

Calibrated subactions for Anosov maps and flows

Philippe Thieullen, Université de Bordeaux

Joint work with
Xifeng Su, Beijing Normal University

Webinários de Matemática do
PPGMat - UFRGS

Porto Alegre, October 13, 2021

Summary

- I. **Position of the problem**
- II. The main tools
- III. Ideas of the proof

I. Position of the problem

- **Anosov maps and flows**
- Subactions for SFT
- Positive Livšic theorem
- Main theorem

I. Position of the problem: Anosov maps and flows

Definition (The discrete case) (M, f) is a C^1 map on a manifold of dimension $d_M \geq 2$, $\Lambda \subset M$ is a compact invariant set. We assume

- ① Λ is *hyperbolic*: $\exists \lambda^s < 0 < \lambda^u$, $C_\Lambda \geq 1$ and a continuous equivariant splitting over Λ ,

$$\forall x \in \Lambda, T_x M = E_\Lambda^u(x) \oplus E_\Lambda^s(x) \quad (1)$$

such that

- a $\forall x \in \Lambda, T_x f(E^u(x)) = E^u(f(x)), T_x f(E^s(x)) \subseteq E^s(f(x))$
 b $\forall x \in \Lambda, \forall n \geq 0, \begin{cases} \forall v \in E_\Lambda^s(x), \|T_x f^n(v)\| \leq C_\Lambda e^{n\lambda^s} \|v\| \\ \forall v \in E_\Lambda^u(x), \|T_x f^n(v)\| \geq C_\Lambda^{-1} e^{n\lambda^u} \|v\| \end{cases}$
- ② Λ is *locally maximal*: there exists an open neighborhood U of Λ of compact closure such that

$$\bigcap_{n \in \mathbb{Z}} f^n(\bar{U}) = \Lambda$$

I. Position of the problem: Anosov maps and flows

Definition (The continuous case) (M, V, f) is a C^1 flow, $\Lambda \subseteq M$ is a compact invariant set ($\forall t \in \mathbb{R}, f^t(\Lambda) = \Lambda$).

- ① Λ is *hyperbolic*: $\exists C_\Lambda \geq 1, \lambda^s < 0 < \lambda^u$ and a continuous equivariant splitting of Λ ,

$$\forall x \in \Lambda, T_x M = E_\Lambda^u(x) \oplus E_\Lambda^0(x) \oplus E_\Lambda^s(x) \quad (1)$$

such that,

- Ⓐ $\forall x \in \Lambda, T_x f^t(E^u(x)) = E^u(f^t(x)), T_x f^t(E^s(x)) = E^s(f^t(x))$
- Ⓑ $\forall x \in \Lambda, \forall t \geq 0, \begin{cases} \forall v \in E_\Lambda^s(x), \|T_x f^t(v)\| \leq C_\Lambda e^{t\lambda^s} \|v\| \\ E_\Lambda^0(x) = V(x)\mathbb{R}, T_x f^t(V(x)) = V \circ f^t(x) \\ \forall v \in E_\Lambda^u(x), \|T_x f^t(v)\| \geq C_\Lambda^{-1} e^{t\lambda^u} \|v\| \end{cases}$

- ② Λ is *locally maximal*: there exists an open neighborhood $U \supseteq \Lambda$ of compact closure such that

$$\Lambda = \bigcap_{t \in \mathbb{R}} f^t(U)$$

I. Position of the problem

- Anosov maps and flows
- **Subactions for SFT**
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I. Position of the problem: **Subactions for SFT**

Definition We consider a Lipschitz observable

$$\phi : M \rightarrow \mathbb{R}$$

(M, f) is a one-sided topological dynamical system. $\Lambda \subseteq M$ a compact invariant set, $U \supseteq \Lambda$ an open neighborhood of Λ .

