

# Improved CRT Algorithm for class polynomials in genus 2

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01/08/2012 (Microsoft Research)

# Class polynomials

- If  $A/\mathbb{F}_q$  is an ordinary (simple) abelian variety of dimension  $g$ ,  $\text{End}(A) \otimes \mathbb{Q}$  is a (primitive) CM field  $K$  ( $K$  is a totally imaginary quadratic extension of a totally real number field  $K_0$ ).
- Inverse problem: given a CM field  $K$ , construct the class polynomials  $H_1, \widehat{H}_2, \dots, \widehat{H}_{g(g+1)/2}$  which parametrizes the invariants of all abelian varieties  $A/\mathbb{C}$  with  $\text{End}(A) \simeq O_K$ .
- **Cryptographic application:** if the class polynomials are totally split modulo an ideal  $\mathfrak{P}$ , their roots in  $\mathbb{F}_{\mathfrak{P}}$  gives invariants of abelian varieties  $A/\mathbb{F}_{\mathfrak{P}}$  with  $\text{End}(A) \simeq O_K$ . It is easy to recover  $\#A(\mathbb{F}_{\mathfrak{P}})$  given  $O_K$  and  $\mathfrak{P}$ .

# Some technical details

- The abelian varieties are principally polarized.
- **CM-types**: a partition  $\text{Hom}(K, \mathbb{C}) = \Phi \oplus \bar{\Phi}$ .
- In genus 2, the CM field  $K$  of degree 4 will be either cyclic (and Galoisian) or Dihedral (and non Galoisian). The latter case appear most often, and in this case we have two **CM-types**.

## Definition

- The class polynomials  $(H_{\Phi, i})$  parametrizes the abelian varieties with CM by  $(O_K, \Phi)$ ;
- The reflex field of  $(K, \varphi)$  is the CM field  $K^r$  generated by the traces  $\sum_{\varphi \in \Phi} \varphi(x)$ ,  $x \in K$ ;
- The type norm  $N_{\Phi} : K \rightarrow K^r$  is  $x \mapsto \prod_{\varphi \in \Phi} \varphi(x)$ .

# Class polynomials and complex multiplication

## Theorem (Main theorems of complex multiplication)

- The class polynomials  $(H_{\Phi,i})$  are defined over  $K_0^r$  and generate a subfield  $\mathfrak{H}_\Phi$  of the Hilbert class field of  $K^r$ .
- If  $A/\mathbb{C}$  has CM by  $(O_K, \Phi)$  and  $\mathfrak{P}$  is a prime of good reduction in  $\mathfrak{H}_\Phi$ , then the Frobenius of  $A_{\mathfrak{P}}$  corresponds to  $N_{\mathfrak{H}_\Phi, \Phi^r}(\mathfrak{P})$ .
- For efficiency, we compute the class polynomials  $H_{\Phi,i}$  since they give a factor of the full class polynomials  $H_i$ . This means we need less precision.
- In genus 2, this involves working over  $K_0$  rather than  $\mathbb{Q}$  in the Dihedral case.

# Constructing class polynomials

- Analytic method: compute the invariants in  $\mathbb{C}$  with sufficient precision to recover the class polynomials.
- $p$ -adic lifting: lift the invariants in  $\mathbb{Q}_p$  with sufficient precision to recover the class polynomials (require specific splitting behavior of  $p$ ).
- CRT: compute the class polynomials modulo small primes, and use the CRT to reconstruct the class polynomials.

## Remark

*In genus 1, all these methods are quasi-linear in the size of the output  $\Rightarrow$  computation bounded by memory. But we can construct directly the class polynomials modulo  $p$  with the explicit CRT so the CRT approach is only time dependent.*

# Review of the CRT algorithm in genus 2

- 1 Select a CRT prime  $p$ ;
- 2 Find all abelian surfaces  $A/\mathbb{F}_p$  with CM by  $(O_K, \Phi)$ ;
- 3 From the invariants of the maximal abelian surfaces, reconstruct  $H_{\Phi, i} \bmod p$ .

