ECE 285

Image and video restoration

Chapter II – Basics of filtering I

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Definition (Collins dictionary)

filter, *noun*: any electronic, optical, or acoustic device that <u>blocks</u> signals or radiations of certain frequencies while allowing others to pass.

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Refers to the direct model (observation/sensing filter)

$$y = Hx \quad \left\{ \begin{array}{c} \bullet \ y: \text{ observed image} \\ \bullet \ x: \text{ image of interest} \end{array} \right.$$

H is a linear filter, may act only on frequencies (*e.g.*, blurs) or may not, but can only remove information (*e.g.*, inpainting).

 \xrightarrow{H}



(a) Unknown image x

and the second second

(b) Observation y

Definition (Oxford dictionary)

filter, *noun*: a function used to <u>alter</u> the overall appearance of an image in a specific manner: 'many other apps also offer filters for enhancing photos'

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filter, noun: a function used to alter the overall appearance of an image in a specific manner: 'many other apps also offer filters for enhancing photos'

Refers to the inversion model (restoration filter)

- $\hat{x} = \psi(y)$ $\begin{cases} \bullet \ y: \text{ observed image} \\ \bullet \ \hat{x}: \text{ estimate of } x \end{cases}$

 ψ is a filter, linear or non-linear, that may act only on frequencies or may not, and usually attempts to add information.



(a) Observation y



(b) Estimate \hat{x}

Basics of filtering

Action of filters

Perform punctual, local and/or global transformations of pixel values



Filters

- Often one of the first steps in a processing pipeline,
- Goal: improve, simplify, denoise, deblur, detect objects...



Source: Mike Thompson

Improve/denoise/detect



Improve/denoise/detect



Fibroblast cells and microbreads (fluorescence microscopy)

Source: F. Luisier & C. Vonesch

Improve/denoise/detect



Foreground/Background separation

Source: H. Jiang, et al.

Standard filters

Two main approaches:

- Spatial domain: use the pixel grid / spatial neighborhoods
- Spectral domain:

use Fourier transform, cosine transform, ...



Spatial filtering – Local filters

Local / Neighboring filters

- Combine/select values of y in the neighborhood $\mathcal{N}_{i,j}$ of pixel (i,j)
- · Following examples: moving average filters, derivative filters, median filters



Moving average

$$\hat{x}_{i,j} = \frac{1}{\operatorname{Card}(\mathcal{N})} \sum_{(k,l) \in \mathcal{N}_{i,j}} y_{k,l}$$

Examples:

- Boxcar filter: $\mathcal{N}_{i,j} = \{(k,l) \ ; \ |i-k| \leqslant \tau \quad \text{and} \quad |j-l| \leqslant \tau \}$
- Diskcar filter: $\mathcal{N}_{i,j} = \left\{ (k,l) ; |i-k|^2 + |j-l|^2 \leqslant \tau^2 \right\}$

 3×3 boxcar filter





Parameters:

- Size: 3×3 , 5×5 , ...
- Shape: square, disk
- Centered or not

Moving average

E×

• Diskcar filter:
$$\mathcal{N}_{i,j} = \left\{ (k,l) \; ; \; |i-k|^2 + |j-l|^2 \leqslant \tau^2 \right\}$$

 3×3 boxcar filter





Parameters:

- Size: 3×3 , 5×5 , ...
- Shape: square, disk
- Centered or not





- Neighboring filter: $w_{i,j} = \begin{cases} 1 & \text{if } (i,j) \in \mathcal{N} \\ 0 & \text{otherwise} \end{cases}$
- Gaussian kernel: $w_{i,j} = \exp\left(-\frac{i^2+j^2}{2\tau^2}\right)$

• Exponential kernel:
$$w_{i,j} = \exp\left(-\frac{\sqrt{i^2+j^2}}{\tau}\right)$$

• Rewrite \hat{x} as a function of s = (i, j), and let $\delta = (k, l)$ and $t = s + \delta$



Local average filter

• Weights are functions of the distance between t and s (length of δ) as

$$w(t-s) = \varphi(\operatorname{length}(t-s))$$

• $\varphi : \mathbb{R}^+ \to \mathbb{R}$: kernel function

 $(\land \neq \text{convolution kernel})$

- Often, φ satisfies $\left\{ \begin{array}{l} \bullet \ \varphi(0) = 1, \\ \bullet \ \lim_{\alpha \to \infty} \varphi(\alpha) = 0, \\ \bullet \ \varphi \text{ non-increasing: } \alpha > \beta \Rightarrow \varphi(\alpha) \leqslant \varphi(\beta). \end{array} \right.$

Example

• Box filter

$$\varphi(\alpha) = \begin{cases} 1 & \text{if } \alpha \leqslant \tau \\ 0 & \text{otherwise} \end{cases}$$

and $\operatorname{length}(\delta) = \|\delta\|_{\infty}$

Disk filter

$$\varphi(\alpha) = \begin{cases} 1 & \text{if } \alpha \leqslant \tau \\ 0 & \text{otherwise} \end{cases} \quad \text{and} \quad \text{length}(\delta) = \|\delta\|_2$$

Gaussian filter

$$\varphi(\alpha) = \exp\left(-\frac{\alpha^2}{2\tau^2}\right) \quad \text{and} \quad \text{length}(\delta) = \|\delta\|_2$$

Exponential filter

$$\varphi(\alpha) = \exp\left(-\frac{\alpha}{\tau}\right) \quad \text{and} \quad \text{length}(\delta) = \|\delta\|_2$$

Reminder:



- φ often depends on (at least) one parameter au
 - τ controls the amount of filtering
 - $\tau \rightarrow 0$: no filtering (output = input)
 - $au
 ightarrow \infty$: average everything in the same proportion

(output = constant signal)

- φ often depends on (at least) one parameter τ
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 ightarrow \infty$: average everything in the same proportion

(output = constant signal)

1?

$$\text{What would provide } \varphi(\alpha) = \left\{ \begin{array}{ll} 1 & \text{if } \alpha \leqslant \tau \\ 0 & \text{otherwise} \end{array} \right. \quad \text{and} \quad \operatorname{length}(\delta) = \|\delta\| \\ \end{array} \right.$$



d: dimension (d = 2 for pictures, d = 3 for videos, ...)



