## Eigenvalue clusters for magnetic Laplacians in 2D

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Conference in honor of Johannes Sjöstrand CIRM, Luminy, September 2013
joint work with Nicolas Raymond (Rennes) and Frédéric Faure (Grenoble)

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## Motivations

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- Superconductors
[Fournais-Helffer, Lu-Pan, etc.]


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■ asymptotics, as $\hbar \rightarrow 0$, of eigenfunctions, eigenvalues, gaps, tunnel effect, etc. (many authors !)

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■ Dimension 2, non-vanishing $B$ :

## Theorem (Helffer-Kordyukov 2009, 2013)

If the magnetic field has a unique and non-degenerate minimum, the $j$-th eigenvalue admits an expansion in powers of $\hbar^{1 / 2}$ of the form:

$$
\lambda_{j}(\hbar) \sim \hbar \min _{q \in \mathbb{R}^{2}} B(q)+\hbar^{2}\left(c_{1}(2 j-1)+c_{0}\right)+O\left(\hbar^{5 / 2}\right)
$$

where $c_{0}$ and $c_{1}$ are constants depending on the magnetic field.

# Averaging method for 

## ASYMPTOTICS OF EIGENVALUE CLUSTERS FOR THE LAPLACIAN PLUS A POTENTIAL <br> ALAN WEINSTEIN

## 0. Introduction

Let $\triangle$ be the Laplace-Beltrami operator on a compact riemannian manifold $X$, $V: X \rightarrow \mathbb{R}$ a smooth function which we consider as a multiplication operator. The sum $H=\Delta+V$ is called a (reduced) Schrōdinger operator, and its eigenvalues represent the energy levels of a quantum mechanical system with kinetic energy given by the riemannian metric, and potential energy $V$.
If $X$ is the unit $n$-sphere $S^{n}$, the eigenvalues of $\Delta$ are of the form $k(k+n-1)$, with multiplicities growing as a polynomial of order $n-1$ in $k$. These are the energy levels of a "free particle." If a force field with potential $V$ is now applied, each of the multiple eigenvalues splits into a "cluster" of eigenvalues in the interval

$$
[k(k+n-1)+\min V, k(k+n-1)+\max V] .
$$

(The Stark effect, in which $V$ is an electrostatic potential, is a physical example of this phenomenon.)
The structure of the clusters has recently been studied by Guillemin in a series of papers [G1][G2][G3] intended to show how the potential function $V$ might be determined by the eigenvalues of $\Delta+V$. In this paper, we extend some of Guillemin's analysis by showing that the distribution of eigenvalues in the $k$ 'th cluster approaches a limit as $k \rightarrow \infty$, and that the limiting distribution can be expressed in terms of the averages of $V$ along closed geodesics.
Although we begin with differential operators, our constructions immediately require the use of pseudodifferential operators, so we begin in that context as well. In section 1 we show that, modulo a small error, we can replace the potential $V$ by a pseudodifferential operator which commutes with $\triangle$. Section 2, a study of eigenvalues, is a bridge to the principal Section 3, in which we analyze the joint spectrum of two commuting operators. Theorem 3.4 in that section is the main theorem in this paper.* In Section 4, we present some examples, including an application to the spectrum of manifolds all of whose geodesics are closed.
The aforementioned papers of Guillemin, as well as the numerical calculations of Chachere [C] (discussed in section 4) were the main impetus behind the *M. Kac and T. Spencer, as well as H. Widom, have independently proven slightly weaker versions of Theorem 3.4 , using completely different methods.

Received August 19, 1977.
work described here. I would like to thank V. Guillemin, T. Spencer, M. Taylor, and H . Widom for very helpful conversations.