Repeat until we can recover  $H_{\Phi, i}$  from the  $H_{\Phi, i} \bmod p$  using the CRT.

## Remark

*Since  $K$  is primitive, we only need to look at Jacobians of hyperelliptic curves of genus 2.*

# Isogenies and endomorphism ring

- If  $A/\mathbb{F}_p$  is an abelian surface, the CM field  $K = \text{End}(A) \otimes \mathbb{Q}$  is generated by the Frobenius  $\pi$ ;
- If  $A = \text{Jac}(H)$  then the characteristic polynomial  $\chi_\pi$  (and therefore  $K$ ) is uniquely determined by  $\#H$  and  $\#A$ ;
- Tate: the isogeny class of  $A$  is given by all the other abelian surfaces with CM field  $K$  (“isogenous  $\Leftrightarrow$  same number of points”);
- The CM order  $\text{End}(A) \subset K$  is a finer invariant which partition the isogeny class (one subset for every order  $O$  such that  $\mathbb{Z}[\pi, \bar{\pi}] \subset O \subset O_K$  and  $O$  is stable by the complex conjugation).

## Definition

Let  $f: A \rightarrow B$  be an isogeny. Then we call  $f$  **horizontal** if  $\text{End}(A) = \text{End}(B)$ . Otherwise we call  $f$  **vertical**.

# Selecting the prime $p$

## Definition

A CRT prime  $\mathfrak{p} \subset O_{K^r}$  is a prime such that all abelian varieties over  $\mathbb{C}$  with CM by  $(O_K, \Phi)$  have good reduction modulo  $\mathfrak{p}$ .

- $\mathfrak{p}$  is a CRT prime for the CM type  $\Phi$  if and only if there exists an unramified prime  $\mathfrak{q}$  in  $O_{K^r}$  of degree 1 above  $p$  of principal type norm  $(\pi)$ ;
- The isogeny class of the reduction of these abelian varieties mod  $\mathfrak{p}$  is determined (up to a twist) by  $\pm\pi$  where  $N_{\Phi}(\mathfrak{p}) = (\pi)$ .

## Remark

*For efficiency, we work with CRT primes  $\mathfrak{p}$  that are unramified of degree one over  $p = \mathfrak{p} \cap \mathbb{Z}$ ;*

*$\Rightarrow$  the reduction to  $\mathbb{F}_p$  of the abelian varieties with CM by  $(O_K, \Phi)$  will then be ordinary.*

# The case of elliptic curves

- Let  $K$  be an imaginary quadratic field of Discriminant  $\Delta$ . Then  $H_{O_K}$  has degree  $O(\sqrt{\Delta})$  with coefficients of size  $\tilde{O}(\sqrt{\Delta})$ ;
  - The CRT step will use  $\tilde{O}(\sqrt{\Delta})$  primes  $p$  of size  $\tilde{O}(\Delta)$ ;
  - For each CRT prime  $p$  there is  $O(p)$  isomorphic classes of elliptic curves,  $O(\sqrt{p})$  curves inside the isogeny class corresponding to  $K$  and  $O(\sqrt{p})$  curves with  $\text{End}(E) = O_K$ ;
- ⇒ Finding a maximal curve takes time  $O(\sqrt{p})$ .
- Once a maximal curve is found, compute all the others using horizontal isogenies (very fast);
- ⇒ Finding all maximal curves take time  $\tilde{O}(\sqrt{p})$ , for a total complexity of  $\tilde{O}(\Delta)$ .

