

DIOPHANTINE EQUATIONS AND SEMISTABLE ELLIPTIC CURVES OVER TOTALLY REAL FIELDS

Samuele Anni (IWR - Universität Heidelberg)
joint with Samir Siksek (University of Warwick)

Journées Algophantiennes Bordelaises 2017,
Université de Bordeaux, June 2017



UNIVERSITÄT
HEIDELBERG
ZUKUNFT
SEIT 1386

1 GENERALIZED FERMAT EQUATION

2 $x^{2\ell} + y^{2m} = z^p$

3 THE PROOF

GENERALIZED FERMAT EQUATION

Let $(p, q, r) \in \mathbb{Z}_{\geq 2}^3$. The equation

$$x^p + y^q = z^r$$

is a **Generalized Fermat Equation** of signature (p, q, r) .

A solution $(x, y, z) \in \mathbb{Z}^3$ is called

- **non-trivial** if $xyz \neq 0$,
- **primitive** if $\gcd(x, y, z) = 1$.

CONJECTURE (DARMON & GRANVILLE, TIJDEMAN, ZAGIER, BEAL)

Suppose

$$\frac{1}{p} + \frac{1}{q} + \frac{1}{r} < 1.$$

The only non-trivial primitive solutions to $x^p + y^q = z^r$ are

$$1 + 2^3 = 3^2,$$

$$2^5 + 7^2 = 3^4,$$

$$7^3 + 13^2 = 2^9,$$

$$2^7 + 17^3 = 71^2,$$

$$3^5 + 11^4 = 122^2,$$

$$17^7 + 76271^3 = 21063928^2,$$

$$1414^3 + 2213459^2 = 65^7,$$

$$9262^3 + 15312283^2 = 113^7,$$

$$43^8 + 96222^3 = 30042907^2, \quad 33^8 + 1549034^2 = 15613^3.$$

Poonen–Schaefer–Stoll: (2, 3, 7).

Bruin: (2, 3, 8), (2, 8, 3), (2, 3, 9), (2, 4, 5), (2, 5, 4).

Many others ...

Infinite Families of Exponents:

- Wiles: (p, p, p) .
- Darmon and Merel: $(p, p, 2)$, $(p, p, 3)$.
- Many other infinite families by many people . . .

The study of infinite families uses Frey curves, modularity and level-lowering over \mathbb{Q} (or \mathbb{Q} -curves).

Let us look at $x^p + y^p = z^\ell$ for p and ℓ primes ≥ 5 .

SOLVE $x^p + y^p = z^\ell$

NAÏVE IDEA

To solve $x^p + y^p = z^\ell$ factor over $\mathbb{Q}(\zeta)$, where ζ is a p -th root of unity.

$$(x + y)(x + \zeta y) \dots (x + \zeta^{p-1}y) = z^\ell.$$

$$x + \zeta^j y = \alpha_j \xi_j^\ell, \quad \alpha_j \in \text{finite set.}$$

$$\exists \epsilon_j \in \mathbb{Q}(\zeta) \text{ such that } \epsilon_0(x + y) + \epsilon_1(x + \zeta y) + \epsilon_2(x + \zeta^2 y) = 0.$$

$$\gamma_0 \xi_0^\ell + \gamma_1 \xi_1^\ell + \gamma_2 \xi_2^\ell = 0 \quad (\gamma_0, \gamma_1, \gamma_2) \in \text{finite set.}$$

It looks like $x^\ell + y^\ell + z^\ell = 0$ solved by Wiles.

PROBLEMS

Problem 1: trivial solutions $(\pm 1, 0, \pm 1), (0, \pm 1, \pm 1)$ become non-trivial.