(a) $\tau = 1$ (b) $\tau = 20$ (c) $\tau = 40$ (d) $\tau = 10^3$



(e) $\tau = 1$ (f) $\tau = 20$ (g) $\tau = 40$ (h) $\tau = 10^3$



(i) $\tau = 1$

(j) $\tau = 20$

(k) $\tau = 40$ (I) $\tau = 10^3$

)~

How to express anisotropy?



 $length(\delta) =$

How to express anisotropy?



$$\operatorname{length}(\delta) = \sqrt{\delta^T \Sigma^{-1} \delta} \quad \text{where} \quad \Sigma = \underbrace{\begin{pmatrix} e_1 & e_2 \end{pmatrix} \begin{pmatrix} \lambda_1^2 & 0 \\ 0 & \lambda_2^2 \end{pmatrix} \begin{pmatrix} e_1^T \\ e_2^T \end{pmatrix}}_{\text{eigen-decomposition}}$$

17

How to express anisotropy?



$$\begin{aligned} \operatorname{length}(\delta) &= \sqrt{\delta^T \mathbf{\Sigma}^{-1} \delta} \quad \text{where} \quad \mathbf{\Sigma} = \underbrace{\begin{pmatrix} e_1 & e_2 \end{pmatrix} \begin{pmatrix} \lambda_1^2 & 0 \\ 0 & \lambda_2^2 \end{pmatrix} \begin{pmatrix} e_1^T \\ e_2^T \end{pmatrix}}_{\text{eigen-decomposition}} \\ &= \|SR\delta\|_2 \quad \text{where} \quad SR = \underbrace{\begin{pmatrix} \lambda_1^{-1} & 0 \\ 0 & \lambda_2^{-1} \end{pmatrix}}_{S; \text{ scaling}} \underbrace{\begin{pmatrix} e_1^T \\ e_2^T \end{pmatrix}}_{R; \text{ rotation}} \end{aligned}$$

How to express anisotropy?



$$\begin{split} \operatorname{length}(\delta) &= \sqrt{\delta^T \mathbf{\Sigma}^{-1} \delta} \quad \text{where} \quad \mathbf{\Sigma} = \underbrace{\begin{pmatrix} e_1 & e_2 \end{pmatrix} \begin{pmatrix} \lambda_1^2 & 0 \\ 0 & \lambda_2^2 \end{pmatrix} \begin{pmatrix} e_1^T \\ e_2^T \end{pmatrix}}_{\text{eigen-decomposition}} \\ &= \|SR\delta\|_2 \quad \text{where} \quad SR = \underbrace{\begin{pmatrix} \lambda_1^{-1} & 0 \\ 0 & \lambda_2^{-1} \end{pmatrix}}_{S: \text{ scaling}} \underbrace{\begin{pmatrix} e_1^T \\ e_2^T \end{pmatrix}}_{R: \text{ rotation}} \\ &\text{indeed,} \quad e_1 = \begin{pmatrix} \cos(\theta) \\ \sin(\theta) \end{pmatrix}, e_2 = \begin{pmatrix} -\sin(\theta) \\ \cos(\theta) \end{pmatrix} \quad \text{i.e.} \quad R = \underbrace{\begin{pmatrix} \cos(\theta) & \sin(\theta) \\ -\sin(\theta) & \cos(\theta) \end{pmatrix}}_{\text{rotation of } -\theta} \end{split}$$



(e) $\theta = 77^{\circ}$

(f) $\theta = 103^{\circ}$

(g) $\theta = 129^{\circ}$

Moving average for denoising?



Figure 1 – (left) Gaussian noise $\sigma = 10$. (right) Gaussian filter $\tau = 3$.

Moving average for denoising?



Figure 1 – (left) Gaussian noise $\sigma = 30$. (right) Gaussian filter $\tau = 5$.

Spatial filtering – Moving average for denoising



Spatial filtering – Moving average for denoising



 $\begin{array}{ll} \mbox{Image blur} \Rightarrow \mbox{No more edges} \Rightarrow \mbox{Structure destruction} \\ \Rightarrow \mbox{Reduction of image quality} \end{array}$

Spatial filtering – Moving average for denoising



 $\begin{array}{ll} \mbox{Image blur} \Rightarrow \mbox{No more edges} \Rightarrow \mbox{Structure destruction} \\ \Rightarrow \mbox{Reduction of image quality} \end{array}$

What is an edge?

Edges?

- Separation between objects, important parts of the image
- Necessary for vision in order to reconstruct objects





Edge: More or less brutal change of intensity

Spatial filtering – Edges



- no edges \equiv no objects in the image
- abrupt change \Rightarrow gap between intensities \Rightarrow large derivative

Spatial filtering – Derivative filters

How to detect edges?

- Look at the derivative
- How? Use derivative filters
- What? Filters that behave somehow as the derivative of real functions



How to design such filters?