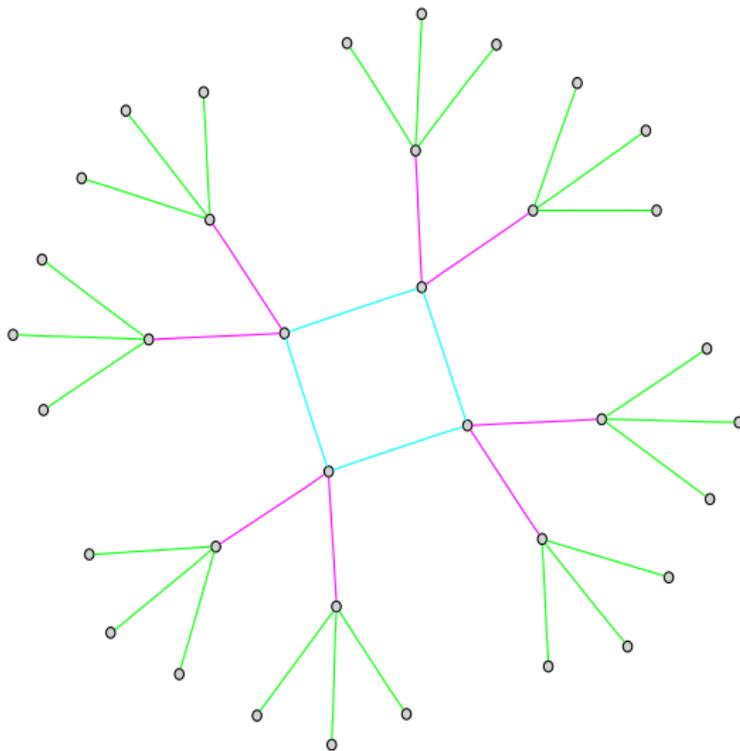
# Vertical isogenies with elliptic curves

## Remark

*It is easier to find a curve in the isogeny class rather than in the subset of maximal curves. One can use vertical isogenies to go from such a curve to a maximal curve;*

*⇒ This approach gain some logarithmic factors and yields huge practical improvements!*

# Vertical isogenies with elliptic curves



# Adapting these ideas to the genus 2 case

- 1 Select a CRT prime  $p$ ;
- 2 Select random Jacobians until finding one in the right isogeny class;
- 3 Try to go up using vertical isogenies to find a Jacobian with CM by  $O_K$ ;
- 4 Use horizontal isogenies to find all other Jacobians with CM by  $O_K$ ;
- 5 From the invariants of the maximal abelian surfaces, reconstruct  $H_{\Phi,i} \bmod p$ .

# Obtaining all the maximal Jacobians: the horizontal isogenies

- The maximal Jacobians form a principal homogeneous space under the Shimura class group  
 $\mathfrak{C}(O_K) = \{(I, \rho) \mid I\bar{I} = (\rho) \text{ and } \rho \in K_0^+\}$ .
- $(\ell, \ell)$ -isogenies between maximal Jacobians correspond to elements of the form  $(I, \ell) \in \mathfrak{C}(O_K)$ . We can use the structure of  $\mathfrak{C}(O_K)$  to determine the number of new Jacobians we will obtain with  $(\ell, \ell)$ -isogenies ( $\Rightarrow$  Don't compute unneeded isogenies).
- Moreover, if  $J$  is a maximal Jacobian, and  $\ell$  does not divide  $(O_K : \mathbb{Z}[\pi, \bar{\pi}])$ , then any  $(\ell, \ell)$ -isogenous Jacobian is maximal.

## Remark

*It can be faster to compute  $(\ell, \ell)$ -isogenies with  $\ell \mid (O_K : \mathbb{Z}[\pi, \bar{\pi}])$  to find new maximal Jacobians when  $\ell$  and  $\text{val}_\ell((O_K : \mathbb{Z}[\pi, \bar{\pi}]))$  is small.*

# Checking if a curve is maximal and going up

**Cumbersome method:** if  $A$  is in the isogeny class, compute  $\text{End}(A)$ . If this is not  $O_K$  try to compute a vertical isogeny  $f: A \rightarrow B$  with  $\text{End}(B) \supset \text{End}(A)$ . Recurse...

**Intelligent method:** try to go up at the same time we compute  $\text{End}(A)$ .

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The **vertical method** of Freeman-Lauter:

- Let  $P(\pi)$  be a polynomial on the Frobenius. It is easy to compute its action on  $A(\mathbb{F}_p)[n]$  provided we have a basis of the  $n$ -torsion. If this action is null, then  $\gamma = P(\pi)/n \in K$  is actually an element of  $\text{End}(A)$
- ⇒ If  $L = P(\pi) \left( A(\mathbb{F}_p)[n] \right) \neq \{0\}$ , then  $L$  can be seen as the obstruction to  $\gamma \in \text{End}(A)$ . We try to find isogenies such that this obstruction decrease, and recurse.

