

# Exposé ANR TRECOS

Contrôlabilité à zéro de l'équation de la chaleur perturbée par un terme non local

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# Plan

- 1 Problem formulation
- 2 Existing results
- 3 Main results
- 4 Proofs of the main results
- 5 Open problems

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# Null-controllability of the nonlocal heat equation

- $T > 0$ : control time,
- $\Omega \subset\subset \mathbb{R}^N$ : spatial domain,
- $\omega \subset \Omega$ : open control set,
- $K \in L^\infty((0, T) \times \Omega \times \Omega)$ : kernel.

$$\begin{cases} \partial_t y - \Delta y + \int_{\Omega} K(t, x, \xi) y(t, \xi) d\xi = h 1_{\omega} & \text{in } (0, T) \times \Omega, \\ y = 0 & \text{on } (0, T) \times \partial\Omega, \\ y(0, \cdot) = y_0 & \text{in } \Omega. \end{cases} \quad (\text{NonLocal})$$

**Question:** Is the equation (NonLocal) small-time null-controllable?

For every  $T > 0$ ,  $y_0 \in L^2(\Omega)$ , does there exist  $h \in L^2((0, T) \times \omega)$  such that the solution  $y$  of (NonLocal) satisfies  $y(T, \cdot) = 0$ ?

When  $K \equiv 0$ , the heat equation is small-time null-controllable (Lebeau, Robbiano, Fursikov, Imanuvilov, 1995-96).

# Observability inequality

$$\begin{cases} -\partial_t \varphi - \Delta \varphi + \int_{\Omega} K(t, x, \xi) \varphi(t, \xi) d\xi = 0 & \text{in } (0, T) \times \Omega, \\ \varphi = 0 & \text{on } (0, T) \times \partial\Omega, \\ \varphi(T, \cdot) = \varphi_T & \text{in } \Omega. \end{cases} \quad (\text{Adjoint})$$

## Proposition (H.U.M.)

Null-controllability of (NonLocal) at time  $T > 0 \Leftrightarrow$  there exists  $C > 0$  such that for every  $\varphi_T \in L^2(\Omega)$ , the solution  $\varphi$  of (Adjoint) satisfies

$$\|\varphi(0, \cdot)\|_{L^2(\Omega)}^2 \leq C \int_0^T \|\varphi(t, \cdot)\|_{L^2(\omega)}^2 dt. \quad (\text{Observability})$$

# Global Carleman estimates with lower order perturbations

$$Q_T = (0, T) \times \Omega.$$

**Proposition (Fursikov, Imanuvilov, 1996)**

For  $s \geq s_0$ ,  $\varphi \in C^2(\overline{Q_T})$ ,  $\varphi \equiv 0$  on  $\Sigma_T$ ,

$$s^3 \int_{Q_T} e^{-2s\alpha} \zeta^3 |\varphi|^2 \leq C \left( \int_{Q_T} e^{-2s\alpha} | -\partial_t \varphi - \Delta \varphi |^2 + s^3 \int_{(0, T) \times \omega} e^{-2s\alpha} \zeta^3 |\varphi|^2 \right).$$

For  $\varphi$ , solution of (Adjoint), we have

$$\begin{aligned} s^3 \int_{Q_T} e^{-2s\alpha} \zeta^3 |\varphi|^2 &\leq C \left( \int_{Q_T} e^{-2s\alpha} \left| \int_{\Omega} K(t, x, \xi) \varphi(t, \xi) d\xi \right|^2 dt dx \right. \\ &\quad \left. + s^3 \int_{(0, T) \times \omega} e^{-2s\alpha} \zeta^3 |\varphi|^2 \right). \end{aligned}$$

One **cannot absorb the nonlocal term** by the left hand side, taking  $s$  sufficiently large.

# A counterexample to unique continuation

$(e_k, \lambda_k)_{k \geq 1}$  such that  $-\Delta e_k = \lambda_k e_k$ ,  $e_k \in H^2 \cap H_0^1(\Omega)$ .