## 1. Averaging the potential.

Let $A$ be a first-order, self-adjoint, positive, elliptic pseudo-differential operator ( $\psi D O$ ) on an $n$-dimensional compact manifold $X$ such that $e^{2 \pi / A}=c I$ for some constant $c$, and let $H=A^{2}+B$, where $B$ is a self-adjoint $\psi D O$ of order 0 . To study the spectrum of $H$, we introduce the transformed operators

$$
B_{t}=e^{-i L A} B e^{i L A}
$$

and the averaged operator

$$
\bar{B}=\frac{1}{2 \pi} \int_{0}^{2 \pi} B_{t} d t
$$

By Theorem 1.1 of [D-G], $e^{i t h}$ is a unitary Fourier integral operator, so the $B_{l}$ 's and $\bar{B}$ are self-adjoint $\psi D O$ 's of order 0 .
Lemma 1.1. $[A, \bar{B}]=0$.
Proof. We observe first that

$$
\frac{d}{d t} B_{t}=-i A e^{-i t A} B e^{i t A}+i e^{-i t A} B e^{-i t A} A
$$

so

$$
\begin{equation*}
\frac{d}{d t} B_{t}=\frac{1}{i}\left[A, B_{t}\right] \tag{1.1}
\end{equation*}
$$

Now $[A, \bar{B}]=\frac{i}{2 \pi} \int_{0}^{2 \pi} \frac{d}{d t} B_{r} d t=\frac{i}{2 \pi}\left(B_{2 \pi}-B_{0}\right)$,
which is zero because $e^{2 \pi i A}$ commutes with $B$.
The spectrum of $A^{2}+\bar{B}$ is easy to determine in terms of the joint spectrum of $A$ and $\bar{B}$. We will show that $A^{2}+\bar{B}$ is a good substitute for $H=A^{2}+B$ by proving the following theorem.

Theorem 1.2. There is a unitary pseudodifferential operator $U$ such that $U-I$ and $U\left(A^{2}+B\right) U^{-1}-\left(A^{2}+\tilde{B}\right)$ are both of order -1 .

Theorem 1.2 is a realization, in the context of operator theory, of the "averaging method"' commonly used in celestial mechanics (see Chapter 10 of [A]). The proof will follow the next few lemmas.

Lemma 1.3. Let $Q$ be a skew adjoint $\psi D O$ of order -1 such that $\left[A^{2}\right.$, $Q]-(B-\bar{B})$ has order -1 . Then, $U=e^{Q}$ is unitary, and $U-I$ and $U\left(A^{2}+B\right) U^{-1}-\left(A^{2}+\bar{B}\right)$ have order -1 .

Proof. $U$ is unitary because $Q$ is skew-adjoint. By Seeley's functional calculus [SE], $U$ is a $\psi D O$ of order 0 . Since the 0 -order principal symbol of $Q$ is 0 ,

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## Periodic bicharacteristics, more

There have been many works on "periodic bicharacteristics".

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(C) Birkhäuser Verlag, Basel, 2004

1424-0637/04/010001-73
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Annales Henri Poincaré

# Non-Selfadjoint Perturbations of Selfadjoint Operators in 2 Dimensions I 

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#### Abstract

This is the first in a series of works devoted to small non-selfadjoint perturbations of selfadjoint $h$-pseudodifferential operators in dimension 2. In the present work we treat the case when the classical flow of the unperturbed part is periodic and the strength $\epsilon$ of the perturbation is $\gg h$ (or sometimes only $\gg h^{2}$ ) and bounded from above by $h^{\delta}$ for some $\delta>0$. We get a complete asymptotic description of all eigenvalues in certain rectangles $[-1 / C, 1 / C]+i \epsilon\left[F_{0}-1 / C, F_{0}+1 / C\right]$.


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Let $H_{p}=p_{\xi}^{\prime} \cdot \frac{\partial}{\partial x}-p_{x}^{\prime} \cdot \frac{\partial}{\partial \xi}$ be the Hamilton field of $p$. In this work, we will always assume that for $E \in$ neigh $(0, \mathbf{R})$ :

The $H_{p}$-flow is periodic on $p^{-1}(E) \cap T^{*} M$ with period $T(E)>0$ depending analytically on $E$.
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\begin{equation*}
p_{\epsilon}=p+i \epsilon q+\mathcal{O}\left(\epsilon^{2} m\right) \tag{1.14}
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Notice that $p,\langle q\rangle$ are in involution; $0=H_{p}\langle q\rangle=:\{p,\langle q\rangle\}$. In Section 3, we shall see how to reduce ourselves to the case when

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## Periodic bicharacteristics \& Harmonic approximation

For semi-excited states, the Harmonic approximation can replace the principal symbol (cf. [Sjöstrand 1992]).