Problem 2: modularity theorems over non-totally real fields.

$$\lfloor x^{2\ell} + y^{2m} = z^p$$

1 GENERALIZED FERMAT EQUATION

2 $x^{2\ell} + y^{2m} = z^p$

3 THE PROOF

$$\sqsubset x^{2\ell} + y^{2m} = z^p$$

THEOREM (A.-SIKSEK)

Let $p = 3, 5, 7, 11$ or 13 . Let $\ell, m \geq 5$ be primes, and if $p = 13$ suppose moreover that $\ell, m \neq 7$. Then the only primitive solutions to

$$x^{2\ell} + y^{2m} = z^p,$$

are the trivial ones $(x, y, z) = (\pm 1, 0, 1)$ and $(0, \pm 1, 1)$.

Remark: this is a [bi-infinite](#) family of equations.

Let $\ell, m, p \geq 5$ be primes, $\ell \neq p, m \neq p$.

$$x^{2\ell} + y^{2m} = z^p, \quad \gcd(x, y, z) = 1.$$

Modulo 8 we get $2 \nmid z$ so WLOG $2 \mid x$. Only expected solution $(0, \pm 1, 1)$.

$$\begin{cases} x^\ell + y^m i = (a + bi)^p \\ x^\ell - y^m i = (a - bi)^p \end{cases} \quad a, b \in \mathbb{Z} \quad \gcd(a, b) = 1.$$

$$\begin{aligned} x^\ell &= \frac{1}{2} ((a + bi)^p + (a - bi)^p) = a \cdot \prod_{j=1}^{p-1} ((a + bi) + (a - bi)\zeta^j) \\ &= a \cdot \prod_{j=1}^{(p-1)/2} ((\theta_j + 2)a^2 + (\theta_j - 2)b^2) \quad \theta_j = \zeta^j + \zeta^{-j} \in \mathbb{Q}(\zeta + \zeta^{-1}). \end{aligned}$$

Let $K := \mathbb{Q}(\zeta + \zeta^{-1})$ then

$$x^\ell = a \cdot \prod_{j=1}^{(p-1)/2} \underbrace{((\theta_j + 2)a^2 + (\theta_j - 2)b^2)}_{f_j(a,b)} \quad \theta_j = \zeta^j + \zeta^{-j} \in K.$$

$$\begin{aligned} p \nmid x &\implies a = \alpha^\ell, & f_j(a, b) \cdot \mathcal{O}_K &= \mathfrak{b}_j^\ell, \\ p \mid x &\implies a = p^{\ell-1} \alpha^\ell, & f_j(a, b) \cdot \mathcal{O}_K &= \mathfrak{p} \mathfrak{b}_j^\ell, & \mathfrak{p} &= (\theta_j - 2) \mid p. \end{aligned}$$

$$\underbrace{(\theta_2 - 2)f_1(a, b)}_u + \underbrace{(2 - \theta_1)f_2(a, b)}_v + \underbrace{4(\theta_1 - \theta_2)a^2}_w = 0.$$

$$\lfloor x^{2\ell} + y^{2m} = z^p$$

Frey curve

$$(*) \quad E : Y^2 = X(X - u)(X + v), \quad \Delta = 16u^2v^2w^2.$$

PROBLEMS

Problem 1: ~~trivial solutions $(0, \pm 1, 1)$ become non-trivial.~~

Trivial solution $x = 0 \implies a = 0$, so $w = 0 \implies \Delta = 0$.

Problem 2: ~~modularity theorems over non-totally real fields.~~

$$K := \mathbb{Q}(\zeta + \zeta^{-1})$$

$$\lfloor x^{2\ell} + y^{2m} = z^p$$

LEMMA

Suppose $p \nmid x$. Let E be the Frey curve (*). The curve E is semistable, with **multiplicative reduction** at all primes above **2** and **good reduction** at \mathfrak{p} . It has minimal discriminant and conductor

$$\mathcal{D}_{E/K} = 2^{4\ell n - 4} \alpha^{4\ell} \mathfrak{b}_j^{2\ell} \mathfrak{b}_k^{2\ell}, \quad \mathcal{N}_{E/K} = 2 \cdot \text{Rad}(\alpha \mathfrak{b}_j \mathfrak{b}_k).$$