$\varphi \neq 0 \in C_c^\infty(\Omega)$ , such that  $\varphi \equiv 0$  in  $\omega$ ,  $\varphi = \sum_{k \geq 1} \varphi_k e_k(x)$  and  $\sum_{k \geq 1} \lambda_k \varphi_k^2 = 1$ .

$$p := -\Delta \varphi \text{ i.e. } p := \sum_{k \geq 1} \lambda_k \varphi_k e_k(x).$$

In particular, we have

$$\int_{\Omega} p \varphi = \sum_{k \geq 1} \lambda_k \varphi_k^2 = 1,$$

so defining  $K(x, \xi) = -p(x)p(\xi)$ , we have

$$-\Delta \varphi + \int_{\Omega} K(x, \xi) \varphi(\xi) d\xi = -\Delta \varphi - p(x) = -\Delta \varphi + \Delta \varphi = 0.$$

Recalling  $\varphi \equiv 0$  in  $\omega$ , the unique continuation does not hold.

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## Analyticity assumptions on the kernel

$$K = K(x, \xi),$$

$$\begin{cases} -\partial_t \varphi - \Delta \varphi + \int_{\Omega} K(x, \xi) \varphi(t, \xi) d\xi = 0 & \text{in } (0, T) \times \Omega, \\ \varphi = 0 & \text{on } (0, T) \times \partial\Omega, \\ \varphi(T, \cdot) = y_0 & \text{in } \Omega. \end{cases} \quad (\text{Adjoint})$$

Theorem (Fernandez-Cara, Lü, Zuazua, 2016)

Assume that

- $x \mapsto \int_{\Omega} K(x, \xi) f(\xi) d\xi$  is analytic for every  $f \in L^2(\Omega)$ ,
- $K(x, \xi) = \sum_{m,j \geq 1} k_{mj} e_m(x) e_j(\xi)$  with  $\sum_{m,j \geq 1} \lambda_j^{-1} \lambda_m^{-1} e^{2C\sqrt{\lambda_m}} |k_{mj}|^2 < +\infty$ ,

then

$$\|\varphi(0, \cdot)\|_{L^2(\Omega)}^2 \leq C \int_0^T \|\varphi(t, \cdot)\|_{L^2(\omega)}^2 dt. \quad (\text{Observability})$$

**Proof.** Compactness-uniqueness argument.

Extension to parabolic systems by Lissy, Zuazua (2018).

# Exponential decay in time of the kernel

$$K = K(t, x, \xi),$$

$$\begin{cases} -\partial_t \varphi - \Delta \varphi + \int_{\Omega} K(t, x, \xi) \varphi(t, \xi) d\xi = 0 & \text{in } (0, T) \times \Omega, \\ \varphi = 0 & \text{on } (0, T) \times \partial\Omega, \\ \varphi(T, \cdot) = y_0 & \text{in } \Omega. \end{cases} \quad (\text{Adjoint})$$

Theorem (Biccari, Hernandez-Santamaria, 2019)

Assume that

- $\sup_{(t,x) \in Q_T} \exp\left(\frac{c}{t(T-t)}\right) \int_{\Omega} |K(t, x, \xi)| d\xi < +\infty,$

then

$$\|\varphi(0, \cdot)\|_{L^2(\Omega)}^2 \leq C \int_0^T \|\varphi(t, \cdot)\|_{L^2(\omega)}^2 dt. \quad (\text{Observability})$$

**Proof.** Carleman estimates.

# A one-dimensional result

$$N = 1, K = K(x, \xi) = \alpha(x)\beta(\xi).$$

$$\begin{cases} -\partial_t \varphi - \Delta \varphi + \alpha(x) \int_{\Omega} \beta(\xi) \varphi(t, \xi) d\xi = 0 & \text{in } (0, T) \times \Omega, \\ \varphi = 0 & \text{on } (0, T) \times \partial\Omega, \\ \varphi(T, \cdot) = y_0 & \text{in } \Omega. \end{cases} \quad (\text{Adjoint})$$

Theorem (Micu, Takahashi, 2018)

Assume that

- $\alpha$  is not identically zero in  $\omega$ ,

then

$$\|\varphi(0, \cdot)\|_{L^2(\Omega)}^2 \leq C \int_0^T \|\varphi(t, \cdot)\|_{L^2(\omega)}^2 dt. \quad (\text{Observability})$$