Theorem (Charles, VNS, 2008)
Let $P=-\frac{h^{2}}{2} \Delta+V(x), V$ has a non-degenerate minimum with eigenvalues $\left(\nu_{1}^{2}, \ldots, \nu_{n}^{2}\right)$. Assume that $\nu_{j}$ are coprime integers.

1 There exists $\hbar_{0}>0$ and $C>0$ such that for every $\hbar \in\left(0, \hbar_{0}\right.$ ]

$$
\operatorname{Spec}(P) \cap\left(-\infty, C \hbar^{\frac{2}{3}}\right) \subset \quad \bigcup\left[E_{N}-\frac{\hbar}{3}, E_{N}+\frac{\hbar}{3}\right] .
$$

2 When $E_{N} \leq C \hbar^{\frac{2}{3}}$, let
$m\left(E_{N}, \hbar\right)=\# \operatorname{Spec}(P) \cap\left[E_{N}-\frac{\hbar}{3}, E_{N}+\frac{\hbar}{3}\right]$. Then $m\left(E_{N}, \hbar\right)$ is precisely the dimension of $\operatorname{ker}\left(\hat{H}_{2}-E_{N}\right)$.

## Classical dynamics for magnetic fields: Lorentz

Let $\left(e_{1}, e_{2}, e_{3}\right)$ be an orthonormal basis of $\mathbb{R}^{3}$. Our configuration space is $\mathbb{R}^{2}=\left\{q_{1} e_{1}+q_{2} e_{2} ;\left(q_{1}, q_{2}\right) \in \mathbb{R}^{2}\right\}$, and the magnetic field is $\vec{B}=B\left(q_{1}, q_{2}\right) e_{3}$, $B \neq 0$.


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Newton's equation for the particle under the action of the Lorentz force:

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The kinetic energy $E=\frac{1}{4}\|\dot{q}\|^{2}$ is conserved.

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If the speed $\dot{q}$ is small, we may linearize the system, which amounts to have a constant magnetic field.
$\Rightarrow$ circular motion of angular velocity $\dot{\theta}=-2 B$ and radius $\|\dot{q}\| / 2 B$. Thus, even if the norm of the speed is small, the angular velocity may be very important.

## Magnetic drift

If $B$ is in fact not constant, then after a while, the particle may leave the region where the linearization is meaningful.

## Magnetic drift

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- electron beam in a non-uniform magnetic field


This photograph shows the motion of an electron beam in a non-uniform magnetic field. One can clearly see the fast rotation coupled with a drift. The turning point (here on the right) is called a mirror point. Credits: Prof. Reiner Stenzel, http:// www.physics.ucla.edu/plasma-exp/ beam/BeamLoopyMirror.html

## Classical dynamics for magnetic fields: Hamilton

It is known that the system (1) is Hamiltonian.
In terms of canonical variables $(q, p) \in T^{*} \mathbb{R}^{2}=\mathbb{R}^{4}$ the
Hamiltonian (=kinetic energy) is

$$
\begin{equation*}
H(q, p)=\|p-A(q)\|^{2} . \tag{2}
\end{equation*}
$$

We use here the Euclidean norm on $\mathbb{R}^{2}$, which allows the identification of $\mathbb{R}^{2}$ with $\left(\mathbb{R}^{2}\right)^{*}$ by

$$
\begin{equation*}
\forall(v, p) \in \mathbb{R}^{2} \times\left(\mathbb{R}^{2}\right)^{*}, \quad p(v)=\langle p, v\rangle . \tag{3}
\end{equation*}
$$

Thus, the canonical symplectic structure $\omega$ on $T^{*} \mathbb{R}^{2}$ is given by

$$
\begin{equation*}
\omega\left(\left(Q_{1}, P_{1}\right),\left(Q_{2}, P_{2}\right)\right)=\left\langle P_{1}, Q_{2}\right\rangle-\left\langle P_{2}, Q_{1}\right\rangle \tag{4}
\end{equation*}
$$

It is easy to check that Hamilton's equations for $H$ imply Newton's equation (1). In particular, through the identification (3) we have $\dot{q}=2(p-A)$.