- ① the *ergodic minimizing value*

$$\bar{\phi}_\Lambda := \lim_{n \rightarrow +\infty} \frac{1}{n} \inf_{x \in \Lambda} \sum_{k=0}^{n-1} \phi \circ f^k(x). \quad (1)$$

- ② A *subaction on (U, Λ)* is a continuous function $u : U \rightarrow \mathbb{R}$

$$\forall x \in U, \phi(x) - \bar{\phi}_\Lambda \geq u \circ f(x) - u(x) \quad (2)$$

I. Position of the problem: **Subactions for SFT**

Definition (M, f) is a one-sided SFT

- ① The *Lax-Oleinik non linear operator* acts on $C^0(M, \mathbb{R})$ by

$$T[u](y) = \min_{x \in M, f(x)=y} \{u(x) + \phi(x)\} \quad (1)$$

- ② A *calibrated subaction* u is a fixed point of the Lax-Oleinik operator

$$T[u] = u + \bar{\phi}_M \quad (2)$$

Remark

- Of course a calibrated subaction is a subaction
- A calibrated subaction is also a good numerical tool to construct the Mather set

I. Position of the problem

- Anosov maps and flows
- Subactions for SFT
- **Positive Livšic theorem**
- Main theorem

I. Position of the problem: **Positive Livšic theorem**

Theorem (Livšic) (M, f) is a C^1 Anosov diffeomorphism or (M, V, f) is a C^1 Anosov flow, $\phi : M \rightarrow \mathbb{R}$ is Lipschitz.

If

$$\frac{1}{\tau} \sum_{k=0}^{\tau-1} \phi \circ f^k(p) = 0 \quad (1)$$

or if

$$\frac{1}{\tau} \int_0^{\tau} \phi \circ f^s(p) ds = 0 \quad (2)$$

for every periodic orbit p of period τ ,

then $\bar{\phi}_M = 0$ there exists a Lipschitz function $u : M \rightarrow \mathbb{R}$ such that

$$\phi - \bar{\phi}_M = u \circ f - u \quad (3)$$

or u is in addition differentiable along the flow and

$$\phi - \bar{\phi}_M = \mathcal{L}_V[u] =: \lim_{t \rightarrow 0} \frac{1}{t} (u \circ f^t - u) \quad (4)$$

I. Position of the problem: **Positive Livšic theorem**

Theorem (Positive Livšic) (A. Lopes, Ph. T or A. Lopes, V. Roasa, R. Ruggiero)

(M, V, f) is a C^1 Anosov flow, $\phi : M \rightarrow \mathbb{R}$ is Lipschitz.

If

$$\frac{1}{\tau} \int_0^\tau \phi \circ f^s(p) ds \geq 0 \quad (1)$$

for every periodic orbit p of period τ ,

then there exists an Hölder $u : M \rightarrow \mathbb{R}$ differentiable along the flow such that

$$\phi - \bar{\phi}_M \geq \mathcal{L}_V[u], \quad \bar{\phi} := \lim_{t \rightarrow +\infty} \inf_{x \in M} \frac{1}{t} \int_0^t \phi \circ f^s(x) ds \quad (2)$$

Remark It is important here to keep $\bar{\phi}_M$

I. Position of the problem

- Anosov maps and flows
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- **Main theorem**

I. Position of the problem: **Main theorem**

Theorem (X. Su, Ph. T) (The discrete case) (M, f) be a C^1 dynamical system, $\Lambda \subseteq M$ is a locally maximal hyperbolic compact set, $\phi : M \rightarrow \mathbb{R}$ is a Lipschitz continuous function, and $\bar{\phi}_\Lambda$ is the ergodic minimizing value of ϕ restricted to Λ .

Then there exists an open set Ω containing Λ and a Lipschitz continuous function $u : \Omega \rightarrow \mathbb{R}$ such that

$$\forall x \in \Omega, \quad \phi(x) - \bar{\phi}_\Lambda \geq u \circ f(x) - u(x). \quad (1)$$

Moreover,

$$\text{Lip}(u) \leq K_\Lambda \text{Lip}(\phi) \quad (2)$$

for some constant K_Λ depending only on the hyperbolicity of f on Λ .