**Proof.** Spectral analysis and biorthogonal techniques.

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# Nonlocal semilinear heat equation

$$\begin{cases} \partial_t y - \Delta y \\ = f(y(t, x), \int_{\Omega} y(t, \xi) d\xi) + h \mathbf{1}_{\omega} & \text{in } (0, T) \times \Omega, \\ y = 0 & \text{on } (0, T) \times \partial\Omega, \\ y(0, \cdot) = y_0 & \text{in } \Omega. \end{cases} \quad (\text{NonLocalSL})$$

Theorem (Hernandez-Santamaria, Le Balc'h, 2020)

Take  $a, b \in \mathbb{R}$ ,  $g_1 \in C_c^\infty(\mathbb{R}^2)$ ,  $g_2 \in C_c^\infty(\mathbb{R})$ . Assume that

$$f(u, v) = au + bv + g_1(u, v)u^2 + g_2(u)uv.$$

Then, (NonLocalSL) is *small-time locally null-controllable*:

For every  $T > 0$ , there exists  $\delta > 0$ , such that for every  $y_0 \in H_0^1(\Omega)$  satisfying  $\|y_0\|_{H_0^1(\Omega)} \leq \delta$ , there exists  $h \in L^2((0, T) \times \omega)$  such that the (unique) solution  $y$  of (NonLocalSL) verifies  $y(T, \cdot) = 0$ .

## Remarks on the first main result

$$\begin{cases} \partial_t y - \Delta y \\ = f(y(t, x), \int_{\Omega} y(t, \xi) d\xi) + h1_{\omega} & \text{in } (0, T) \times \Omega, \\ y = 0 & \text{on } (0, T) \times \partial\Omega, \\ y(0, \cdot) = y_0 & \text{in } \Omega, \end{cases} \quad (\text{NonLocalSL})$$

with  $f(u, v) = au + bv + g_1(u, v)u^2 + g_2(u)uv$ .

(NonLocalSL) is small-time locally null-controllable in  $H_0^1(\Omega)$ .

- $f(0, 0) = 0$  ensures that 0 is a stationary state.
- $f$  is globally Lipschitz so (NonLocalSL) is well-posed (Hilhorst, Rodrigues, 2000).
- If  $g_1 = g_2 = 0$  then  $f$  is linear ( $\Rightarrow$  global null-controllability). Extension of Micu, Takahashi's result to constant kernels to the multi-dimensional case.
- Asymmetry between the local variable  $y$  and the nonlocal variable  $\int y$ .
- Due to regularizing effect, one can prove the result a small-time local null-controllability result in  $L^2(\Omega)$ .
- Ex:  $y(t, x)$  the density at time  $t$ , depending on a physiological parameter  $x \in \Omega$ ,  $B$  the birth rate and  $-\int y$  the death rate,  $f(y, \int y) = \chi(y)y(B - \int y)$ .

# Shadow reaction-diffusion system

Consider the  $2 \times 2$  reaction-diffusion system

$$\begin{cases} \partial_t u - \Delta u = f(u, v) + h1_\omega & \text{in } (0, T) \times \Omega, \\ \tau \partial_t v - \sigma \Delta v = u - v & \text{in } (0, T) \times \Omega, \\ u = \frac{\partial v}{\partial n} = 0, & \text{on } (0, T) \times \partial\Omega, \\ (u, v)(0, \cdot) = (u_0, v_0) & \text{in } \Omega, \end{cases} \quad (\text{System})$$

where  $\tau, \sigma$  are parameters in  $(0, +\infty)$ .

Theorem (Hernandez-Santamaria, Le Balc'h, 2020)

(System) is small-time locally null-controllable, uniformly with respect to  $\tau \rightarrow 0$ ,  $\sigma \rightarrow +\infty$ :

For every  $T > 0$ , there exists  $C, \delta > 0$  such that for any  $\tau \in (0, 1)$ ,  $\sigma \in (1, +\infty)$ ,  $(u_0, v_0) \in H_0^1(\Omega) \times H^1(\Omega)$  such that  $\|(u_0, v_0)\|_{H_0^1(\Omega) \times H^1(\Omega)} \leq \delta$ , there exists  $h_{\tau, \sigma} \in L^2((0, T) \times \omega)$  verifying

$$\|h_{\tau, \sigma}\|_{L^2((0, T) \times \omega)} \leq C,$$

such that the unique solution  $(u, v)_{\tau, \sigma}$  of (System) satisfies  $(u, v)_{\tau, \sigma}(T, \cdot) = 0$ .