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The **horizontal method** of Bisson-Sutherland:

- If  $I_1^{n_1} I_2^{n_2} \dots I_k^{n_k}$  is a relation in  $\mathcal{C}(O_K)$ , then if  $\text{End}(A) = O_K$ , following the isogeny path corresponding to  $I_1$  ( $n_1$  times) followed by  $I_2$  ( $n_2$  times)...will give a cycle in the isogeny graph;
- ⇒ If instead at the end of the path we find an abelian variety  $B$  non isomorphic to  $A$  then we try to collapse the path by finding two isogenies of the same degree  $f: A \rightarrow A'$  and  $g: B \rightarrow A'$  to the same abelian variety. Starting from  $A'$  will then give us a cycle. Recurse from here...

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**Intelligent method:** try to go up at the same time we compute  $\text{End}(A)$ .

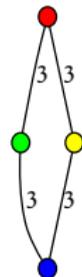
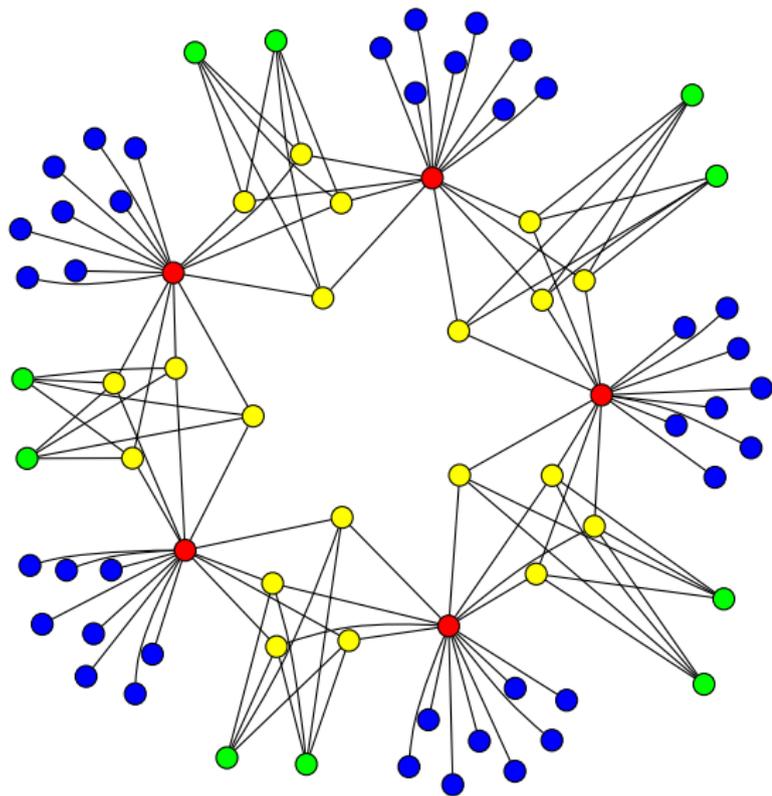
## Remark

*Asymptotically the horizontal method is sub-exponential while the vertical method is exponential. In practice the horizontal method give huge speed up even in small examples when the index  $[O_K : \mathbb{Z}[\pi, \bar{\pi}]]$  is divisible by a power.*