Derivative of 1d signals

• Derivative of a function $x : \mathbb{R} \to \mathbb{R}$, if exists, is:

 $x'(t) = \underbrace{\lim_{h \to 0} \frac{x(t+h) - x(t)}{h}}_{\text{equivalent definitions}} \text{ or } \lim_{h \to 0} \frac{x(t) - x(t-h)}{h} \text{ or } \lim_{h \to 0} \frac{x(t+h) - x(t-h)}{2h} \underbrace{\frac{x(t+h) - x(t-h)}{2h}}_{\text{equivalent definitions}} \text{ or } \lim_{h \to 0} \frac{x(t+h) - x(t-h)}{2h} \underbrace{\frac{x(t+h) - x(t-h)}{2h}}_{\text{equivalent definitions}} \text{ or } \lim_{h \to 0} \frac{x(t+h) - x(t-h)}{2h} \underbrace{\frac{x(t+h) - x(t-h)}{2h}}_{\text{equivalent definitions}} \text{ or } \lim_{h \to 0} \frac{x(t+h) - x(t-h)}{2h} \underbrace{\frac{x(t+h) - x(t-h)}{2h}}_{\text{equivalent definitions}} \text{ or } \lim_{h \to 0} \frac{x(t+h) - x(t-h)}{2h} \underbrace{\frac{x(t+h) - x(t-h)}{2h}}_{\text{equivalent definitions}} \text{ or } \lim_{h \to 0} \frac{x(t+h) - x(t-h)}{2h} \underbrace{\frac{x(t+h) - x(t-h)}{2h}}_{\text{equivalent definitions}} \text{ or } \lim_{h \to 0} \frac{x(t+h) - x(t-h)}{2h} \underbrace{\frac{x(t+h) - x(t-h)}{2h}}_{\text{equivalent definitions}} \text{ or } \lim_{h \to 0} \frac{x(t+h) - x(t-h)}{2h} \underbrace{\frac{x(t+h) - x(t-h)}{2h}}_{\text{equivalent definitions}} \text{ or } \lim_{h \to 0} \frac{x(t+h) - x(t-h)}{2h} \underbrace{\frac{x(t+h) - x(t-h)}{2h}}_{\text{equivalent definitions}} \text{ or } \lim_{h \to 0} \frac{x(t+h) - x(t-h)}{2h} \underbrace{\frac{x(t+h) - x(t-h)}{2h}}_{\text{equivalent definitions}} \text{ or } \lim_{h \to 0} \frac{x(t+h) - x(t-h)}{2h} \underbrace{\frac{x(t+h) - x(t-h)}{2h}}_{\text{equivalent definitions}} \text{ or } \lim_{h \to 0} \frac{x(t+h) - x(t-h)}{2h} \underbrace{\frac{x(t+h) - x(t-h)}{2h}}_{\text{equivalent definitions}} \text{ or } \lim_{h \to 0} \frac{x(t+h) - x(t-h)}{2h} \underbrace{\frac{x(t+h) - x(t-h)}{2h}}_{\text{equivalent definitions}} x(t+h) \underbrace{\frac{x(t+h) - x(t+h)}{2h}}_{\text{equivalent definitions}} x(t+h) \underbrace{\frac{x(t+h) - x(t+h)}{2h}$

• For a 1d discrete signal, finite differences are

$$x'_k = x_{k+1} - x_k$$
 $x'_k = x_k - x_{k-1}$ $x'_k = \frac{x_{k+1} - x_{k-1}}{2}$
Forward Backward Centered
Derivative of 1d signals

• Can be written as a filter

$$x_i' = \sum_{k=-1}^{+1} \kappa_k y_{i+k}, \quad \text{with}$$

$$\kappa = (0, -1, 1)$$
 $\kappa = (-1, 1, 0)$ $\kappa = (-\frac{1}{2}, 0, \frac{1}{2})$ ForwardBackwardCentered

Derivative of 2d signals

• Gradient of a function $x : \mathbb{R}^2 \to \mathbb{R}$, if exists, is:

$$\nabla x = \begin{pmatrix} \frac{\partial x}{\partial s_1} \\ \frac{\partial x}{\partial s_2} \end{pmatrix}$$

with

$$\frac{\partial x}{\partial s_1}(s_1, s_2) = \lim_{h \to 0} \frac{x(s_1 + h, s_2) - x(s_1, s_2)}{h}$$
$$\frac{\partial x}{\partial s_2}(s_1, s_2) = \lim_{h \to 0} \frac{x(s_1, s_2 + h) - x(s_1, s_2)}{h}$$

Derivative of 2d signals

• Gradient for a 2d discrete signal: finite differences in each direction

$$(\nabla_1 x)_{i,j} = \sum_{k=-1}^{+1} \sum_{l=-1}^{+1} (\kappa_1)_{k,l} y_{i+k,j+l}$$
$$(\nabla_2 x)_{i,j} = \sum_{k=-1}^{+1} \sum_{l=-1}^{+1} (\kappa_2)_{k,l} y_{i+k,j+l}$$

$$\kappa_{1} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 1 & 0 \end{pmatrix} \qquad \kappa_{1} = \begin{pmatrix} 0 & -1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \qquad \kappa_{1} = \begin{pmatrix} 0 & -\frac{1}{2} & 0 \\ 0 & 0 & 0 \\ 0 & \frac{1}{2} & 0 \end{pmatrix}$$
$$\kappa_{2} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & -1 & 1 \\ 0 & 0 & 0 \end{pmatrix} \qquad \kappa_{2} = \begin{pmatrix} 0 & 0 & 0 \\ -1 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \qquad \kappa_{2} = \begin{pmatrix} 0 & 0 & 0 \\ -\frac{1}{2} & 0 & \frac{1}{2} \\ 0 & 0 & 0 \end{pmatrix}$$
Forward Backward Centered

Second order derivative of 1d signals

• Second order derivative of a function $x: \mathbb{R} \to \mathbb{R}$, if exists, is:

$$x''(t) = \lim_{h \to 0} \frac{x(t-h) - 2x(t) + x(t+h)}{h^2}$$

 $x_{k}^{\prime\prime} = x_{k-1} - 2x_{k} + x_{r+1}$

h = (1, -2, 1)

- For a 1d discrete signal:
- Corresponding filter:

Laplacian of 2d signals

• Laplacian of a function $x: \mathbb{R}^2 \to \mathbb{R}$, if exists, is:

$$\Delta x = \frac{\partial^2 x}{\partial s_1^2} + \frac{\partial^2 x}{\partial s_2^2}$$