I. Position of the problem: **Main theorem**

Theorem (X. Su, Ph. T (The continuous case) Let (M, V, f) be a C^1 flow, $\Lambda \subseteq M$ is a locally maximal hyperbolic compact invariant set, and $\phi : M \rightarrow \mathbb{R}$ is a Lipschitz continuous function.

Then there exist an open neighborhood Ω of Λ and a Lipschitz continuous function $u : \Omega \rightarrow \mathbb{R}$ that satisfies

- ① u is differentiable along the flow
- ② $\mathcal{L}_V[u]$ is Lipschitz
- ③ $\forall x \in \Omega, \phi(x) - \bar{\phi}_\Lambda \geq \mathcal{L}_V[u](x)$
- ④ $\text{Lip}(u) \leq K_\Lambda \text{Lip}(\phi)$

for some constant K_Λ depending on the hyperbolicity of f on Λ

I. Position of the problem: **Main theorem****Previous results**

- ① T. Bousch (2011) seems to state a similar theorem (Lipschitz regularity) in the discrete case
- ② Wen Huang, Zeng Lian, Xiao Ma, Leiye Xu, and Yiwei Zhang (2019) have obtained an integrated formula:

let $T > 0$, then there exists a Lipschitz continuous $u_T : \Omega \rightarrow \mathbb{R}$ such that

$$\forall x \in \Omega, \int_0^T \phi \circ f^s(x) ds \geq u_T \circ f^T(x) - u_T(x) + T\bar{\phi}_\Lambda \quad (1)$$

There is no reason that u_T is independent of T

Summary

- I. Position of the problem
- II. **The main tools**
- III. Ideas of the proof

II. The main tools

- **Discrete Livšic positive criteria**
- Discrete Lax-Oleinik operator
- Continuous Livšic criteria
- Continuous Lax-Oleinik semigroup

II. The main tools: Discrete Livšic positive criteria

Definition (M, f, Λ) is a locally maximal hyperbolic compact invariant set, U is a neighborhood of Λ , and $\phi : U \rightarrow \mathbb{R}$ is Lipschitz continuous.

We say that ϕ satisfies the *discrete positive Livšic criteria on (U, Λ) with distortion constant C* if

$$\inf_{n \geq 1} \inf_{(x_0, x_1, \dots, x_n) \in U^{n+1}} \sum_{i=0}^{n-1} (\phi(x_i) - \bar{\phi}_\Lambda + Cd(f(x_i), x_{i+1})) > -\infty$$

where

$$\bar{\phi}_\Lambda := \lim_{n \rightarrow +\infty} \inf_{x \in \Lambda} \frac{1}{n} \sum_{k=0}^{n-1} \phi \circ f^k(x) \quad (1)$$

Remark

- 1 if $\bar{\phi}_\Lambda$ is replaced by a constant $\beta > \bar{\phi}_\Lambda$, the infimum is $-\infty$
- 2 if $x_i = f^i(x)$ is a true orbit, the infimum may be $-\infty$ for non hyperbolic systems and smooth observable

II. The main tools: Discrete Livšic positive criteria

It seems that the positive Livšic criteria is very restrictive.

Lemma If ϕ admits a Lipschitz subaction $u : U \rightarrow \mathbb{R}$, that is

$$\forall x \in U, \phi(x) - \bar{\phi}_\Lambda \geq u \circ f(x) - u(x) \quad (1)$$

and $\text{Lip}(u) \leq C$, then for every sequence $(x_i)_{i=0}^n$

$$\sum_{k=0}^{n-1} (\phi(x_k) - \bar{\phi}_\Lambda + Cd(x_{k+1}, f(x_k))) \geq -2\|u\|_\infty \quad (2)$$