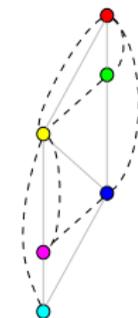
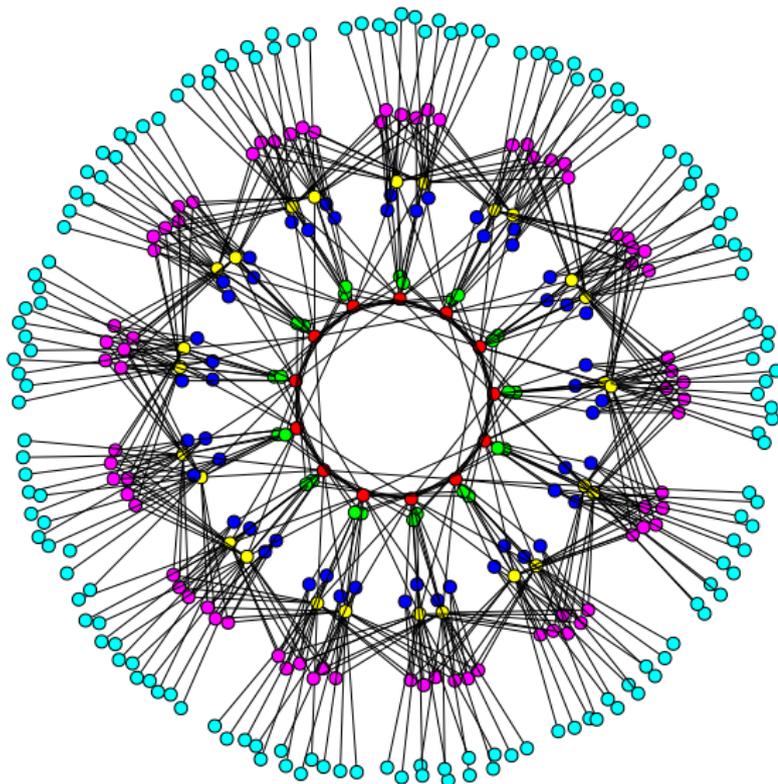
# Some pesky details

## Local minimums I



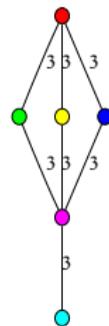
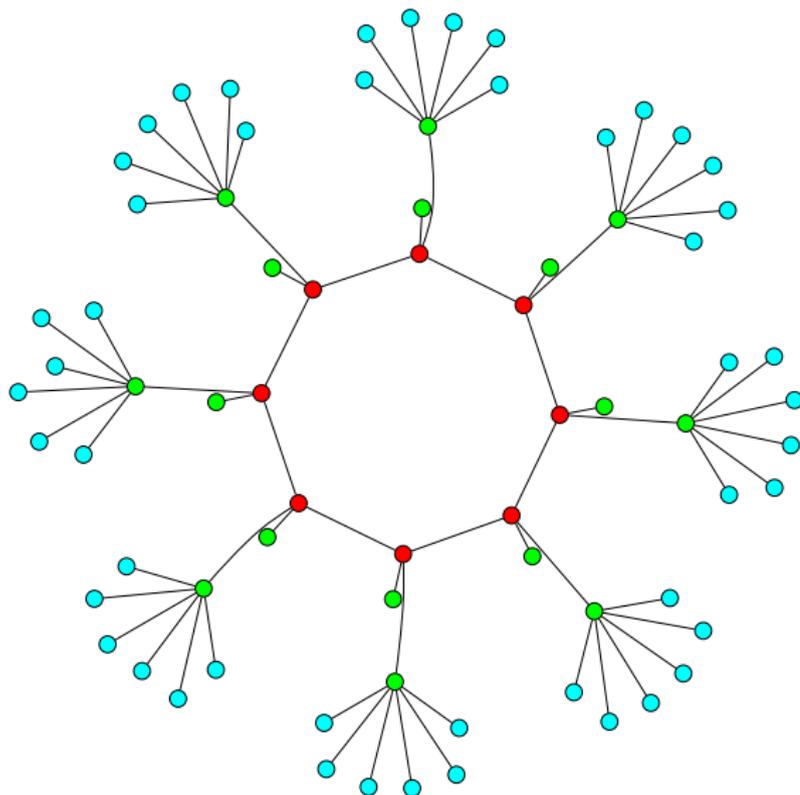
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## Local minimums II



# Some pesky details

## Polarizations



# Some pesky details

- With the CRT primes  $p$  we are working with, there is  $O(p^3)$  hyperelliptic curves (up to isomorphisms),  $O(p^{3/2})$  curves in the isogeny class (corresponding to  $K$ ) and only  $O(p^{1/2})$  curves with maximal endomorphism ring  $O_K$   
⇒ being able to go up gains more than logarithmic factors!
- Unfortunately it is not always possible to go up. We would need more general isogenies than  $(\ell, \ell)$ -isogenies.
- Most frequent case: we can't go up because there is no  $(\ell, \ell)$ -isogenies at all! (And we can detect this).