LEMMA

Suppose $p \mid x$. Let E be the Frey curve (*). The curve E is semistable, with **multiplicative reduction** at \mathfrak{p} and at all primes above **2**. It has minimal discriminant and conductor

$$\mathcal{D}_{E/K} = 2^{4\ell n - 4} \mathfrak{p}^{2\delta} \alpha^{4\ell} \mathfrak{b}_j^{2\ell} \mathfrak{b}_k^{2\ell}, \quad \mathcal{N}_{E/K} = 2\mathfrak{p} \cdot \text{Rad}(\alpha \mathfrak{b}_j \mathfrak{b}_k).$$

$$\lfloor x^{2\ell} + y^{2m} = z^p$$

LEMMA

Suppose $p \nmid x$. Let E be the Frey curve (*). The curve E is semistable, with **multiplicative reduction** at all primes above **2** and **good reduction** at \mathfrak{p} . It has minimal discriminant and conductor

$$\mathcal{D}_{E/K} = 2^{4\ell n - 4} \alpha^{4\ell} \mathfrak{b}_j^{2\ell} \mathfrak{b}_k^{2\ell}, \quad \mathcal{N}_{E/K} = 2 \cdot \text{Rad}(\alpha \mathfrak{b}_j \mathfrak{b}_k).$$

LEMMA

Suppose $p \mid x$. Let E be the Frey curve (*). The curve E is semistable, with **multiplicative reduction** at \mathfrak{p} and at all primes above **2**. It has minimal discriminant and conductor

$$\mathcal{D}_{E/K} = 2^{4\ell n - 4} \mathfrak{p}^{2\delta} \alpha^{4\ell} \mathfrak{b}_j^{2\ell} \mathfrak{b}_k^{2\ell}, \quad \mathcal{N}_{E/K} = 2\mathfrak{p} \cdot \text{Rad}(\alpha \mathfrak{b}_j \mathfrak{b}_k).$$

1 GENERALIZED FERMAT EQUATION

2 $x^{2\ell} + y^{2m} = z^p$

3 THE PROOF

- Residual irreducibility
- Modularity

GALOIS REPRESENTATIONS AND ELLIPTIC CURVES

Let ℓ be a prime, and E elliptic curve over totally real field K . The **mod ℓ Galois Representation** attached to E is given by

$$\bar{\rho}_{E,\ell} : G_K \rightarrow \text{Aut}(E[\ell]) \cong \text{GL}_2(\mathbb{F}_\ell) \quad G_K = \text{Gal}(\bar{K}/K).$$

The **ℓ -adic Galois Representation** attached to E is given by

$$\rho_{E,\ell} : G_K \rightarrow \text{Aut}(T_\ell(E)) \cong \text{GL}_2(\mathbb{Z}_\ell),$$

where $T_\ell(E) = \varprojlim E[\ell^n]$ is the ℓ -adic Tate module.

DEFINITION

E is **modular** if there exists a cuspidal Hilbert modular eigenform f such that $\rho_{E,\ell} \sim \rho_{f,\ell}$.

Proof of Fermat's Last Theorem uses three big theorems:

- ① **Mazur**: irreducibility of mod ℓ representations of elliptic curves over \mathbb{Q} for $\ell > 163$ (i.e. absence of ℓ -isogenies).
- ② **Wiles** (and others): modularity of elliptic curves over \mathbb{Q} .
- ③ **Ribet**: level lowering for mod ℓ representations—this requires irreducibility and modularity.

Over totally real fields we have

- ① **Merel's** uniform boundedness theorem for **torsion**. No corresponding result for isogenies.
- ② Partial modularity results, no clean statements.
- ③ Level lowering for mod ℓ representations works exactly as for \mathbb{Q} : theorems of **Fujiwara**, **Jarvis** and **Rajaei**. Requires irreducibility and modularity.

REDUCIBLE REPRESENTATIONS

Let E be a Frey curve as in (*).