## Fast-slow decomposition: cyclotron \& drift

## Theorem

There exists a small energy $E_{0}>0$ such that, for all $E<E_{0}$, for times $t \leq T(E)$, the magnetic flow $\varphi_{H}^{t}$ at kinetic energy $H=E$ is, up to an error of order $\mathcal{O}\left(E^{\infty}\right)$, the Abelian composition of two motions:

- [fast rotating motion] a periodic flow with frequency depending smoothly in E;
- [slow drift] the Hamiltonian flow of a function of order $E$ on $\Sigma:=H^{-1}(0)$.
- Thus, we can informally describe the motion as a coupling between a fast rotating motion around a center $c(t) \in H^{-1}(0)$ and a slow drift of the point $c(t)$.
- For generic starting points, $T(E) \sim 1 / E^{N}$, arbitrary $N>0$.


## Fast-slow decomposition: numerics

$$
B=2+q_{1}^{2}+q_{2}^{2}+q_{1}^{3} / 3
$$

## Fast-slow decomposition: numerics



## A symplectic submanifold

We introduce the submanifold of all particles at rest $(\dot{q}=0)$ :

$$
\Sigma:=H^{-1}(0)=\{(q, p) ; \quad p=A(q)\} .
$$

Since it is a graph, it is an embedded submanifold of $\mathbb{R}^{4}$, parameterized by $q \in \mathbb{R}^{2}$.

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## Lemma

$\Sigma$ is a symplectic submanifold of $\mathbb{R}^{4}$. In fact,

$$
j^{*} \omega_{\Gamma \Sigma}=d A \simeq B,
$$

where $j: \mathbb{R}^{2} \rightarrow \Sigma$ is the embedding $j(q)=(q, A(q))$.

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where $j: \mathbb{R}^{2} \rightarrow \Sigma$ is the embedding $j(q)=(q, A(q))$.

## Proof.

We compute
$j^{*} \omega=j^{*}\left(d p_{1} \wedge d q_{1}+d p_{2} \wedge d q_{2}\right)=\left(-\frac{\partial A_{1}}{\partial q_{2}}+\frac{\partial A_{2}}{\partial q_{1}}\right) d q_{1} \wedge d q_{2} \neq 0$.

## The symplectic orthogonal bundle

We wish to describe a small neighborhood of $\Sigma$ in $\mathbb{R}^{4}$, which amounts to understanding the normal symplectic bundle of $\Sigma$. (Weinstein, 1971 [9])

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$\Sigma=\{(q, A(q))\} \Rightarrow T_{j(q)} \Sigma=\operatorname{span}\left\{\left(Q, T_{q} \mathbf{A}(Q)\right)\right\}$.

## Lemma

For any $q \in \Omega$, a symplectic basis of $T_{j(q)} \Sigma^{\perp}$ is:

$$
u_{1}:=\frac{1}{\sqrt{|B|}}\left(e_{1},{ }^{t} T_{q} \mathbf{A}\left(e_{1}\right)\right) ; \quad v_{1}:=\frac{\sqrt{|B|}}{B}\left(e_{2},{ }^{t} T_{q} \mathbf{A}\left(e_{2}\right)\right)
$$

## Proof.

Let $\left(Q_{1}, P_{1}\right) \in T_{j(q)} \Sigma$ and $\left(Q_{2}, P_{2}\right)$ with $P_{2}={ }^{t} T_{q} \mathbf{A}\left(Q_{2}\right)$. Then $\omega\left(\left(Q_{1}, P_{1}\right),\left(Q_{2}, P_{2}\right)\right)=\left\langle T_{q} \mathbf{A}\left(Q_{1}\right), Q_{2}\right\rangle-\left\langle{ }^{t} T_{q} \mathbf{A}\left(Q_{2}\right), Q_{1}\right\rangle=0$. etc.

