **Additional assumption:** not sufficient mixing of  $A$  and  $\bar{A}$  via the elastic collisions  $\mathcal{K}_{el}^{(1,2)}$  and  $\mathcal{K}_{el}^{(2,1)}$
- Local Maxwellian equilibria  $M^{(j)}$  for each type of molecules  $j = 1, 2$ :

$$M^{(j)} = M^{(j)}(x, v; \rho_j, u_j, T_j) \stackrel{\text{def}}{=} \frac{c_0 \rho_j}{T_j^{\frac{3}{2}}} \exp\left(-\frac{|v - u_j|^2}{T_j}\right), \quad j = 1, 2.$$

- Densities, velocities, temperatures:

$$\rho_j \stackrel{\text{def}}{=} \int_{\mathbb{R}^3} F^{(j)} dv,$$

$$u_j \stackrel{\text{def}}{=} \frac{1}{\rho_j} \int_{\mathbb{R}^3} v F^{(j)} dv, \quad \text{for } i = 1, 2, 3,$$

$$T_j \stackrel{\text{def}}{=} \frac{2}{3\rho_j} \int_{\mathbb{R}^3} |v - u_j|^2 F^{(j)} dv,$$



# Euler-like system for non-LTE

- Chapman-Enskog Expansion with  $\sigma \stackrel{\text{def}}{=} \frac{\eta}{\alpha} \approx 1$  and  $c \rightarrow \infty$ ,  $\alpha c \rightarrow 0^+$ ,  $\alpha \rightarrow 0^+$ :

$$F^{(j)} = F_{\text{eq}}^{(j)} [1 + \alpha c f_1^{(j)} + \alpha f_2^{(j)} + (\alpha c)^2 f_3^{(j)} + \dots].$$

- $(\alpha c)^2 \ll \alpha \ll \alpha c \ll 1$ .
- Euler-like System for Non-LTE:

$$\partial_t \rho_1 + \nabla_y \cdot (\rho_1 u_1) = \sigma H^{(1)} + Q^{(1)},$$

$$\partial_t \rho_2 + \nabla_y \cdot (\rho_2 u_2) = \sigma H^{(2)} + Q^{(2)},$$

$$\partial_t (\rho_1 u_1) + \nabla_y \cdot (\rho_1 u_1 \otimes u_1) + \nabla_y \cdot S^{(1)} = \sigma J_m^{(1)} + \Sigma^{(1)},$$

$$\partial_t (\rho_2 u_2) + \nabla_y \cdot (\rho_2 u_2 \otimes u_2) + \nabla_y \cdot S^{(2)} = \sigma J_m^{(2)} + \Sigma^{(2)},$$

$$\partial_t (\rho_1 T_1) + \nabla_y \cdot (\rho_1 u_1 T_1 + J_q^{(1)}) = \sigma J_e^{(1)} + J_r^{(1)},$$

$$\partial_t \left( \rho_2 T_2 + \frac{4}{3} \epsilon_0 \rho_2 \right) + \nabla_y \cdot \left( \rho_2 u_2 T_2 + \frac{4}{3} \epsilon_0 u_2 \rho_2 + J_q^{(2)} \right) = \sigma J_e^{(2)} + J_r^{(2)}.$$

$$H^{(j)} \stackrel{\text{def}}{=} \int \mathcal{K}_{non.el}^{(j)}[M, M] dv,$$

$$Q^{(j)} \stackrel{\text{def}}{=} \int \mathcal{R}_p^{(j)}[M, G] dv,$$

$$J_m^{(j)} \stackrel{\text{def}}{=} \int v \mathcal{K}_{non.el}^{(j)}[M, M] dv,$$

$$\Sigma^{(j)} \stackrel{\text{def}}{=} \int v \mathcal{R}_p^{(j)}[M, G] dv,$$

$$S^{(j)} \stackrel{\text{def}}{=} \int (v - u_j) \otimes (v - u_j) M^{(j)} dv,$$

$$J_q^{(j)} \stackrel{\text{def}}{=} \int \frac{4}{3} \left( \frac{|v - u_j|^2}{2} + \epsilon_0 \delta_{j,2} \right) (v - u_j) M^{(j)} dv = 0,$$

$$J_e^{(j)} = \int \frac{4}{3} \left( \frac{|v - u_j|^2}{2} + \epsilon_0 \delta_{j,2} \right) \mathcal{K}_{non.el}^{(j)}[M, M] dv,$$

$$J_r^{(j)} \stackrel{\text{def}}{=} \int \frac{4}{3} \left( \frac{|v - u_j|^2}{2} + \epsilon_0 \delta_{j,2} \right) \mathcal{R}_p^{(j)}[M, G] dv,$$

$$\mathcal{R}_p[F, G] \stackrel{\text{def}}{=} \left( \begin{array}{c} \int_{\mathbb{S}^2} [F^{(2)}(1 + G) - F^{(1)}G] dn \\ - \int_{\mathbb{S}^2} [F^{(2)}(1 + G) - F^{(1)}G] dn \end{array} \right).$$