## Remarks on the second main result

$$\begin{cases} \partial_t u - \Delta u = f(u, v) + h1_\omega & \text{in } (0, T) \times \Omega, \\ \tau \partial_t v - \sigma \Delta v = u - v & \text{in } (0, T) \times \Omega, \\ u = \frac{\partial v}{\partial n} = 0, & \text{on } (0, T) \times \partial\Omega, \\ (u, v)(0, \cdot) = (u_0, v_0) & \text{in } \Omega. \end{cases} \quad (\text{System})$$

(System) is small-time locally null-controllable in  $H_0^1(\Omega) \times H^1(\Omega)$ , uniformly with respect to  $\tau \rightarrow 0$  and  $\sigma \rightarrow \infty$ .

- $h$  directly controls  $u$  in the first equation,  $u$  indirectly controls  $v$  in the second equation through the coupling term  $u$ .
- Main difficulty: uniformly with respect to  $\tau \rightarrow 0$  and  $\sigma \rightarrow \infty$ .
- Same result holds with homogeneous Dirichlet boundary conditions on  $u, v$ .
- Homogeneous Neumann boundary conditions on  $u, v$ ?

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# Strategy

$$\begin{cases} \partial_t u - \Delta u = f(u, v) + h1_\omega & \text{in } (0, T) \times \Omega, \\ \tau \partial_t v - \sigma \Delta v = u - v & \text{in } (0, T) \times \Omega, \\ u = \frac{\partial v}{\partial n} = 0, & \text{on } (0, T) \times \partial\Omega, \\ (u, v)(0, \cdot) = (u_0, v_0) & \text{in } \Omega. \end{cases} \quad (\text{System})$$

- Uniform null-controllability of (System) with respect to  $\tau \rightarrow 0, \sigma \rightarrow +\infty$ .
  - ▶ Linearization of (System) around  $(0, 0)$ .
  - ▶ Uniform null-controllability of the linearized system thanks to an uniform observability inequality for the adjoint system.
  - ▶ (Uniform) source term method by Liu, Takahashi, Tucsnak (2013).
- In the limit  $\tau \rightarrow 0, \sigma \rightarrow \infty$ ,

$(u_{\tau, \sigma}, v_{\tau, \sigma}, h_{\tau, \sigma}) \rightarrow (y, \int_{\Omega} y, h)$  solution of

$$\partial_t y - \Delta y = f \left( y(t, x), \int_{\Omega} y(t, \xi) d\xi \right) + h1_\omega.$$

This comes from an adaptation of Hilhorst, Rodrigues' arguments.

# Uniform null-controllability for the linearized system

$$\begin{cases} \partial_t u - \Delta u = au + bv + h1_\omega & \text{in } (0, T) \times \Omega, \\ \tau \partial_t v - \sigma \Delta v = cu + dv & \text{in } (0, T) \times \Omega, \\ u = \frac{\partial v}{\partial n} = 0, & \text{on } (0, T) \times \partial\Omega, \\ (u, v)(0, \cdot) = (u_0, v_0) & \text{in } \Omega. \end{cases} \quad (\text{SystemL})$$

## Proposition (Hernandez-Santamaria, Le Balc'h, 2020)

Let  $(a, b, c, d) \in \mathbb{R}^2 \times \mathbb{R}^* \times (-\infty, 0)$ . For every  $T \in (0, 1)$ ,  $\tau \in (0, 1)$ ,  $\sigma \in (1, +\infty)$ ,  $(u_0, v_0) \in L^2(\Omega)^2$ , there exists  $h \in L^2((0, T) \times \omega)$

$$\|h\|_{L^2((0, T) \times \omega)} \leq C \exp\left(\frac{C}{T}\right) \left( \|u_0\|_{L^2(\Omega)} + \sqrt{\tau} \|v_0\|_{L^2(\Omega)} \right), \quad (\text{Cost})$$

such that the solution  $(u, v)$  of (SystemL) verifies  $(u, v)(T, \cdot) = 0$ .