## The transversal Hessian

## Lemma

The transversal Hessian of $H$, as a quadratic form on $T_{j(q)} \Sigma^{\perp}$, is given by

$$
\forall q \in \Omega, \forall(Q, P) \in T_{j(q)} \Sigma^{\perp}, \quad d_{q}^{2} H\left((Q, P)^{2}\right)=2\|Q \wedge \vec{B}\|^{2}
$$

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$$

We may express this Hessian in the symplectic basis $\left(u_{1}, v_{1}\right)$ given by the Lemma:

$$
d^{2} H_{\mid T_{j(q)} \Sigma^{\perp}}=\left(\begin{array}{cc}
2|B| & 0  \tag{5}\\
0 & 2|B|
\end{array}\right)
$$

Indeed, $\left\|e_{1} \wedge \vec{B}\right\|_{\vec{B}}^{2}=B^{2}$, and the off-diagonal term is $\frac{1}{B}\left\langle e_{1} \wedge \vec{B}, e_{2} \wedge \vec{B}\right\rangle=0$.

## Preparation lemma

We endow $\mathbb{C}_{z_{1}} \times \mathbb{R}_{z_{2}}^{2}$ with canonical variables $z_{1}=x_{1}+i \xi_{1}$, $z_{2}=\left(x_{2}, \xi_{2}\right)$, and symplectic form $\omega_{0}:=d \xi_{1} \wedge d x_{1}+d \xi_{2} \wedge d x_{2}$.

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By Darboux theorem, there exists a diffeomorphism $g: \Omega \rightarrow g(\Omega) \subset \mathbb{R}_{z_{2}}^{2}$ such that $g\left(q_{0}\right)=0$ and $g^{*}\left(d \xi_{2} \wedge d x_{2}\right)=j^{*} \omega$. In other words, the new embedding $\tilde{\jmath}:=j \circ g^{-1}: \mathbb{R}^{2} \rightarrow \Sigma$ is symplectic.

$$
\begin{aligned}
\mathbb{C} \times \Omega & \xrightarrow{\tilde{\Phi}} N \Sigma \\
\left(x_{1}+i \xi_{1}, z_{2}\right) & \mapsto x_{1} u_{1}\left(z_{2}\right)+\xi_{1} v_{1}\left(z_{2}\right),
\end{aligned}
$$

where $q=g^{-1}\left(z_{2}\right)$. This is an isomorphism between the normal symplectic bundle of $\{0\} \times \Omega$ and $N \Sigma$, the normal symplectic bundle of $\Sigma$ (for fixed $z_{2}$, the map $z_{1} \mapsto \tilde{\Phi}\left(z_{1}, z_{2}\right)$ is a linear symplectic map). Weinstein [9] $\Rightarrow \exists$ symplectomorphism $\Phi$ from a neighborhood of $\{0\} \times \Omega$ to a neighborhood of $\tilde{\jmath}(\Omega) \subset \Sigma$ whose differential at $\{0\} \times \Omega$ is equal to $\tilde{\Phi}$.

## Preparation lemma: the transformed Hamiltonian

The zero-set $\Sigma=H^{-1}(0)$ is now $\{0\} \times \Omega$, and the symplectic orthogonal $T_{\tilde{\jmath}\left(0, z_{2}\right)} \Sigma^{\perp}$ is canonically equal to $\mathbb{C} \times\left\{z_{2}\right\}$. By (5), the matrix of the transversal Hessian of $H \circ \Phi$ in the canonical basis of $\mathbb{C}$ is simply $d^{2}(H \circ \Phi)_{\mid \mathbb{C} \times\left\{z_{2}\right\}}=$

$$
=d_{\Phi\left(0, z_{2}\right)}^{2} H \circ(d \Phi)^{2}=\left(\begin{array}{cc}
2\left|B\left(g^{-1}\left(z_{2}\right)\right)\right| & 0  \tag{6}\\
0 & 2\left|B\left(g^{-1}\left(z_{2}\right)\right)\right|
\end{array}\right) .
$$

Therefore, by Taylor's formula in the $z_{1}$ variable (locally uniformly with respect to the $z_{2}$ variable seen as a parameter), we get $H \circ \Phi\left(z_{1}, z_{2}\right)=$
$=H \circ \Phi_{\mid z_{1}=0}+d H \circ \Phi_{\mid z_{1}=0}\left(z_{1}\right)+\frac{1}{2} d^{2}(H \circ \Phi)_{\mid z_{1}=0}\left(z_{1}^{2}\right)+\mathcal{O}\left(\left|z_{1}\right|^{3}\right)$
$=0+0+\left|B\left(g^{-1}\left(z_{2}\right)\right)\right|\left|z_{1}\right|^{2}+\mathcal{O}\left(\left|z_{1}\right|^{3}\right)$.