- For a 2d discrete signal: $x_{i,j}'' = x_{i-1,j} + x_{i,j-1} 4x_{i,j} + x_{i+1,j} + x_{i,j+1}$
- Corresponding filter: $h = \begin{pmatrix} 0 & 1 & 0 \\ 1 & -4 & 1 \\ 0 & 1 & 0 \end{pmatrix} = \begin{pmatrix} 1 \\ -2 \\ 1 \end{pmatrix} + \begin{pmatrix} 1 & -2 & 1 \end{pmatrix}$



Derivative filters detect edges (2) but are sensitive to noise (2)

Other derivative filters

• Roberts cross operator (1963)

$$\kappa_{\searrow} = \begin{pmatrix} +1 & 0 \\ 0 & -1 \end{pmatrix} \quad \text{and} \quad \kappa_{\swarrow} = \begin{pmatrix} 0 & +1 \\ -1 & 0 \end{pmatrix}$$

• Sobel operator (1968)

$$\kappa_1 = \begin{pmatrix} -1 & -2 & -1 \\ 0 & 0 & 0 \\ 1 & 2 & 1 \end{pmatrix} = \begin{pmatrix} -1 \\ 0 \\ 1 \end{pmatrix} \begin{pmatrix} 1 & 2 & 1 \end{pmatrix} \text{ and } \kappa_2 = \begin{pmatrix} -1 & 0 & 1 \\ -2 & 0 & 2 \\ -1 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix} \begin{pmatrix} -1 & 0 & 1 \end{pmatrix}$$

• Prewitt operator (1970)

$$\kappa_1 = \begin{pmatrix} -1 & -1 & -1 \\ 0 & 0 & 0 \\ 1 & 1 & 1 \end{pmatrix} = \begin{pmatrix} -1 \\ 0 \\ 1 \end{pmatrix} \begin{pmatrix} 1 & 1 & 1 \end{pmatrix} \text{ and } \kappa_2 = \begin{pmatrix} -1 & 0 & 1 \\ -1 & 0 & 1 \\ -1 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} \begin{pmatrix} -1 & 0 & 1 \\ 1 \end{pmatrix}$$

Edge detection

Based on the norm (and angle) of the discrete approximation of the gradient

$$\|(\nabla x)_k\| = \sqrt{(\nabla_1 x)_k^2 + (\nabla_2 x)_k^2} \quad \text{and} \quad \angle (\nabla x)_k = \mathtt{atan2}((\nabla_2 x)_k, (\nabla_1 x)_k)$$





Sobel & Prewitt: average in one direction, and differentiate in the other one \Rightarrow More robust to noise

Spatial filtering – Averaging and derivative filters

Comparison between averaging and derivative filters

Moving average

$$\hat{x}_{i,j} = \frac{\sum_{(k,l)\in\mathbb{Z}^2} w_{k,l} y_{i+k,j+l}}{\sum_{(k,l)\in\mathbb{Z}^2} w_{k,l}} = \sum_{(k,l)\in\mathbb{Z}^2} \frac{w_{k,l}}{\sum_{(p,q)\in\mathbb{Z}^2} w_{p,q}} y_{i+k,j+l}$$
$$= \sum_{(k,l)\in\mathbb{Z}^2} \kappa_{k,l} y_{i+k,j+l} \quad \text{with} \quad \sum_{(k,l)\in\mathbb{Z}^2} \kappa_{k,l} = 1 \quad \text{(preserve mean)}$$

Derivative filter

$$\hat{x}_{i,j} = \sum_{(k,l)\in\mathbb{Z}^2} \kappa_{k,l} y_{i+k,j+l}$$
 with $\sum_{(k,l)\in\mathbb{Z}^2} \kappa_{k,l} = 0$ (remove mean)

• They share the same expression

Do all filters have such an expression?

Spatial filtering – Linear translation-invariant filters

No, only linear translation-invariant (LTI) filters Let ψ satisfying

- Linearity $\psi(ax + by) = a\psi(x) + b\psi(y)$
- **②** Translation-invariance $\psi(y^{\tau}) = \psi(y)^{\tau}$ where $x^{\tau}(s) = x(s + \tau)$

Then, there exist coefficients $\kappa_{k,l}$ such that

$$\psi(y)_{i,j} = \sum_{(k,l) \in \mathbb{Z}^2} \kappa_{k,l} y_{i+k,j+l}$$

The reciprocal holds true

Note: Translation-invariant = Shift-invariant = Stationary = Same weighting applied everywhere = Identical behavior on identical structures, whatever their location

= Identical behavior on Identical structures, whatever their location

Linear translation-invariant filters

$$\hat{x}_{i,j} = \psi(y)_{i,j} = \sum_{(k,l) \in \mathbb{Z}^2} \kappa_{k,l} y_{i+k,j+l}$$



• Weighted average filters:

$$\sum \kappa_{k,l} = 1$$

Ex.: Box, Gaussian, Exponential, ...

• Derivative filters:

$$\sum \kappa_{k,l} = 0$$

Ex.: Laplacian, Sobel, Roberts, ...

Spatial filtering – Linear translation-invariant filters

LTI filter \equiv Moving weighted sum \equiv Cross-correlation \equiv Convolution

$$\begin{split} \hat{x}_{i,j} &= \sum_{(k,l) \in \mathbb{Z}^2} \kappa_{k,l}^* y_{i+k,j+l} = \kappa \star y \quad \text{(for } \kappa \text{ complex)} \\ &= \sum_{(k,l) \in \mathbb{Z}^2} \nu_{k,l} y_{i-k,j-l} = \nu \ast y \quad \text{where} \quad \nu_{k,l} = \kappa_{-k,-l}^* \end{split}$$

 ν called convolution kernel (impulse response of the filter)



Properties of the convolution product

- Linear $f*(\alpha g+\beta h)=\alpha(f*g)+\beta(f*h)$
- Commutative f * g = g * f
- Associative f * (g * h) = (f * g) * h
- Separable

$$h = h_1 * h_2 * \dots * h_p$$

$$\Rightarrow f * h = (((f * h_1) * h_2) \dots * h_p)$$

• Directional separability of (isotrope) Gaussians:



$$\mathcal{G}^{\mathsf{2d}}_{ au} = \mathcal{G}^{\mathsf{1d} \; \mathsf{horizontal}}_{ au} * \mathcal{G}^{\mathsf{1d} \; \mathsf{vertical}}_{ au}$$

Directional separability of Gaussians.