- $c \neq 0$  is a necessary condition.
- Uniform null-controllability, with respect to the parameter  $\sigma \in (1, +\infty)$ , has already been proved by the Hernandez-Santamaria, Zuazua (2019).

# Uniform observability inequality for the adjoint system

Proposition (Hernandez-Santamaria, Le Balc'h, 2020)

Let  $(a, b, c, d) \in \mathbb{R}^2 \times \mathbb{R}^* \times (-\infty, 0)$ . For every  $T > 0$ ,  $(\tau, \sigma) \in (0, 1) \times (1, +\infty)$ ,  $(\phi_T, \psi_T) \in L^2(\Omega)^2$ , we have

$$\|\phi(0, \cdot)\|_{L^2(\Omega)}^2 + \tau \|\psi(0, \cdot)\|_{L^2(\Omega)}^2 \leq C \exp\left(\frac{C}{T}\right) \iint_{\omega \times (0, T)} |\phi(t, x)|^2 dt dx. \quad (\text{Obs})$$

where  $(\phi, \psi)$  is the solution of the adjoint system

$$\begin{cases} -\partial_t \phi - \Delta \phi = a\phi + c\psi & \text{in } (0, T) \times \Omega, \\ -\tau \partial_t \psi - \sigma \Delta \psi = b\phi + d\psi & \text{in } (0, T) \times \Omega, \\ \phi = \frac{\partial \psi}{\partial n} = 0, & \text{on } (0, T) \times \partial\Omega, \\ (\phi, \psi)(T, \cdot) = (\phi_T, \psi_T) & \text{in } \Omega. \end{cases} \quad (\text{Adjoint})$$

# Carleman estimate for a $4 \times 4$ reaction-diffusion system

Following Chaves-Silva, Guerrero, Puel (2014),

$$\left\{ \begin{array}{ll} w := -\frac{\tau}{\sigma} \partial_t \phi - \Delta \phi - \frac{d}{\sigma} \phi & \text{in } Q_T, \\ -\partial_t w - \Delta w - aw = \frac{cb}{\sigma} \phi & \text{in } Q_T, \\ -\partial_t \phi - \Delta \phi = a\phi + c\psi & \text{in } Q_T, \\ -\tau \partial_t \psi - \sigma \Delta \psi = b\phi + d\psi & \text{in } Q_T, \\ w = \phi = \frac{\partial \psi}{\partial n} = 0 & \text{on } \Sigma_T, \\ (w, \phi, \psi)(T, \cdot) = (-\Delta \phi_T - \frac{d}{\sigma} \phi_T, \phi_T, \psi_T) & \text{in } \Omega. \end{array} \right. \quad (\text{Adjoint})$$

- Carleman inequality for the second, third and fourth equations of (Adjoint)  
⇒ local terms in  $w$ ,  $\phi$  and  $\psi$ .
- Using the first equation in (Adjoint), local estimate of  $w$ .
- Using the third equation in (Adjoint), local estimate of  $\psi$  in terms of local integrals of the variables  $\phi$  and  $\partial_t \phi$  and some lower order terms.
- Estimate the local term of  $\partial_t \phi$  by means of weighted estimates ⇒ local integral of  $\phi$  and several lower order terms. Use of  $d < 0$  and  $\phi = 0$  on  $\Sigma_T$ .
- Combining the different estimates.