Proof

$$\begin{aligned} & \sum_{k=0}^{n-1} (\phi(x_k) - \bar{\phi}_\Lambda + Cd(x_{k+1}, f(x_k))) \\ & \geq \sum_{k=0}^{n-1} (u \circ f(x_k) - u(x_k) + Cd(x_{k+1}, f(x_k))) \\ & \geq \sum_{k=0}^{n-1} (u \circ f(x_k) - u(x_{k+1}) + Cd(x_{k+1}, f(x_k))) + u(x_n) - u(x_0) \end{aligned}$$

II. The main tools: Discrete Livšic positive criteria

Theorem The positive Livšic criteria is satisfied for every locally maximal hyperbolic invariant set Λ and Lipschitz continuous observable ϕ . More precisely,

there exist an open neighborhood $\Omega \supseteq \Lambda$, two constants $K_\Lambda \geq 0$ and $\delta_\Lambda \geq 0$, depending only on the hyperbolicity of Λ , such that for every Lipschitz $\phi : \Omega \rightarrow \mathbb{R}$, for every sequence $(x_i)_{i=0}^n$ of Ω

$$\sum_{k=0}^{n-1} (\phi(x_k) - \bar{\phi}_\Lambda + K_\Lambda \text{Lip}(\phi) d(x_{k+1}, f(x_k))) \geq -\delta_\Lambda \text{Lip}(\phi) \quad (1)$$

II. The main tools

- Discrete Livšic positive criteria
- **Discrete Lax-Oleinik operator**
- Continuous Livšic criteria
- Continuous Lax-Oleinik semigroup

II. The main tools: Discrete Lax-Oleinik operator

Definition (M, f) be a topological dynamical system, $\Lambda \subseteq M$ is a compact f -invariant subset, $\Omega \supset \Lambda$ is an open neighborhood of Λ , $\phi : \Omega \rightarrow \mathbb{R}$ is a bounded function, and $C \geq 0$ is a constant,

- 1 The *Discrete Lax-Oleinik operator* is the nonlinear operator T acting on the space of bounded functions $u : \Omega \rightarrow \mathbb{R}$ defined by

$$\forall x' \in \Omega, T[u](x') := \inf_{x \in \Omega} \{u(x) + \phi(x) - \bar{\phi}_\Lambda + Cd(f(x), x')\}.$$

- 2 A *calibrated subaction of the Lax-Oleinik operator* is a bounded function $u : \Omega \rightarrow \mathbb{R}$ solution of the equation

$$T[u] = u. \tag{1}$$

II. The main tools: Discrete Lax-Oleinik operator

Theorem (M, f, Λ, Ω) is as in the previous definition, $\phi : \Omega \rightarrow \mathbb{R}$ is a bounded function. Assume ϕ satisfies the positive Livšic criteria on (Ω, Λ)

$$\inf_{n \geq 1} \inf_{(x_0, x_1, \dots, x_n) \in U^{n+1}} \sum_{i=0}^{n-1} (\phi(x_i) - \bar{\phi}_\Lambda + Cd(f(x_i), x_{i+1})) > -\infty$$

Then there exists a C -Lipschitz calibrated subaction u .

Remark A calibrated subaction is a subaction

$$\forall x, x' \in \Omega, u(x') = T[u](x') \leq u(x) + \phi(x) - \bar{\phi}_\Lambda + Cd(f(x), x')$$

in particular $x' = f(x)$ and then

$$\forall x \in \Omega, u \circ f(x) \leq u(x) + \phi(x) - \bar{\phi}_\Lambda$$

Conclusion On a locally maximal hyperbolic compact set Λ , a Lipschitz observable ϕ satisfies the positive Livšic criteria and therefore admits a Lipschitz subaction u

$$\text{Lip}(u) \leq K_\Lambda \text{Lip}(\phi)$$

II. The main tools

- Discrete Livšic positive criteria
- Discrete Lax-Oleinik operator
- **Continuous Livšic criteria**
- Continuous Lax-Oleinik semigroup