41

Directional separability of Gaussians.

$$(y * \mathcal{G}_{\tau}^{2d})_{i,j} = \frac{1}{Z} \sum_{k=-\infty}^{\infty} \sum_{l=-\infty}^{\infty} \exp\left(-\frac{k^2 + l^2}{2\tau^2}\right) y_{i-k,j-l}$$

$$\approx \frac{1}{Z} \underbrace{\sum_{k=-q}^{q} \sum_{l=-q}^{q} \exp\left(-\frac{k^2 + l^2}{2\tau^2}\right) y_{i-k,j-l}}_{\text{Restriction to a } s \times s \text{ window, } s = 2q + 1}$$

$$(\text{Complexity } O(s^2 n_1 n_2))$$

$$\approx \frac{1}{Z} \underbrace{\sum_{k=-q}^{q} \exp\left(-\frac{k^2}{2\tau^2}\right)}_{k=-q} \underbrace{\sum_{l=-q}^{q} \exp\left(-\frac{l^2}{2\tau^2}\right) y_{i-k,j-l}}_{\propto (y * \mathcal{G}^{1d \text{ horizontal}})_{i-k,j}}}_{\propto (y * \mathcal{G}^{1d \text{ horizontal}})_{*\mathcal{G}^{1d \text{ vertical}}}}$$

(Complexity $O(sn_1n_2)$)

41

Spatial filtering – LTI filters and convolution

• Multi-scale separability of Gaussians: (Continuous case)



$$\mathcal{G}_{\tau_1^2} * \mathcal{G}_{\tau_2^2} = \mathcal{G}_{\tau_1^2 + \tau_2^2}$$

• Separability of Derivatives of Gaussian (DoG): (Continuous case)



$$\mathcal{G}'_{\tau} * f = \frac{\partial \mathcal{G}_{\tau}}{\partial s} * f = \mathcal{G}_{\tau} * \frac{\partial f}{\partial s}$$

Separability of other LTI filters										
		Directional sep.	Multi-scale sep.							
	Gaussian filter	$\sqrt{(\downarrow * \rightarrow)}$	\checkmark							
	Exponential filter									
	Box filter									
	Disk filter									
	Diamond filter									
	Laplacian		-							
	Sobel		-							
	Prewitt		-							

Separability of other LII filter

	Directional sep.	Multi-scale sep.
Gaussian filter	$\sqrt{(\downarrow * \rightarrow)}$	\checkmark
Exponential filter	x	x
Box filter	$\sqrt{(\downarrow * \rightarrow)}$	x
Disk filter	X	x
Diamond filter	x	x
Laplacian	$\sqrt{(\downarrow + \rightarrow)}$	-
Sobel	$\sqrt{(\downarrow * \rightarrow)}$	-
Prewitt	$\sqrt{(\downarrow * \rightarrow)}$	-

LTI filters can be written as a matrix vector product

Functional representation

$$\hat{x}(s_i) = \sum_{s_j \in \mathbb{Z}^2} \nu(s_i - s_j) y(s_j)$$

Vector representation

$$\hat{x} = Hy$$
 with $h_{i,j} = \nu(s_i - s_j)$

- Vectors represent objects (here: images)
- Matrices represent linear processings (here: convolution)

Proof in the periodical case.

· Assuming periodical boundary conditions, we get

$$\hat{x}(s_i) = \sum_{j=0}^{n-1} \nu(s_i - s_j) y(s_j)$$

• Let
$$h_{i,j} = \nu(s_i - s_j)$$
, $\hat{x}_i = \hat{x}(s_i)$ and $y_j = y(s_j)$:

$$\hat{x}_i = \sum_{j=0}^{n-1} h_{i,j} y_j$$

• Define the matrix $H = (h_{i,j})$, then $\hat{x} = Hy$.

What does H look like?

1d periodical case

• In 1d, LTI filter stands for linear time invariant filters and reads

$$\hat{x}(t_i) = \sum_{j=0}^{n-1} \nu(t_i - t_j) y(t_j)$$

- Consider $t_i t_j = i j$, and let $h_{i,j} = \nu(t_i t_j) = \nu_{i-j[n]}$.
- *H* is a circulant matrix given by

$$\boldsymbol{H} = \begin{pmatrix} \nu_0 & \nu_{n-1} & \nu_{n-2} & \dots & \nu_2 & \nu_1 \\ \nu_1 & \nu_0 & \nu_{n-1} & \nu_{n-2} & \dots & \nu_2 \\ & & \ddots & & & \\ & & & \ddots & & \\ & & & & \ddots & \\ \nu_{n-1} & \nu_{n-2} & \dots & \nu_2 & \nu_1 & \nu_0 \end{pmatrix}$$

What does H look like?