# Energy estimates for the reaction-diffusion system

$$\begin{cases} \partial_t u - \Delta u = au + bv + F & \text{in } (0, T) \times \Omega, \\ \tau \partial_t v - \sigma \Delta v = u - v & \text{in } (0, T) \times \Omega, \\ u = \frac{\partial v}{\partial n} = 0, & \text{on } (0, T) \times \partial\Omega, \\ (u, v)(0, \cdot) = (u_0, v_0) & \text{in } \Omega. \end{cases}$$

We have

$$\begin{aligned} & \|u\|_{C([0, T]; L^2(\Omega)) \cap L^2(0, T; H_0^1(\Omega))} + \sqrt{\tau} \|v\|_{C([0, T]; L^2(\Omega))} + \|v\|_{L^2(0, T; H^1(\Omega))} \\ & \leq C \left( \|u_0\|_{L^2(\Omega)} + \sqrt{\tau} \|v_0\|_{L^2(\Omega)} + \|F\|_{L^2((0, T) \times \Omega)} \right). \end{aligned}$$

**Proof.** Multiply the first equation by  $u$ , the second equation by  $v$ , integrate in  $\Omega$ ,

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} \|u(t, \cdot)\|_{L^2}^2 + \|\nabla u(t, \cdot)\|_{L^2}^2 & \leq C \|u(t, \cdot)\|_{L^2}^2 + \|F(t, \cdot)\|_{L^2}^2 + \frac{1}{4} \|v(t, \cdot)\|_{L^2}^2, \\ \frac{\tau}{2} \frac{d}{dt} \|v(t, \cdot)\|_{L^2}^2 + \sigma \|\nabla v(t, \cdot)\|_{L^2}^2 + \|\mathbf{v}(t, \cdot)\|_{L^2}^2 & \leq C \|u(t, \cdot)\|_{L^2}^2 + \frac{1}{4} \|v(t, \cdot)\|_{L^2}^2. \end{aligned}$$

Sum, integrate in time then Gronwall's estimate.

# Uniform source term method

$$\begin{cases} \partial_t u - \Delta u = au + bv + S + h\mathbf{1}_\omega & \text{in } (0, T) \times \Omega, \\ \tau \partial_t v - \sigma \Delta v = cu + dv & \text{in } (0, T) \times \Omega, \\ u = \frac{\partial v}{\partial n} = 0 & \text{on } (0, T) \times \partial\Omega, \\ (u, v)(0, \cdot) = (u_0, v_0) & \text{in } \Omega. \end{cases} \quad (\text{SystemS})$$

## Proposition

For every  $S \in \mathcal{S}$  and  $(u_0, v_0) \in H_0^1(\Omega) \times H^1(\Omega)$ , there exists an unique control  $h$  of minimal norm in  $\mathcal{H}$ , such that the solution  $(u, v)$  of (SystemS) satisfies

$$\frac{(u, v)}{\rho} \in H^1(0, T; L^2(\Omega)^2) \cap L^2(0, T; H^2(\Omega)^2) \cap C([0, T]; H_0^1(\Omega) \times H^1(\Omega)).$$

Moreover, the following estimate holds

$$\begin{aligned} & \|u/\rho\|_{H^1(0, T; L^2(\Omega)) \cap L^2(0, T; H^2(\Omega)) \cap C([0, T]; H_0^1(\Omega))} \\ & + \sqrt{\tau} \|v/\rho\|_{H^1(0, T; L^2(\Omega)) \cap C([0, T]; H^1(\Omega))} + \|v/\rho\|_{L^2(0, T; H^2(\Omega))} + \|h\|_{\mathcal{H}} \\ & \leq C \exp(C/T) \left( \|u_0\|_{H^1(\Omega)} + \sqrt{\tau} \|v_0\|_{H^1(\Omega)} + \|S\|_{\mathcal{S}} \right). \end{aligned}$$

## From the $2 \times 2$ system to the nonlocal equation

$$\begin{cases} \partial_t u - \Delta u = f(u, v) + h \mathbf{1}_\omega \\ \tau \partial_t v - \sigma \Delta v = u - v \\ u = \frac{\partial v}{\partial n} = 0. \end{cases}$$

In the limit  $\tau \rightarrow 0, \sigma \rightarrow \infty$ ,

$$\begin{cases} \partial_t y - \Delta y = f(y, \mathcal{F}y) + h \mathbf{1}_\omega, \\ y = 0. \end{cases}$$