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Can one do better ?

## Magnetic Birkhoff normal form

## Theorem

Let $\Omega \subset \mathbb{R}^{2}$ be an open set where $B$ does not vanish. Then there exists a symplectic diffeomorphism $\Phi$, defined in an open set $\tilde{\Omega} \subset \mathbb{C}_{z_{1}} \times \mathbb{R}_{z_{2}}^{2}$, with values in $T^{*} \mathbb{R}^{2}$, which sends the plane $\left\{z_{1}=0\right\}$ to the surface $\{H=0\}$, and such that

$$
\begin{equation*}
H \circ \Phi=\left|z_{1}\right|^{2} f\left(z_{2},\left|z_{1}\right|^{2}\right)+\mathcal{O}\left(\left|z_{1}\right|^{\infty}\right) \tag{7}
\end{equation*}
$$

where $f: \mathbb{R}^{2} \times \mathbb{R} \rightarrow \mathbb{R}$ is smooth. Moreover, the map

$$
\begin{equation*}
\varphi: \Omega \ni q \mapsto \Phi^{-1}(q, \mathbf{A}(q)) \in\left(\{0\} \times \mathbb{R}_{z_{2}}^{2}\right) \cap \tilde{\Omega} \tag{8}
\end{equation*}
$$

is a local diffeomorphism and $f \circ(\varphi(q), 0)=|B(q)|$.

## Long time dynamics

## Let $K=\left|z_{1}\right|^{2} f\left(z_{2},\left|z_{1}\right|^{2}\right)$ (completely integrable).

## Theorem

Assume that the magnetic field $B>0$ is confining: there exists $C>0$ and $M>0$ such that $B(q) \geq C$ if $\|q\| \geq M$. Let $C_{0}<C$.
Then
1 The flow $\varphi_{H}^{t}$ is uniformly bounded for all starting points $(q, p)$ such that $B(q) \leq C_{0}$ and $H(q, p)=\mathcal{O}(\epsilon)$ and for times of order $\mathcal{O}\left(1 / \epsilon^{N}\right)$, where $N$ is arbitrary.
2 Up to a time of order $T_{\epsilon}=\mathcal{O}(|\ln \epsilon|)$, we have

$$
\begin{equation*}
\left\|\varphi_{H}^{t}(q, p)-\varphi_{K}^{t}(q, p)\right\|=\mathcal{O}\left(\epsilon^{\infty}\right) \tag{9}
\end{equation*}
$$

for all starting points $(q, p)$ such that $B(q) \leq C_{0}$ and $H(q, p)=\mathcal{O}(\epsilon)$.

## Very Long time dynamics

It is interesting to notice that, if one restricts to regular values of $B$, one obtains the same control for a much longer time, as stated below.

## Theorem

Under the same confinement hypothesis, let $J \subset\left(0, C_{0}\right)$ be a closed interval such that $d B$ does not vanish on $B^{-1}(J)$. Then up to a time of order $T=\mathcal{O}\left(1 / \epsilon^{N}\right)$, for an arbitrary $N>0$, we have

$$
\left\|\varphi_{H}^{t}(q, p)-\varphi_{K}^{t}(q, p)\right\|=\mathcal{O}\left(\epsilon^{\infty}\right)
$$

for all starting points $(q, p)$ such that $B(q) \in J$ and $H(q, p)=\mathcal{O}(\epsilon)$.

Rem: The longer time $T=\mathcal{O}\left(1 / \epsilon^{N}\right)$ perhaps also applies for some types of singularities of $B$; this seems to be an open question.

## Quantum spectrum

The spectral theory of $\mathcal{H}_{\hbar, \mathbf{A}}$ is governed at first order by the magnetic field itself, viewed as a symbol on $\Sigma$.