2d periodical case

• In 2d, *H* is a **doubly block circulant matrix** given by

	_		First line		_	_	5	iecond line		_		_	1	Last line		_	
	$\nu_{0,0} \\ \nu_{0,1}$	$_{\nu_{0,0}}^{\nu_{0,-1}}$	$\nu_{0,-1}$		$\nu_{0,1}$	$\nu_{-1,0} \\ \nu_{-1,1}$	$_{\nu_{-1,-1}}^{\nu_{-1,-1}}$	$\nu_{-1,-1}$		$\nu_{-1,1}$		$\nu_{1,0} \\ \nu_{1,1}$	$_{\nu_{1,0}}^{\nu_{1,-1}}$	$\nu_{1,-1}$		ν _{1,1}	$\begin{pmatrix} x_{0,0} \\ x_{0,1} \\ . \end{pmatrix}$
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																	:
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	$\nu_{1,0}$	$\nu_{1,-1}$			$\nu_{1,1}$	$\nu_{0,0}$	$\nu_{0,-1}$			$\nu_{0,1}$		$\nu_{2,0}$	$\nu_{2,-1}$			$\nu_{2,1}$	x1,0
	$\nu_{1,1}$	$\nu_{1,0}$	$\nu_{1,-1}$			$\nu_{0,1}$	$\nu_{0,0}$	$\nu_{0,-1}$				$\nu_{2,1}$	$\nu_{2,0}$	$\nu_{2,-1}$			
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Hx =	$\nu_{1,-1}$			$\nu_{1,1}$	$\nu_{1,0}$	$\nu_{0,-1}$			$\nu_{0,1}$	$\nu_{0,0}$		$\nu_{2,-1}$			$\nu_{2,1}$	$\nu_{2,0}$	x1,n2-1
											14. 1						
	V_1,0	$\nu_{-1,-1}$			$\nu_{-1,1}$	$\nu_{-2,0}$	$\nu_{-2,-1}$			$\nu_{-2,1}$		$\nu_{0,0}$	$\nu_{0,-1}$			ν _{0,1}	xn1-1,0
	$\nu_{-1,1}$	$\nu_{-1,0}$	$\nu_{-1,-1}$			$\nu_{-2,1}$	$\nu_{-2,0}$	$\nu_{-2,-1}$				$\nu_{0,1}$	$\nu_{0,0}$	$\nu_{0,-1}$			$x_{n_1-1,1}$
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1	$\nu_{-1,-1}$			$\nu_{-1,1}$	$\nu_{-1,0}$	$\nu_{-2,-1}$			$\nu_{-2,1}$	$\nu_{-2,0}$		$\nu_{0,-1}$			$\nu_{0,1}$	$\nu_{0,0}$)	(x_{n_1-1,n_2-1})

Properties of circulant matrices

- Recall that the convolution is commutative: $f\ast g=g\ast f$
 - \Rightarrow Idem for (doubly block) circulant matrices: $H_1H_2 = H_2H_1$
- Two matrices commute if they have the same eigenvectors
 ⇒ All circulant matrices share the same eigenvectors
 - \Rightarrow LTI filters acts in the same eigenspace

What eigenspace is that?

Theorem

• The n eigenvectors, with unit norm, of any circulant matrix ${old H}$ reads as

$$e_k = \frac{1}{\sqrt{n}} \left(1, \exp\left(\frac{2\pi ik}{n}\right), \exp\left(\frac{4\pi ik}{n}\right), \dots, \exp\left(\frac{2(n-1)\pi ik}{n}\right) \right)$$

- for k = 0 to n 1. (Note: here i is the imaginary number)
- Recall that the eigenvectors (e_k) with unit norm must satisfy:

$$He_k = \lambda_k e_k, \quad e_k^* e_l = 0 \quad \text{if} \quad k \neq l \quad \text{and} \quad ||e_k||_2 = 1$$

Challenge: try to prove it yourself.

The (e_k) form a basis in which LTI filters modulates each element pointwise. This will be at the heart of Chapter 3.

Limitations of LTI filters

- Derivative filters:
 - Detect edges, but
 - Sensitive to noise

- Moving average:
 - Decrease noise, but
 - Do not preserve edges

Difficult object/background separation



LTI filters cannot achieve a good trade-off in terms of noise vs edge separation

Spatial filtering – LTI filters – Limitations

Weak robustness against outliers



Figure 2 – (left) Impulse noise. (center) Gaussian filter $\tau = 5$. (right) $\tau = 11$.

- Even less efficient for impulse noise
- For the best trade-off: structures are lost, noise remains
- Do not adapt to the signal.

Can we achieve better performance by designing an adaptive filter?

Adaptive filtering

Spatial filtering – Adaptive filtering

$\textbf{Linear filter} \Rightarrow \textbf{Non-adaptive filter}$

- Linear filters are non-adaptive
- The operation does not depend on the signal
- © Simple, fast implementation
- © Introduce blur, do not preserve edges

Spatial filtering – Adaptive filtering

Linear filter \Rightarrow Non-adaptive filter

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Adaptive filter ⇒ Non-linear filter

- Adapt the filtering to the content of the image
- Operations/decisions depend on the values of \boldsymbol{y}
- Adaptive \Rightarrow non-linear:

$$\psi(\alpha x + \beta y) \neq \alpha \psi(x) + \beta \psi(y)$$

Since adapting to x or to y is not the same as adapting to $\alpha x + \beta y$.

Median filters

• Try to denoise while respecting main structures

$$\hat{x}_{i,j} = \text{median}(y_{i+k,j+l} \mid (k,l) \in \mathcal{N}), \quad \mathcal{N} : \text{neighborhood}$$



Behavior of median filters

- · Remove isolated points and thin structures
- Preserve (staircase) edges and smooth corners



Spatial filtering – Median filter



Figure 3 – (left) Impulse noise. (center) 3×3 median filter. (right) 9×9 .



Figure 4 – (left) Impulse noise. (center) 9×9 median filter. (right) Gaussian $\tau = 4$.