### Proposition

Let  $(u_0, v_0) \in H_0^1(\Omega) \times H^1(\Omega)$ . Assume that  $h_{\tau,\sigma} \rightharpoonup h$  in  $L^2((0, T) \times \omega)$ . Then, up to a subsequence, as  $\tau \rightarrow 0, \sigma \rightarrow \infty$ ,

$$\begin{aligned} \partial_t u_{\tau,\sigma} &\rightharpoonup \partial_t y \text{ in } L^2(Q_T), \\ u_{\tau,\sigma} &\rightharpoonup^* y \text{ in } L^\infty(0, T; H_0^1(\Omega)), \\ v_{\tau,\sigma} &\rightarrow \int_\Omega y \text{ in } L^2(0, T; H^1(\Omega)). \end{aligned}$$

# Proof of the asymptotic behavior - 1

Energy estimate and maximal regularity give

$$\|u_{\tau,\sigma}\|_{C([0,T];H_0^1(\Omega))} + \|\partial_t u_{\tau,\sigma}\|_{L^2(0,T;L^2(\Omega))} + \|v_{\tau,\sigma}\|_{L^2(Q_T)} + \sqrt{\sigma} \|\nabla v_{\tau,\sigma}\|_{L^2(Q_T)} \leq C.$$

So, in the limit  $\tau \rightarrow 0, \sigma \rightarrow \infty$ ,

$$u_{\tau,\sigma} \rightharpoonup^* u \text{ in } L^\infty(0, T; H_0^1(\Omega)), \quad \partial_t u_{\tau,\sigma} \rightharpoonup \partial_t u \text{ in } L^2(Q_T),$$

$$v_{\tau,\sigma} \rightharpoonup v \text{ in } L^2(0, T; H^1(\Omega)), \quad |\nabla v_{\tau,\sigma}|_{L^2(Q_T)} \rightarrow 0 \Rightarrow \left\| v_{\tau,\sigma} - \int_{\Omega} v_{\tau,\sigma} \right\|_{L^2(Q_T)} \rightarrow 0.$$

Integrating in space the second equation, we find

$$\tau \frac{d}{dt} \int_{\Omega} v_{\tau,\sigma}(t, x) dx = \int_{\Omega} u_{\tau,\sigma}(t, x) dx - \int_{\Omega} v_{\tau,\sigma}(t, x) dx \text{ in } (0, T).$$

So setting  $\xi(t) = \int_{\Omega} v_{\tau,\sigma}(t, x) dx$ ,  $\zeta(t) = \int_{\Omega} u_{\tau,\sigma}(t, x) dx$ , we have

$$\tau \dot{\xi}(t) = \zeta(t) - \xi(t).$$

We have

$$\|\zeta - \xi\|_{L^2(0, T)} \leq C\sqrt{\tau}.$$

## Proof of the asymptotic behavior - 2

We multiply  $\tau \dot{\xi}(t) = \zeta(t) - \xi(t)$  by  $|\xi|^{p-2} \xi$

$$\frac{\tau}{p} |\xi(t)|^p - \frac{\tau}{p} |\xi(0)|^p + \int_0^T |\xi(s)|^p ds = \int_0^T \zeta(s) |\xi(s)|^{p-2} \xi(s) ds.$$

Young's inequality with the conjugate exponents  $p, p/(p-1)$

$$\frac{\tau}{p} |\xi(t)|^p + \frac{1}{p} \int_0^T |\xi(s)|^p ds \leq \frac{1}{p} \int_0^T |\zeta(s)|^p ds + \frac{\tau}{p} |\xi(0)|^p.$$

So we get  $\|\xi\|_{L^p((0,T))} \leq \|\zeta\|_{L^p((0,T))} + \tau^{1/p} |\xi(0)|$  then

$$\|\xi\|_{L^\infty(0,T)} \leq |\xi(0)| + \|\zeta\|_{L^\infty(0,T)}.$$

Integrating by parts give

$$\begin{aligned} \int_0^T (\zeta(t) - \xi(t))^2 dt &= \tau \int_0^T \dot{\xi}(\zeta(t) - \xi(t)) dt \\ &\leq C_{0,T} \tau + \tau \|\dot{\zeta}\|_{L^1(0,T)} \|\xi\|_{L^\infty(0,T)}. \end{aligned}$$

# Plan

1 Problem formulation

2 Existing results

3 Main results

4 Proofs of the main results

5 Open problems

# Nonlocal diffusion and nonlocal coupling

$$\begin{cases} \partial_t y - d(f_\Omega y) \Delta y = f(y, f_\Omega y) + h 1_\omega & \text{in } (0, T) \times \Omega, \\ y = 0 & \text{on } (0, T) \times \partial\Omega, \\ y(0, \cdot) = y_0 & \text{in } \Omega, \end{cases} \quad (\text{NonLocal})$$

$d, f$  are smooth and  $0 < m \leq d(s) \leq M, f(0, 0) = 0$ .