## Theorem

Assume that the magnetic field $B$ is confining and non vanishing. Let $\mathcal{H}_{\hbar}^{0}=\operatorname{Op}_{\hbar}^{w}\left(H^{0}\right)$, where $H^{0}=B\left(\varphi^{-1}\left(z_{2}\right)\right)\left|z_{1}\right|^{2}$. Then the spectrum of $\mathcal{H}_{\hbar, \mathbf{A}}$ below $C \hbar$ is 'almost the same' as the spectrum of $\mathcal{N}_{\hbar}:=\mathcal{H}_{\hbar}^{0}+Q_{\hbar}$, i.e.:

$$
\left|\lambda_{j}(\hbar)-\mu_{j}(\hbar)\right|=O\left(\hbar^{\infty}\right)
$$

where $Q_{\hbar}$ is a classical pseudo-differential operator, such that

- $Q_{\hbar}$ commutes with $\mathrm{Op}_{\hbar}^{w}\left(\left|z_{1}\right|^{2}\right)$;
- $Q_{\hbar}$ is relatively bounded with respect to $\mathcal{H}_{\hbar}^{0}$ with an arbitrarily small relative bound;
- its Weyl symbol is $O_{z_{2}}\left(\hbar^{2}+\hbar\left|z_{1}\right|^{2}+\left|z_{1}\right|^{4}\right)$,


## Microlocal normal form, I

Cf. [Sjöstrand, 1992], [Charles - VNS, 2008], and [Ivrii 1998].

## Theorem

For $\hbar$ small enough there exists a Fourier Integral Operator $U_{\hbar}$ such that

$$
U_{\hbar}^{*} U_{h}=I+Z_{\hbar}, \quad U_{\hbar} U_{h}^{*}=I+Z_{\hbar}^{\prime}
$$

where $Z_{\hbar}, Z_{\hbar}^{\prime}$ are pseudo-differential operators that microlocally vanish in a neighborhood of $\tilde{\Omega} \cap \Sigma$, and

$$
\begin{equation*}
U_{\hbar}^{*} \mathcal{H}_{\hbar, \mathbf{A}} U_{\hbar}=\mathcal{I}_{\hbar} F_{\hbar}+\hat{\mathcal{O}}\left(\hbar^{\infty}\right) \tag{10}
\end{equation*}
$$

where
$1 \mathcal{I}_{\hbar}:=-\hbar^{2} \frac{\partial^{2}}{\partial x_{1}^{2}}+x_{1}^{2}$;
$2 F_{\hbar}$ is a classical pseudo-differential operator in $S(m)$ that commutes with $\mathcal{I}_{\hbar}$ (and $\left.\mathcal{I}_{\hbar} F_{\hbar}=\mathcal{N}_{\hbar}=\mathcal{H}_{\hbar}^{0}+Q_{\hbar}\right)$.

## Microlocal normal form, II

$$
\left[F_{\hbar}, \mathcal{I}_{\hbar}\right]=0
$$

## Theorem (Quantization and reduction)

1 For any Hermite function $h_{n}\left(x_{1}\right)$ such that $\mathcal{I}_{\hbar} h_{n}=\hbar(2 n-1) h_{n}$, the operator $F_{\hbar}^{(n)}$ acting on $L^{2}\left(\mathbb{R}_{x_{2}}\right)$ by

$$
h_{n} \otimes F_{\hbar}^{(n)}(u)=F_{\hbar}\left(h_{n} \otimes u\right)
$$

is a classical pseudo-differential operator in $S_{\mathbb{R}^{2}}(m)$ with principal symbol $F^{(n)}\left(x_{2}, \xi_{2}\right)=B(q)$;

## Bottom of the magnetic well

We recover the result of Helffer-Kordyukov [4], adding the fact that no odd power of $\hbar^{1 / 2}$ can show up in the asymptotic expansion.

## Corollary (Low lying eigenvalues)

Assume that $B$ has a unique non-degenerate minimum. Then there exists a constant $c_{0}$ such that for any $j$, the eigenvalue $\lambda_{j}(\hbar)$ has a full asymptotic expansion in integral powers of $\hbar$ whose first terms have the following form:

$$
\lambda_{j}(\hbar) \sim \hbar \min B+\hbar^{2}\left(c_{1}(2 j-1)+c_{0}\right)+O\left(\hbar^{3}\right)
$$

with $c_{1}=\frac{\sqrt{\operatorname{det}\left(B^{\prime \prime} \circ \varphi^{-1}(0)\right)}}{2 B \circ \varphi^{-1}(0)}$, where the minimum of $B$ is reached at $\varphi^{-1}(0)$.