LEMMA

Suppose $\bar{\rho}_{E,\ell}$ is reducible. Then either E/K has non-trivial ℓ -torsion, or is ℓ -isogenous to an elliptic curve over K that has non-trivial ℓ -torsion.

LEMMA

For $p = 5, 7, 11, 13$, and $\ell \geq 5$, with $\ell \neq p$, the mod ℓ representation $\bar{\rho}_{E,\ell}$ is irreducible.

Sketch of the proof: use $h_K^+ = 1$ for all these p , class field theory and

- Classification of ℓ -torsion over fields of degree 2 (Kamienny), degree 3 (Parent), degrees 4, 5, 6 (Derickx, Kamienny, Stein, and Stoll).
- “A criterion to rule out torsion groups for elliptic curves over number fields”, Bruin and Najman.
- Computations of K -points on modular curves.

MODULARITY

Three kinds of modularity theorems:

- **Kisin, Gee, Breuil, ... :**
if $\ell = 3, 5$ or 7 and $\bar{\rho}_{E,\ell}(G_K)$ is 'big' then E is modular.
- **Thorne:**
if $\ell = 5$, and $\sqrt{5} \notin K$ and $\mathbb{P}\bar{\rho}_{E,\ell}(G_K)$ is dihedral then E is modular.
- **Skinner & Wiles:**
if $\bar{\rho}_{E,\ell}(G_K)$ is reducible (and other conditions) then E is modular.

Fix $\ell = 5$ and suppose $\sqrt{5} \notin K$. Remaining case $\bar{\rho}_{E,\ell}(G_K)$ reducible.

SKINNER & WILES

- K totally real field,
- E/K semistable elliptic curve,
- 5 unramified in K ,
- $\bar{\rho}_{E,5}$ is reducible:

$$\bar{\rho}_{E,5} \sim \begin{pmatrix} \psi_1 & * \\ 0 & \psi_2 \end{pmatrix}, \quad \psi_i : G_K \rightarrow \mathbb{F}_5^\times.$$

THEOREM (SKINNER & WILES)

Suppose $K(\psi_1/\psi_2)$ is an abelian extension of \mathbb{Q} . Then E is modular.

Plan: Start with K abelian over \mathbb{Q} . Find sufficient conditions so that $K(\psi_1/\psi_2) \subseteq K(\zeta_5)$. Then (assuming these conditions) E is modular.

REDUCIBLE REPRESENTATIONS

- K real abelian field.
- E/K semistable elliptic curve,
- q unramified in K ,
- $\bar{\rho}_{E,q}$ is reducible:

$$\bar{\rho}_{E,5} \sim \begin{pmatrix} \psi_1 & * \\ 0 & \psi_2 \end{pmatrix}, \quad \psi_i : G_K \rightarrow \mathbb{F}_5^\times.$$

Fact: $\psi_1\psi_2 = \chi$ where $\chi : G_K \rightarrow \mathbb{F}_5^\times$ satisfies $\zeta_5^\sigma = \zeta_5^{\chi(\sigma)}$.

$$\frac{\psi_1}{\psi_2} = \frac{\chi}{\psi_2^2} = \frac{\psi_1^2}{\chi}.$$

$$K(\psi_1/\psi_2) \subseteq K(\zeta_5)K(\psi_2^2), \quad K(\psi_1/\psi_2) \subseteq K(\zeta_5)K(\psi_1^2).$$

If $K(\psi_1^2) = K$ or $K(\psi_2^2) = K$, then E is modular.

MODULARITY

THEOREM (A.-SIKSEK)

Let K be a real abelian number field. Write $S_5 = \{q \mid 5\}$. Suppose

- (A) 5 is unramified in K ;
- (B) the class number of K is odd;
- (C) for each non-empty proper subset S of S_5 , there is some totally positive unit u of \mathcal{O}_K such that

$$\prod_{q \in S} \text{Norm}_{\mathbb{F}_q/\mathbb{F}_5}(u \bmod q) \neq \bar{1}.$$

Then every semistable elliptic curve E over K is modular.