Figure 5 – (left) Gaussian noise. (center) 5×5 median filter. (right) Gaussian $\tau = 3$.
Spatial filtering – Other standard non-linear filters

Morphological operators

• Erosion

$$\hat{x}_{i,j} = \min(y_{i+k,j+l} \mid (k,l) \in \mathcal{N})$$

• Dilation

$$\hat{x}_{i,j} = \max(y_{i+k,j+l} \mid (k,l) \in \mathcal{N})$$

• ${\mathcal N}$ called structural element



Figure 6 - (left) Salt-and-pepper noise, (center) Erosion, (right) Dilation

Spatial filtering – Morphological operators



Figure 7 – (top) Opening, (bottom) Closing. (Source: J.Y. Gil & R. Kimmel)

- Opening: erosion and next dilation (remove small bright elements)
- Closing: dilation and next erosion (remove small dark elements)

Local filter

- The operation depends only on the local neighborhood
- ex: Gaussian filter, median filter
- $\odot\,$ Simple, fast implementation
- © Do not preserve textures (global context)

Global filter

- Adapt the filtering to the global content of the image
- Result at each pixel may depend on all other pixel values
- Idea: Use non-linearity and global information

Local average filter

$$\hat{x}_{i} = \frac{\sum_{j=1}^{n} w_{i,j} y_{j}}{\sum_{j=1}^{n} w_{i,j}} \quad \text{with} \quad w_{i,j} = \varphi(\|\mathbf{s}_{i} - \mathbf{s}_{j}\|_{2}^{2})$$

weights depend on the distance between pixel positions (linear)

Sigma filter [Lee, 1981] / Yaroslavsky filter [Yaroslavsky, 1985]

$$\hat{x}_i = rac{{\sum\limits_{j = 1}^n {{w_{i,j}y_j}} }}{{\sum\limits_{j = 1}^n {{w_{i,j}}} }}$$
 with $w_{i,j} = arphi({{{{\left\| {m{y}_i - m{y}_j}
ight\|_2^2} } })$

weights depend on the distance between pixel values (non-linear)

Sigma filter:
$$\varphi(\alpha) = \begin{cases} 1 & \text{if } \alpha \leqslant \tau^2 \\ 0 & \text{otherwise} \end{cases}$$

Note: is called sigma filter because the threshold τ^2 was called σ in the original paper.

Spatial filtering – Sigma filter



Figure 8 - Selection of pixel candidates in the sigma filter

Spatial filtering – Sigma filter



(d) $\tau = 150$

(e) $\tau = 200$

(f) $\tau = 250$

Spatial filtering – Sigma filter

Limitations of Sigma filter

- $\odot \ {\sf Respects} \ {\sf edges}$
- Produces a loss of contrast: dull effect
- © Does not reduce noise as much
- © Equivalent to a change of histogram:
 - each value is mapped to another one
 - the mapping depends on the image (adaptive/non-linear filtering)



- \odot Naive implementation: $O(n^2)$
- $\ensuremath{\textcircled{}}$ Back to O(n) by using histograms

Idea: apply the sigma filter on moving windows \equiv Mix moving average with sigma filter

Bilateral filter [Tomasi & Manduchi, 1998]

$$\hat{x}_i = \frac{\sum_{j=1}^n w_{i,j} y_j}{\sum_{j=1}^n w_{i,j}} \quad \text{with} \quad w_{i,j} = \varphi_{\text{space}}(\|\boldsymbol{s}_i - \boldsymbol{s}_j\|_2^2) \times \varphi_{\text{color}}(\|\boldsymbol{y}_i - \boldsymbol{y}_j\|_2^2)$$

Weights depend on both the distance

- between pixel positions, and
- between **pixel values**.
- Consider the influence of space and color,
- Closer positions affect more the average,
- Closer intensities affect more the average.

Properties

- Generalization of moving averages and sigma filters.
 - $\varphi_{\text{space}}(\cdot) = 1$: sigma filter
 - $\varphi_{color}(\cdot) = 1$: moving average
- Spatial constraint: avoid dull effects
- Color constraint: avoid blur effects



Figure 9 - Selection of pixel candidates in the bilateral filter



 $\varphi_{\rm color}(\alpha) = \exp\left(-\frac{\alpha}{2\tau_{\rm color}^2}\right)$



$$\varphi_{\mathsf{space}}(\alpha) = \left\{ \begin{array}{ll} 1 & \text{if} \quad \alpha \leqslant \tau_{\mathsf{space}^2} \\ 0 & \text{otherwise} \end{array} \right.$$



Figure 10 - (left) Gaussian noise. (center) Moving average. (right) Bilateral filter.

Bilateral filter

- © suppresses more noise while respecting the textures
- © still remaining noises and dull effects

Spatial filtering – Bilateral vs moving average



Why are there remaining noises?

- Below average pixels are mixed with other below average pixels
- Above average pixels are mixed with other above average pixels

Why are there dull effects?

- To counteract the remaining noise effect, au_{color} should be large
- \Rightarrow different things get mixed up together

What is missing? A more robust way to measure similarity, but similarity of what exactly? Patches and non-local filters

Spatial filtering – Looking for other views



T noisy observations $y^{(t)}$

Estimation \hat{x} of the unknown signal x

• Sample averaging of T noisy values:

$$\begin{split} \mathbb{E}[\hat{x}_i] &= \mathbb{E}\left[\frac{1}{T}\sum_{t=1}^T y_i^{(t)}\right] = \frac{1}{T}\sum_{t=1}^T \mathbb{E}[y_i^{(t)}] = \frac{1}{T}\sum_{t=1}^T x_i = x_i \qquad \text{(unbiased)} \\ \text{and} \quad \operatorname{Var}[\hat{x}_i] &= \operatorname{Var}\left[\frac{1}{T}\sum_{t=1}^T y_i^{(t)}\right] = \frac{1}{T^2}\sum_{t=1}^T \operatorname{Var}[y_i^{(t)}] = \frac{1}{T^2}\sum_{t=1}^T \sigma^2 = \frac{\sigma^2}{T} \\ & \text{(reduce noise)} \end{split}$$

• ... only if the selected values are iid.

similar = close to being iid

 \rightarrow How can we select them on a single image?