## Magnetic excited states

## Corollary (Magnetic excited states)

Let $c$ be a regular value of $B$, and assume that the level set $B^{-1}(c)$ is connected. Then there exists $\epsilon>0$ such that the eigenvalues of the magnetic Laplacian in the interval $[\hbar(c-\epsilon), \hbar(c+\epsilon)]$ have the form
$\lambda_{j}(\hbar)=(2 n-1) \hbar f_{\hbar}(\hbar n(j), \hbar k(j))+O\left(\hbar^{\infty}\right), \quad(n(j), k(j)) \in \mathbb{Z}^{2}$,
where $f_{\hbar}=f_{0}+\hbar f_{1}+\cdots, f_{i} \in C^{\infty}\left(\mathbb{R}^{2} ; \mathbb{R}\right)$ and $\partial_{1} f_{0}=0$, $\partial_{2} f_{0} \neq 0$. Moreover, the corresponding eigenfunctions are microlocalized in the annulus $B^{-1}([c-\epsilon, c+\epsilon])$.
In particular, if $c \in(\min B, 3 \mathrm{~min} B)$, the eigenvalues of the magnetic Laplacian in the interval $[\hbar(c-\epsilon), \hbar(c+\epsilon)]$ have gaps of order $O\left(\hbar^{2}\right) .(n=1)$

## Proof: semiclassical normal form

Recall $H\left(z_{1}, z_{2}\right)=H^{0}+O\left(\left|z_{1}\right|^{3}\right)$, where $H^{0}=B\left(g^{-1}\left(z_{2}\right)\right)\left|z_{1}\right|^{2}$. Consider the space of the formal power series in $\hat{x}_{1}, \hat{\xi}_{1}, \hbar$ with coefficients smoothly depending on $\left(\hat{x}_{2}, \hat{\xi}_{2}\right): \mathcal{E}=\mathcal{C}_{\hat{x}_{2}, \hat{\xi}_{2}}^{\infty}\left[\hat{x}_{1}, \hat{\xi}_{1}, \hbar\right]$. We endow $\mathcal{E}$ with the Moyal product (compatible with the Weyl quantization)

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The degree of $\hat{x}_{1}^{\alpha} \hat{\xi}_{1}^{\beta} \hbar^{l}$ is $\alpha+\beta+2 l$. $\mathcal{D}_{N}$ denotes the space of the monomials of degree $N . \mathcal{O}_{N}$ is the space of formal series with valuation at least $N$.

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## Proposition

Given $\gamma \in \mathcal{O}_{3}$, there exist formal power series $\tau, \kappa \in \mathcal{O}_{3}$ such that:

$$
e^{i \hbar^{-1} \mathrm{ad}_{\tau}}\left(H^{0}+\gamma\right)=H^{0}+\kappa,
$$

with: $\left[\kappa, H^{0}\right]=0$.

## Open questions

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■ $n=3$ !

## Open questions

- $n=3!$ Störmer problem (Aurora Borealis) http://www.dynamical-systems.org/stoermer/
우 우 웅


## Sur les trajectoires des corpuscules électrisés dans l'espace

Applications à l'aurore boréale et aux perturbations magnétiques ${ }^{1}$

Par CARL STÖRMER,<br>Proficsiour de Physique à IUniversite de Christiania.

L'uypotièse de Birkcland sur lansore loróze, Iypothése que M. Störmer s'est proposé d'appuyer par to calcul, est que le phénomène est diò à des rayons cathodiques émanés $d u$ soleil' el atti-

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■ Non constant rank ( $B=0$, etc. $)$ : new phenomena.

R L．Boutet de Monvel．
Nombre de valeurs propres d＇un opérateur elliptique et polynôme de Hilbert－Samuel［d＇après V．Guillemin］．
Séminaire Bourbaki，（532），1978／79．
囯 L．Charles and S．Vũ Ngọc．
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