# Stationary equations with zero velocities (Non-LTE)

$$\sigma H^{(1)} + Q^{(1)} = -\sigma H^{(2)} - Q^{(2)} = 0,$$

$$\nabla_y \cdot \mathcal{S}^{(1)} = -\nabla_y \cdot \mathcal{S}^{(2)} = 0,$$

$$\sigma J_e^{(1)} + J_r^{(1)} = 0,$$

$$\sigma J_e^{(2)} + J_r^{(2)} = 0.$$

$$H^{(2)} = -H^{(1)} = \rho_1^2 e^{-\frac{2\epsilon_0}{T_1}} \mathcal{P}(T_1) - \rho_1 \rho_2 \mathcal{P}(T_2, T_1),$$

$$Q^{(1)} = -Q^{(2)} = \rho_2 \int_{\mathbb{S}^2} dn (1 + G) - \rho_1 \int_{\mathbb{S}^2} dn G,$$

$$\mathcal{S}^{(j)} = p^{(j)} l = \frac{1}{2} \rho_j T_j l,$$

$$J_e^{(1)} = -J_e^{(2)} = -\frac{4}{3} \left[ (\rho_1^2 e^{-\frac{2\epsilon_0}{T_1}} - \rho_2 \rho_1) \mathcal{A}(T_1; \epsilon_0) + \rho_1 \rho_2 \mathcal{B}(T_1, T_2) \right],$$

$$J_r^{(1)} = -\rho_1 T_1 \int_{\mathbb{S}^2} G dn + \rho_2 T_2 \int_{\mathbb{S}^2} (1 + G) dn,$$

$$J_r^{(2)} = \rho_1 T_1 \int_{\mathbb{S}^2} G dn - \rho_2 T_2 \int_{\mathbb{S}^2} (1 + G) dn + \frac{4\epsilon_0}{3} Q^{(2)}.$$

$$\mathcal{P}(T_k, T_l, u_k, u_l) \stackrel{\text{def}}{=} \int_{\mathbb{R}^3} dv \int_{\mathbb{R}^3} dv_4 \int_{\mathbb{S}^2} d\omega W_+(v, v_4; v_1, v_2) \mathcal{Z}(v, u_k, T_k) \mathcal{Z}(v_4, u_l, T_l).$$

$$\begin{aligned} \mathcal{A}(T_1; \epsilon_0) \stackrel{\text{def}}{=} & \int_{\mathbb{R}^3} \left( \frac{|v|^2}{2} + \epsilon_0 \right) dv \int_{\mathbb{R}^3} dv_4 \int_{\mathbb{S}^2} d\omega W_+(v, v_4; v_1, v_2) \\ & \times \mathcal{Z}(v, 0, T_1) \mathcal{Z}(v_4, 0, T_1) \end{aligned}$$

$$\begin{aligned} \mathcal{B}(T_1, T_2) \stackrel{\text{def}}{=} & \int_{\mathbb{R}^3} \frac{|v|^2}{2} dv \int_{\mathbb{R}^3} dv_4 \int_{\mathbb{S}^2} d\omega W_+(v, v_4; v_1, v_2) \mathcal{Z}(v_4, 0, T_1) \\ & \times (\mathcal{Z}(v, 0, T_1) - \mathcal{Z}(v, 0, T_2)). \end{aligned}$$

# Radiation and mass conservation

- Radiative transfer equation:

$$n \cdot \nabla_y G = \epsilon_0 \int_{\mathbb{R}^3} [M^{(2)}(1+G) - M^{(1)}G] dv = \epsilon_0(\rho_2(1+G) - \rho_1 G).$$

- Mass conservation:

$$\int_{\Omega_y} dy (\rho_1 + \rho_2) = m_0.$$

# Non-existence theorem for non-LTE

## Theorem

Let  $m_0 > 0$  given and let  $\Omega$  be a bounded convex domain with  $\partial\Omega \in C^1$ . Assume that  $L(T_1, T_2) = \frac{m_0}{|\Omega|}$  defines a smooth curve in the plane  $(T_1, T_2) \in \mathbb{R}_+^2$  for given  $m_0$  and  $\Omega$ . Assume the incoming boundary condition for each boundary point  $y_0 \in \partial\Omega$ ,  $G(y_0, n) = f(n)$ , for some given  $f$ . Then the system of the Euler-like system coupled with radiation in the non-LTE case with the boundary condition does not have a stationary solution with zero velocity unless the given boundary profile  $f$  is chosen specifically so that it satisfies