II. The main tools: Continuous Livšic criteria

Definition (M, V, f) is a C^1 flow, $\Lambda \subseteq M$ is a hyperbolic locally maximal compact invariant set, U is an open neighborhood of Λ , and $\phi : U \rightarrow \mathbb{R}$ is Lipschitz continuous. We set

$$\bar{\phi}_\Lambda := \lim_{T \rightarrow +\infty} \inf_{x \in \Lambda} \frac{1}{T} \int_0^T \phi \circ f^s(x) ds \quad (1)$$

The *weighted action of ϕ of weight $C \geq 0$* over a piecewise C^1 continuous path $z : [0, T] \rightarrow M$ is the real number given by

$$\mathcal{A}_{\phi, C}(z) := \int_0^T [(\phi - \bar{\phi}_\Lambda) \circ z(s) + C \|V \circ z(s) - z'(s)\|] ds \quad (2)$$

Recall In the discrete case

$$\mathcal{A}_{\phi, C}(x_i)_{i=0}^n := \sum_{i=0}^{n-1} (\phi(x_i) - \bar{\phi}_\Lambda + Cd(f(x_i), x_{i+1}))$$

II. The main tools: Continuous Livšic criteria

Definition Same notations (M, V, f) as before.

$$\mathcal{A}_{\phi, C}(z) := \int_0^T [(\phi - \bar{\phi}_\Lambda) \circ z(s) + C\|V \circ z(s) - z'(s)\|] ds \quad (1)$$

We say that ϕ satisfies the *positive Livšic criteria on (U, Λ) with distortion constant C* if

$$\inf_{z: [0, T] \rightarrow U} \mathcal{A}_{\phi, C}(z) > -\infty, \quad (2)$$

where the infimum is realized over the set of piecewise C^1 continuous path $z : [0, T] \rightarrow U$

Remark As in the discrete case, if ϕ admits a smooth subaction, then ϕ satisfies the positive Livšic criteria

II. The main tools: Continuous Livšic criteria

Lemma Assume there exists a $C^1(M)$ function $u : M \rightarrow \mathbb{R}$ such that

$$\phi(x) - \bar{\phi}_\Lambda \geq \mathcal{L}_V[u](x) = \left. \frac{d}{dt} \right|_{t=0} u \circ f^t(x) \quad (1)$$

Then for every piecewise C^1 continuous path $z : [0, T] \rightarrow M$

$$\mathcal{A}_{\phi, C}(z) \geq -2 \inf_{c \in \mathbb{R}} \|u - c\|_\infty. \quad (2)$$

where $C = \|du\|_\infty$

II. The main tools: Continuous Livšic criteria

Proof

$$A_{\phi, C}(z) = \int_0^T \left(\phi \circ z(s) - \bar{\phi}_\Lambda + \|du\|_\infty \|V \circ z - z'\| \right) ds \quad (1)$$

$$\geq \int_0^T \left(du \circ z \cdot V \circ z + \|du\|_\infty \|V \circ z - z'\| \right) ds \quad (2)$$

$$= \int_0^T \left(du \circ z \cdot V \circ z - du \circ z \cdot z' \right) + \|du\|_\infty \|V \circ z - z'\| \Big) ds \quad (3)$$

$$+ \int_0^T du \circ z \cdot z' ds \quad (4)$$

$$\geq \int_0^T du \circ z \cdot z' ds = u \circ z(T) - u \circ z(0) \geq -2\|u\|_\infty. \quad (5)$$

II. The main tools: Continuous Livšic criteria

Theorem The positive Livšic criteria is satisfied for every locally maximal hyperbolic set Λ of a C^1 flow. More precisely

There exists a neighborhood Ω of Λ and constants $C_\Lambda \geq 0$, $\delta_\Lambda \geq 0$ such that for every piecewise C^1 continuous path $z : [0, T] \rightarrow \Omega$

$$\int_0^T [(\phi - \bar{\phi}_\Lambda) \circ z(s) + C_\Lambda \text{Lip}(\phi) \|V \circ z(s) - z'(s)\|] ds \geq -\delta_\Lambda \text{Lip}(\phi)$$