- Goal: estimate the image \boldsymbol{x} from the noisy image \boldsymbol{y}
- Choose a pixel *i* to denoise



- Goal: estimate the image x from the noisy image y
- Choose a pixel *i* to denoise
 - Inspect the pixels j around the pixel of interest i
 - Select the suitable candidates j
 - Average their values and update the value of i



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Selection rules

$$w_{i,j} = \begin{cases} 1 & \text{if } \|s_i - s_j\| \leqslant \tau \\ 0 & \text{otherwise} \end{cases} \leftarrow \text{Moving average}$$

$$\hat{x}_i = \frac{\sum_j w_{i,j} y_j}{\sum_j w_{i,j}} \quad \text{where} \quad w_{i,j} = \begin{cases} 1 & \text{if } \|y_i - y_j\| \leqslant \tau \\ 0 & \text{otherwise} \end{cases}$$

$$w_{i,j} = \begin{cases} 1 & \text{if } x_i = x_j \\ 0 & \text{otherwise} \end{cases} \leftarrow \text{Oracle}$$

$$w_{i,j} = \begin{cases} 1 & \text{if } x_i = x_j \\ 0 & \text{otherwise} \end{cases}$$

How to choose suitable pixels j to combine?

Spatial filtering – Patches

Definition [Oxford dictionary]

patch (noun): A small area or amount of something.

Image patches: sub-regions of the image

- shape: typically rectangular
- size: much smaller than image size

 \rightarrow most common use: square regions between 5×5 and 21×21 pixels

 $\label{eq:size} \begin{array}{l} \rightarrow \mbox{ trade-off:} \\ \mbox{size }\nearrow \ \Rightarrow \mbox{ more distinctive/informative} \\ \mbox{size }\searrow \ \Rightarrow \mbox{ easier to model/learn/match} \end{array}$

non-rectangular / deforming shapes: computational complexity *>*

patches capture local context: geometry and texture



Copying/pasting similar patches yields impressive texture synthesis:

Texture synthesis method by Efros and Leung (1999)

To generate a new pixel value:

- extract the surrounding patch (yellow)
- find similar patches in the reference image
- randomly pick one of them
- use the value of the central pixel of that patch







Bilateral filter [Tomasi & Manduchi, 1998]

$$\hat{x}_i = \frac{\sum_{j \in \mathcal{N}_i} w_{i,j} y_j}{\sum_{j \in \mathcal{N}_i} w_{i,j}} \quad \text{with} \quad w_{i,j} = \varphi_{\text{space}}(\|\boldsymbol{s}_i - \boldsymbol{s}_j\|_2^2) \times \varphi_{\text{color}}(\|\boldsymbol{y}_i - \boldsymbol{y}_j\|_2^2)$$

weights depend on the distance between pixel positions and pixel values

Non-local means [Buades at al, 2005, Awate et al, 2005]

$$\hat{x}_i = rac{\sum\limits_{j \in \mathcal{N}_i} w_{i,j} y_j}{\sum\limits_{j \in \mathcal{N}_i} w_{i,j}}$$
 with $w_{i,j} = arphi(\|\mathcal{P}_i y - \mathcal{P}_j y\|_2^2)$

- \mathcal{N}_i : large neighborhood of *i*, called search window (typically 21×21)
- \mathcal{P}_i : operator extracting a small window, *patch*, at *i* (typically 7×7)

weights in a large search window depend on the distance between patches

Remarks

The term *non-local* refers to that disconnected pixels are mixed together.

The Sigma, Yaroslavsky and Bilateral filters are then also non-local.

But Non-Local means always refers to the one using patches.

(or NL-means)

A similar algorithm was concurrently proposed under the name UINTA.



Non-local approach[Buades at al, 2005, Awate et al, 2005]• Local filters: average neighborhood pixels $\hat{x}_i = \frac{\sum_j w_{i,j} y_j}{\sum_j w_{i,j}}$ • Non-local filters: average pixels being in a similar context $\hat{x}_i = \frac{\sum_j w_{i,j} y_j}{\sum_j w_{i,j}}$



Patches are redundant in most types of images (large noise reduction) and similar ones tend to share the same underlying noise-free values (unbiasedness)

Non-local approach[Buades at al, 2005, Awate et al, 2005]• Local filters: average neighborhood pixels $\hat{x}_i = \frac{\sum_j w_{i,j} y_j}{\sum_j w_{i,j}}$ • Non-local filters: average pixels being in a similar context $\hat{x}_i = \frac{\sum_j w_{i,j} y_j}{\sum_j w_{i,j}}$



Non-local approach[Buades at al, 2005, Awate et al, 2005]• Local filters: average neighborhood pixels $\hat{x}_i = \frac{\sum_j w_{i,j} y_j}{\sum_j w_{i,j}}$ • Non-local filters: average pixels being in a similar context $\hat{x}_i = \frac{\sum_j w_{i,j} y_j}{\sum_j w_{i,j}}$ Noisy image $w_{i,j} = e^{-\frac{||s_i - s_j||_2^2}{2\tau^2}}$



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image extracted from [Buades et al., 2005]

Figure 11 - Image extracted from [Buades et al., 2005]



Figure 12 - Influence of the three main parameters of the NL means on the solution.
Limitations of NL-means

- $\odot~\mbox{Respects}$ edges
- ③ Good for texture

- © Remaining noise around rare patches
- $\ensuremath{\textcircled{}}$ Loses/blurs details with low SNR



- \odot Naive implementation: $O(n|\mathcal{N}||\mathcal{P}|)$
- $\ensuremath{\textcircled{}}$ Using integral tables: $O(n|\mathcal{N}|)$

 $\odot \ \, \mathsf{Or} \ \, \mathsf{FFT:} \ \, O(n|\mathcal{N}|\log|\mathcal{N}|) \\$

(~ 1 minute for 256×256 image)

(few seconds for 256×256 image)

Spatial filtering – Extensions of non-local means



More elaborate schemes mostly rely on patches and use more sophisticated estimators than the average

But we will need to study some more of the basics first...

Questions?

Next class: basics of filtering II

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