- **Question.** Local null-controllability of (NonLocal)?

See for instance Clark, Fernandez-Cara, Limaco, Medeiros for  $f = 0$ .

## Extension of the shadow system method

$$K = K(t, \xi),$$

$$\begin{cases} \partial_t y - \Delta y = \int_{\Omega} K(t, \xi) y(t, \xi) d\xi + h1_{\omega} & \text{in } (0, T) \times \Omega, \\ y = 0 & \text{on } (0, T) \times \partial\Omega, \\ y(0, \cdot) = y_0 & \text{in } \Omega. \end{cases} \quad (\text{NonLocal})$$

Assume that  $K \neq 0$  in  $(t_1, t_2) \times \omega$ ,

$$\begin{cases} \partial_t u - \Delta u = v + h1_{\omega} & \text{in } (0, T) \times \Omega, \\ \tau \partial_t v - \sigma \Delta v = K(t, x) u - v & \text{in } (0, T) \times \Omega, \\ u = \frac{\partial v}{\partial n} = 0, & \text{on } (0, T) \times \partial\Omega, \\ (u, v)(0, \cdot) = (u_0, v_0) & \text{in } \Omega. \end{cases} \quad (\text{SystemL})$$

- **Question:** Uniform null-controllability of (SystemL) with respect to  $\tau \rightarrow 0$  and  $\sigma \rightarrow \infty$ ?
- **Question:** (SystemL)  $\rightarrow$  (NonLocal) as  $\tau \rightarrow 0, \sigma \rightarrow \infty$ ?

# Coupled parabolic systems with nonlocal terms

$K = K \in \mathbb{R}^{n \times n}$ ,  $B \in \mathbb{R}^{n \times m}$ . Consider the linear reaction-diffusion system

$$\begin{cases} \partial_t U - \Delta U = K \int_{\Omega} U(t, \xi) d\xi + Bh1_{\omega} & \text{in } (0, T) \times \Omega, \\ U = 0 & \text{on } (0, T) \times \partial\Omega, \\ U(0, \cdot) = U_0 & \text{in } \Omega. \end{cases} \quad (\text{NonLocal})$$

## Open problem.

Null-controllability of (NonLocal)  $\Leftrightarrow \text{rank}(B, KB, \dots, K^{n-1}B) = n$ .

## Example:

$$\begin{cases} \partial_t u_1 - \Delta u_1 = h1_{\omega} & \text{in } (0, T) \times \Omega, \\ \partial_t u_2 - \Delta u_2 = K \int_{\Omega} u_1(t, \xi) d\xi & \text{in } (0, T) \times \Omega. \end{cases}$$

Question: Uniform null-controllability of

$$\begin{cases} \partial_t u_1 - \Delta u_1 = h1_{\omega} & \text{in } (0, T) \times \Omega, \\ \tau \partial_t \tilde{u}_1 - \sigma \Delta \tilde{u}_1 = u_1 - \tilde{u}_1 & \text{in } (0, T) \times \Omega, \\ \partial_t u_2 - \Delta u_2 = K \tilde{u}_1 & \text{in } (0, T) \times \Omega. \end{cases}$$

with respect to  $\tau \rightarrow 0$ ,  $\sigma \rightarrow \infty$ ?

## Other questions

- Other nonlinear systems.
- Other boundary conditions.
- Carleman estimates to deal with nonlocal terms.
- Boundary control system with nonlocal terms.
- Systems with nonlocal terms of higher order, i.e.

$$\partial_t y - \Delta y = \int_{\Omega} K(t, x, \xi) \Delta y(t, \xi) d\xi + h 1_{\omega}.$$