II. The main tools

- Discrete Livšic positive criteria
- Discrete Lax-Oleinik operator
- Continuous Livšic criteria
- **Continuous Lax-Oleinik semigroup**

II. The main tools: Continuous Lax-Oleinik semigroup

Definition $\phi : U \rightarrow \mathbb{R}$ is a C^0 bounded function and $C \geq 0$ is a constant. Assume ϕ satisfies the positive Livšic criteria on (U, Λ) with distortion constant C

- 1 The *continuous Lax-Oleinik semigroup* on (U, Λ) of generator ϕ is a nonlinear operator acting on bounded functions $u : U \rightarrow \mathbb{R}$ defined for every $t > 0$ by, for every $q \in U$

$$T^t[u](q) := \inf_{\substack{z: [-t, 0] \rightarrow U \\ z(0) = q}} \left\{ u \circ z(-t) + \int_{-t}^0 [\phi \circ z - \bar{\phi}_\Lambda + C \|V \circ z - z'\|] ds \right\}$$

where the infimum is taken over the set of piecewise C^1 continuous paths z ending at q .

- 2 A *calibrated subaction* of the Lax-Oleinik semigroup is a bounded function $u : U \rightarrow \mathbb{R}$ solution of the equation

$$\forall t > 0, T^t[u] = u.$$

II. The main tools: Continuous Lax-Oleinik semigroup

Theorem (M, V, f) be a C^1 flow, Λ is a compact invariant set, $U \supseteq \Lambda$ is a connected open set of compact closer, $\phi : U \rightarrow \mathbb{R}$ be a bounded Lipschitz continuous function and $C \geq 0$ be a constant.

Assume ϕ satisfies the positive Livšic criteria on (U, Λ) with distortion constant C . Then there exists a C -Lipschitz calibrated subaction $u : U \rightarrow \mathbb{R}$: for every $t > 0$, for every $q \in U$

$$u(q) := \inf_{\substack{z: [-t, 0] \rightarrow U \\ z(0) = q}} \left\{ u \circ z(-t) + \int_{-t}^0 [\phi \circ z - \bar{\phi}_\Lambda + C \|V \circ z - z'\|] ds \right\}$$

Remark

- 1 In the discrete Aubry-Mather theory, $[\dots]$ is replaced by a Lagrangian $L(z, z')$. A calibrated subaction is called weak KAM solution
- 2 The Lipschitz regularity C is the same as the distortion constant C

Summary

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- **III. Ideas of the proof**

III. Ideas of the proof

- **Calibrated subaction**
- Livšic criteria

III. Ideas of the proof: **Calibrated subaction**

Definition $\phi : U \rightarrow \mathbb{R}$ is a bounded continuous function and $C \geq 0$ is a constant. The *weighted action of ϕ between two points $p, q \in U$* for a weight C and a time laps $t > 0$ is the quantity

$$\mathcal{A}_{\phi, C}^t(p, q) := \inf_{\substack{z: [0, t] \rightarrow U \\ z(0) = p, z(t) = q}} \int_0^t [\phi \circ z - \bar{\phi}_\Lambda + C \|V \circ z - z'\|] ds,$$

where the infimum is realized over the set of piecewise C^1 continuous paths.

Corollary The Lax-Oleinik semigroup has a different expression

$$T^t[u](q) = \inf_{p \in U} \{u(p) + \mathcal{A}_{\phi, C}^t(p, q)\}.$$

III. Ideas of the proof: Existence of a calibrated subaction

Definition The *distance function* between two points $p, q \in U$ is the real number

$$d_U(p, q) = \inf \left\{ \int_0^1 \|z'(s)\| ds : z : [0, 1] \rightarrow U \text{ is piecewise } C^1, \right. \\ \left. \text{continuous, and } z(0) = p, z(1) = q \right\}.$$