## Further details

- We sieve the primes  $p$  (using a dynamic approach).
- Estimate the number of curves where we can go up as

$$\sum_{d|[O_K:\mathbb{Z}[\pi,\bar{\pi}]]} \#\mathfrak{C}(\mathbb{Z}[\pi,\bar{\pi}])/d$$

(for  $[O_K:\mathbb{Z}[\pi,\bar{\pi}]]/d$  not divisible by a  $\ell$  where we can't go up),  
with

$$\#\mathfrak{C}(\mathbb{Z}[\pi,\bar{\pi}]) = \frac{c(O_K:\mathbb{Z}[\pi,\bar{\pi}])\#\text{Cl}(O_K)\text{Reg}(O_K)(\widehat{O}_K^*:\widehat{\mathbb{Z}}[\pi,\bar{\pi}]^*)}{2\#\text{Cl}(\mathbb{Z}[\pi+\bar{\pi}])\text{Reg}(\mathbb{Z}[\pi+\bar{\pi}])}.$$

- To find the denominators: do a rational reconstruction in  $K_0^r$  using LLL or use Brunier-Yang formulas.

$p$	$l^d$	$\alpha_d$	# Curves	Estimate	Time (old)	Time (new)
7	$2^2$	4	7	8	0.5+0.3	0+0.2
17	2	1	39	32	4+0.2	0+0.1
23	$2^2, 7$	4, 3	49	51	9+2.3	0+0.2
71	$2^2$	4	7	8	255+0.7	5.3+0.2
97	2	1	39	32	680+0.3	2+0.1
103	$2^2, 17$	4, 16	119	127	829+17.6	0.5+1
113	$2^5, 7$	16, 6	1281	877	1334+28.8	0.2+1.3
151	$2^2, 7, 17$	4, 3, 16	-	-	0	0
					3162s	13s

Computing the class polynomial for  $K = \mathbb{Q}(i\sqrt{2+\sqrt{2}})$ ,  $\mathfrak{C}(O_K) = \{0\}$ .

$$H_1 = X - 1836660096, \quad H_2 = X - 28343520, \quad H_3 = X - 9762768$$

$p$	$l^d$	$\alpha_d$	# Curves	Estimate	Time (old)	Time (new)
29	3,23	2,264	-	-	-	-
53	3,43	2,924	-	-	-	-
61	3	2	9	6	167+0.2	0.2+0.5
79	3 <sup>3</sup>	18	81	54	376+8.1	0.3+0.9
107	3 <sup>2</sup> ,43	6,308	-	-	-	-
113	3,53	1,52	159	155	1118+137.2	0.8+25
131	3 <sup>2</sup> ,53	6,52	477	477	1872+127.4	2.2+44.4
139	3 <sup>5</sup>	81	?	486	-	1+36.7
157	3 <sup>4</sup>	27	243	164	3147+16.5	-
					6969s	114s

Computing the class polynomial for  $K = \mathbb{Q}(i\sqrt{13+2\sqrt{29}})$ ,  $\mathfrak{C}(O_K) = \{0\}$ .