$$\operatorname{div} \left( \int_{\mathbb{S}^2} n f(n) e^{-A_2 s(y, n)} dn \right) = 0,$$

for any  $y \in \Omega$  and for some  $A_2 > 0$ , where for each  $y \in \Omega$  and  $n \in \mathbb{S}^2$ ,  $y_0 = y_0(y, n) \in \partial\Omega$  and  $s = s(y, n)$  are determined uniquely such that

$$y = y_0(y, n) + s(y, n)n.$$

# Proof of non-existence

- Observation:  $Q^{(2)} = 0$ . Thus  $H^{(j)} = Q^{(j)} = 0$ ,  $j = 1, 2$ .
- obtain the relation

$$\frac{4\sigma}{3} \left[ (\rho_1^2 e^{-\frac{2\epsilon_0}{T_1}} - \rho_2 \rho_1) \mathcal{A}(T_1; \epsilon_0) + \rho_1 \rho_2 \mathcal{B}(T_1, T_2) \right] = \frac{4\pi \rho_1 \rho_2 (T_2 - T_1)}{\rho_1 - \rho_2},$$

and  $\nabla \rho^{(j)} = 0$ .

- $\rho_j = \frac{C_j}{T_j}$  and

$$C \stackrel{\text{def}}{=} \frac{C_2}{C_1} = \frac{T_2}{T_1} e^{-\frac{2\epsilon_0}{T_1}} \frac{\mathcal{P}(T_1)}{\mathcal{P}(T_2, T_1)} = H(T_1, T_2).$$

- mass conservation implies

$$L \stackrel{\text{def}}{=} \frac{\frac{4\pi \frac{C}{T_1 T_2} (T_2 - T_1)}{\frac{1}{T_1} - \frac{C}{T_2}}}{\frac{4\sigma}{3} \frac{1}{T_1} \left[ \left( e^{-\frac{2\epsilon_0}{T_1}} - \frac{C}{T_2} \right) \mathcal{A}(T_1; \epsilon_0) + \frac{C}{T_2} \mathcal{B}(T_1, T_2) \right]} \left( \frac{1}{T_1} + \frac{H(T_1, T_2)}{T_2} \right) = \frac{m_0}{|\Omega|}.$$

- We can parametrize  $T_j = T_j(\tau)$  for  $\tau$  on some interval  $I_L$ .

# Proof of non-existence

- Now use

$$n \cdot \nabla_y G = \epsilon_0(\rho_2(1 + G) - \rho_1 G) = (A_1 - A_2 G).$$

- Follow the same trick as in the LTE case with  $w = e^\vartheta$  replaced by  $A_1$ .
- Finally we have

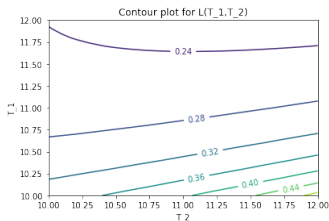
$$\frac{1}{4\pi} \operatorname{div} \int_{\mathbb{S}^2} n f(n) e^{-A_2 s(y,n)} dn = A_1 A_2 \int_{\mathbb{R}^3} \frac{e^{-A_2 |y-\eta|}}{4\pi |y-\eta|^2} d\eta - A_1 = 0.$$

- Note that  $A_2 > 0$  is a constant that depends only on  $\tau$  and  $\epsilon_0$ .
- $s = s(y, n)$ : optical length
- contradiction for a general incoming boundary profile  $f$ .

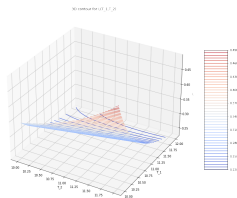


# Contour plots of $L(T_1, T_2)$ for the hard-sphere kernel

$$L(T_1, T_2) \stackrel{\text{def}}{=} \frac{4\pi \frac{H(T_1, T_2)}{T_1 T_2} (T_2 - T_1)}{\frac{1}{T_1} - \frac{H(T_1, T_2)}{T_2}} \times \frac{4\sigma}{3} \frac{1}{T_1} \left[ \left( e^{-\frac{2\epsilon_0}{T_1}} - \frac{H(T_1, T_2)}{T_2} \right) \mathcal{A}(T_1; \epsilon_0) + \frac{H(T_1, T_2)}{T_2} \mathcal{B}(T_1, T_2) \right] \times \frac{1}{T_1} \left( 1 + e^{-\frac{2\epsilon_0}{T_1}} \frac{\mathcal{P}(T_1)}{\mathcal{P}(T_2, T_1)} \right).$$



Contour



3D Contour

Figure: Contour level curves for  $L(T_1, T_2)$  for  $(T_1, T_2) \in [10, 12]^2$

Thank you for your attention.