Lemma $\forall p, \tilde{p}, q, \tilde{q} \in U$

- ① $|\mathcal{A}_{\phi, C}^t(p, q) - \mathcal{A}_{\phi, C}^t(p, \tilde{q})| \leq C d_U(q, \tilde{q}),$
- ② $|\mathcal{A}_{\phi, C}^t(p, q) - \mathcal{A}_{\phi, C}^t(\tilde{p}, q)| \leq C d_U(p, \tilde{p}),$

III. Ideas of the proof: **Calibrated subaction**

Proof of the existence of a calibrated subaction

- ① If u is bounded then $T^t[u]$ is C -Lipschitz for every $t > 0$
- ② Define $v = \inf_{s>0} T^s[0]$. Then $s \mapsto T^s[v]$ is increasing
- ③ Define $u = \sup_{s>0} T^s[v]$. Then $T^t[u] = u$

$$u(q) := \inf_{\substack{z: [-t, 0] \rightarrow U \\ z(0) = q}} \left\{ u \circ z(-t) + \int_{-t}^0 [\phi \circ z - \bar{\phi}_\Lambda + C \|V \circ z - z'\|] ds \right\}$$

- ④ by taking orbits of the flow $z(s) = f^s(p)$ one obtains

$$u \circ f^t(p) \leq u(p) + \int_0^t (\phi - \bar{\phi}_\Lambda) \circ f^s(p) ds$$

- ⑤ by modifying u with a partition of unity

$$\frac{d}{dt} \Big|_{t=0} u \circ f^t(p) \leq (\phi - \bar{\phi}_\Lambda)(p)$$

III. Ideas of the proof

- Calibrated subaction
- **Livšic criteria**

III. Ideas of the proof: Livšic criteria

Lemma (Shadowing) (M, f) is a C^1 dynamical system and $\Lambda \subseteq M$ is a compact hyperbolic set. Then there exist constants $\epsilon_\Lambda > 0$, $K_\Lambda \geq 1$, such that for every $n \geq 1$, for every ϵ_Λ -pseudo orbit $(x_i)_{0 \leq i \leq n}$ of the neighborhood $\Omega_\Lambda = \{x \in M : d(x, \Lambda) < \epsilon_\Lambda\}$, there exists a point $y \in M$ such that

$$\max_{0 \leq i \leq n} d(x_i, f^i(y)) \leq K_\Lambda \max_{1 \leq k \leq n} d(f(x_{k-1}), x_k). \quad (1)$$

Lemma (Improved shadowing)

$$\sum_{i=0}^n d(x_i, f^i(y)) \leq K_\Lambda \sum_{k=1}^n d(f(x_{k-1}), x_k), \quad (2)$$

Corollary The improved shadowing lemma is also true for iteration of Poincaré maps

III. Ideas of the proof: Livšic criteria

Definition We discuss three cases:

- ① *The pseudo orbit case:* the path z exits at the forward boundary

$$z(T) \in \partial^+ D_x(\tau, \epsilon).$$

- ② *The escaped orbit case:* the path exits at the backward boundary

$$z(T) \in \partial^- D_x(\tau, \epsilon).$$

- ③ *the trapped orbit case:* the path stays inside $D_x(\tau, \epsilon)$.

III. Ideas of the proof: Livšic criteria

Lemma Given $\mathcal{A}_* \geq 0$, for $C = C(\mathcal{A}_*)$ sufficiently large

- ① The pseudo orbit case:

$$\mathcal{A}_{\phi,C}(z) \geq \Phi_{x,y} + \Psi_y - \Psi_x + C \|f_{x,y}(\tilde{q}_x) - \tilde{q}_y\|_y$$

$$\Phi_{x,y} := \int_0^{\tau_{x,y}(\tilde{q}_x)} (\phi - \bar{\phi}_\Lambda) \circ f^{s-\tilde{r}_x} \circ z(0) ds$$









- ② The escaped-orbit case:

$$\mathcal{A}_{\phi,C}(z) \geq \mathcal{A}_*$$

- ③ The trapped orbit case:

$$\mathcal{A}_{\phi,C}(z) \geq -\mathcal{A}_*$$

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