$$H_1 = X - 268435456, \quad H_2 = X + 5242880, \quad H_3 = X + 2015232.$$

$p$	$l^d$	$\alpha_d$	# Curves	Estimate	Time (old)	Time (new)
7	-	-	1	1	0.3	0+0.1
23	<b>13</b>	84	15	2 (16)	9+70.7	0.4+24.6
53	7	3	7	7	105+0.5	7.7+0.5
59	<b>2,5</b>	1,12	322	48 (286)	164+6.4	1.4+0.6
83	3,5	4,24	77	108	431+9.8	2.4+1.1
103	67	1122	-	-	-	-
107	<b>7,13</b>	3,21	105	8 (107)	963+69.3	-
139	<b>5<sup>2</sup>,7</b>	60,2	259	9 (260)	2189+62.1	-
181	3	1	161	135	5040+3.6	4.5+0.2
197	5,109	24,5940	-	-	-	-
199	<b>5<sup>2</sup></b>	60	37	2 (39)	10440+35.1	-
223	2,23	1,11	1058	39 (914)	10440+35.1	-
227	109	1485	-	-	-	-
233	<b>5,7,13</b>	8,3,28	735	55 (770)	11580+141.6	88.3+29.4
239	7,109	6,297	-	-	-	-
257	<b>3,7,13</b>	4,6,84	1155	109 (1521)	17160+382.8	-
313	<b>3,13</b>	1,14	?	146 (2035)	-	165+14.7
373	5,7	6,24	?	312	-	183.4+3.8
541	<b>2,7,13</b>	1,3,14	?	294 (4106)	-	91+5.5
571	<b>3,5,7</b>	2,6,6	?	1111 (6663)	-	96.6+3.1
					56585s	776s

Computing the class polynomial for  $K = \mathbb{Q}(i\sqrt{29+2\sqrt{29}})$ ,  $\mathfrak{C}(O_K) = \{0\}$ .

$$H_1 = 244140625X - 2614061544410821165056$$

# A Dihedral example

- $K$  is the CM field defined by  $X^4 + 13X^2 + 41$ .  $O_{K_0} = \mathbb{Z}[\alpha]$  where  $\alpha$  is a root of  $X^2 - 3534X + 177505$ .
- We first compute the class polynomials over  $\mathbb{Z}$  using Spallek's invariants, and obtain the following polynomials in 5956 seconds:

$$H_1 = 64X^2 + 14761305216X - 11157710083200000$$

$$H_2 = 16X^2 + 72590904X - 8609344200000$$

$$H_3 = 16X^2 + 28820286X - 303718531500$$

- Next we compute them over the real subfield and using Streng's invariants. We get in 1401 seconds:

$$H_1 = 256X - 2030994 + 56133\alpha;$$

$$H_2 = 128X + 12637944 - 2224908\alpha;$$

$$H_3 = 65536X - 11920680322632 + 1305660546324\alpha.$$

- Primes used: 59, 139, 241, 269, 131, 409, 541, 271, 359, 599, 661, 761.

# A pessimal view on the complexity of the CRT method in dimension 2

- The degree of the class polynomials is  $\tilde{O}(\Delta_0^{1/2} \Delta_1^{1/2})$ .
  - The size of coefficients is bounded by  $\tilde{O}(\Delta_0^{5/2} \Delta_1^{3/2})$  (non optimal). In practice, they are  $\tilde{O}(\Delta_0^{1/2} \Delta_1^{1/2})$ .
- ⇒ The size of the class polynomials is  $\tilde{O}(\Delta_0 \Delta_1)$ .
- We need  $\tilde{O}(\Delta_0^{1/2} \Delta_1^{1/2})$  primes, and by Cebotarev the density of primes we can use is  $\tilde{O}(\Delta_0^{1/2} \Delta_1^{1/2}) \Rightarrow$  the largest prime is  $p = \tilde{O}(\Delta_0 \Delta_1)$ .
- ⇒ Finding a curve in the right isogeny class will take  $\Omega(p^{3/2})$  so the total complexity is  $\Omega(\Delta_0^2 \Delta_1^2) \Rightarrow$  we can't achieve quasi-linearity even if the going-up step always succeed!
- ⇒ A solution would be to work over convenient subspaces of the moduli space.

# Perspectives

- In progress: Improve the search for curves in the isogeny class;
- Use Iovica pairing based approach to choose horizontal kernels in the maximal step;
- Change the polarization;
- Work inside Humbert surfaces;
- Work with supersingular abelian varieties;
- More general isogenies than  $(\ell, \ell)$ -isogenies.