This theorem builds over results of Thorne and Skinner & Wiles.

PROOF.

- By Kisin, ... and Thorne, can suppose that $\bar{\rho}_{E,5}$ is reducible.
- By (c), ψ_1 or ψ_2 is unramified at all finite places.
- So ψ_1^2 or ψ_2^2 is unramified at all places.
- By (b), $K(\psi_1^2) = K$ or $K(\psi_2^2) = K$.



PROPOSITION

Let K be a real abelian field of conductor $n < 100$. Let E be a semistable elliptic curve over K . Then E is modular.

This proposition relies on the previous theorem and on a formulation of Thorne's theorem for $\ell = 7$ for semistable elliptic curves.

COROLLARY

For $p = 5, 7, 11, 13$, the Frey curve E is modular.

PROOF.

For $p = 7, 11, 13$ apply the previous theorem. For $p = 5$ we have $K = \mathbb{Q}(\sqrt{5})$. Modularity of elliptic curves over quadratic fields was proved by Freitas, Le Hung & Siksek. □

Let E/K be the Frey curve (*), then $\bar{\rho}_{E,\ell}$ is modular and irreducible. Then $\bar{\rho}_{E,\ell} \sim \bar{\rho}_{f,\lambda}$ for some Hilbert cuspidal eigenform f over K of parallel weight 2 that is new at level \mathcal{N}_ℓ , where

$$\mathcal{N}_\ell = \begin{cases} 2\mathcal{O}_K & \text{if } p \nmid x \\ 2\mathfrak{p} & \text{if } p \mid x. \end{cases}$$

Here $\lambda \mid \ell$ is a prime of \mathbb{Q}_f , the field generated over \mathbb{Q} by the eigenvalues of f .

For $p = 3$ the modular forms to consider are classical newform of weight 2 and level 6: there is no such newform and so we conclude.

p	Case	Field \mathcal{K}	Frey curve \mathcal{E}	Level \mathcal{N}	Eigenforms f	$[\mathbb{Q}_f : \mathbb{Q}]$
5	$5 \nmid x$	K	E	$2\mathcal{K}$	–	–
	$5 \mid x$	K	E	$2\mathfrak{p}$	–	–
7	$7 \nmid x$	K	E	$2\mathcal{K}$	–	–
	$7 \mid x$	K	E	$2\mathfrak{p}$	f_1	1
11	$11 \nmid x$	K	E	$2\mathcal{K}$	f_2	2
	$11 \mid x$	K	E	$2\mathfrak{p}$	f_3, f_4	5
13	$13 \nmid x$	K	E	$2\mathcal{K}$	f_5, f_6	1
					f_7	2
					f_8	3
	$13 \mid x$	K'	E'	$2\mathfrak{B}$	f_9, f_{10}	1
				f_{11}, f_{12}	3	

TABLE : Frey curve and Hilbert eigenform information. Here \mathfrak{p} is the unique prime of K above p , K' is the unique subfield K' of degree $(p-1)/4$ and \mathfrak{B} is the unique prime of K' above p . The curve E' is a quadratic twist of E over K' .

In almost each case we deduce a contradiction using the q -expansions of the Hilbert modular forms in the table and the study of the Frey curve described before.

The only case left is the case $p = 13$ and $\ell = 7$: we strongly suspect that reducibility of $\bar{\rho}_{f_{11}, \lambda}$ (where λ is the unique prime above 7 of $\mathbb{Q}_{f_{11}}$) but we are unable to prove it.

DIOPHANTINE EQUATIONS AND SEMISTABLE ELLIPTIC CURVES OVER TOTALLY REAL FIELDS

Samuele Anni (IWR - Universität Heidelberg)
joint with Samir Siksek (University of Warwick)

Journées Algophantiennes Bordelaises 2017,
Université de Bordeaux, June 2017

Thanks!



UNIVERSITÄT
HEIDELBERG
ZUKUNFT
SEIT 1386