

Using a Boussinesq-type 1-D model to simulate Favre waves

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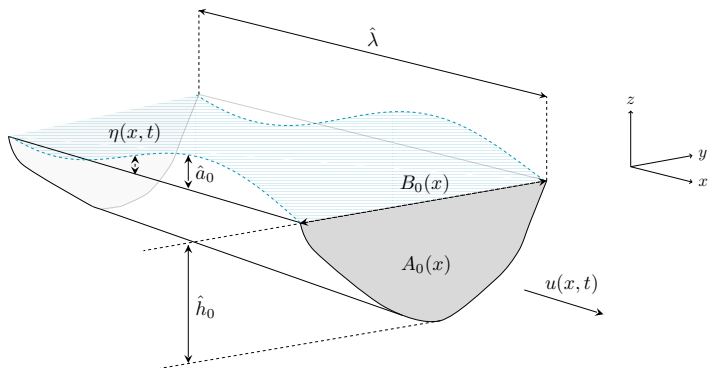


Context

- Fast opening/closing of sluice gates of hydro power plant
- Mobile surge propagating upstream
- Hydraulic jump ($F_r > 1.3$) or Favre waves ($F_r < 1.3$)
- Favre waves are both **nonlinear** and **dispersive**
- 1D modelling for industrial applications

Triggering tests at Sisteron plant
(France, 2017, EDF/CIH videos)

Notation



$$\epsilon \doteq \frac{\hat{a}_0}{\hat{h}_0} : \text{nonlinearity}$$

$$\mu \doteq \frac{\hat{h}_0}{\hat{\lambda}} : \text{dispersion}$$

Winckler–Liu's model in conservative variables

- Winckler–Liu's model (2015) expressed in terms of **wetted area** A and **flow rate** Au

$$A_t + \epsilon (Au)_x = 0$$
$$\underbrace{(Au)_t + (\epsilon Au^2 + gK(h))_x}_{\text{Saint-Venant equations}} =$$

with

$$K(h) \doteq \int A(h) dh$$

Winckler–Liu's model in conservative variables

- Winckler–Liu's model (2015) expressed in terms of **wetted area** A and **flow rate** Au

$$\begin{cases} (1 + \mu^2 \mathcal{T}) \Phi = -H_x \\ A_t + \epsilon (Au)_x = 0 \\ \underbrace{(Au)_t + (\epsilon Au^2 + gK(h))_x}_{\text{Saint-Venant equations}} = \underbrace{A\Phi + AH_{s,x}}_{\text{non-hydrostatic (dispersive) terms}} \end{cases}$$

with

$$K(h) \doteq \int A(h) dh, \quad H \doteq \epsilon \frac{u^2}{2} + g\eta, \quad H_s \doteq \epsilon \frac{u^2}{2} + gh$$

$$\mathcal{T} \doteq \alpha \mathcal{I} + (\beta - \gamma_x) \partial_x + \partial_x (\gamma \partial_x)$$

$\alpha(x)$, $\beta(x)$, $\gamma(x)$ are channel geometry dependent coefficients

- **Decoupling** the **hyperbolic** problem (shallow water equations) and the **elliptic** problem (non-hydrostatic terms)

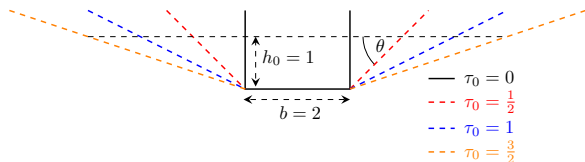
Travelling wave solution

- **Prismatic** channel with **trapezoidal** cross-section
($\alpha = \beta = 0$, $\gamma = cst$ and is computed numerically)

$$\begin{cases} (1 + \mu^2 \mathcal{T}) \Phi = -H_x \\ A_t + \epsilon (Au)_x = 0 \\ (Au)_t + (\epsilon Au^2 + gK(h))_x = A\Phi + AH_{s,x} \end{cases}$$

$$\mathcal{T} = \gamma \partial_{xx}, \quad \tau(h) \doteq \frac{h}{b} \cot \theta$$

$$A(h) = bh(1 + \tau), \quad K(h) = bh^2 \left(\frac{1}{2} + \frac{1}{3}\tau \right)$$



$$\tau_0 \doteq \tau(h_0)$$

Travelling wave solution

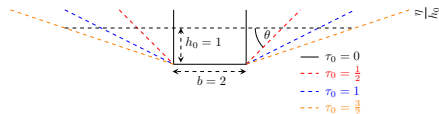
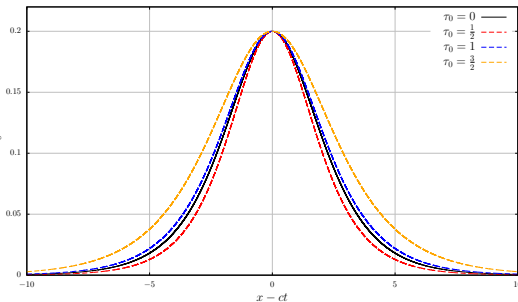
□ Semi-analytical solution

$$\frac{x - ct}{h_0} = \mu \sqrt{-3(1 + \tau_0)} \frac{\gamma}{h_0^2} \int_{\frac{h}{h_0}}^{1+\epsilon} \frac{1 + 2\tau_0 H}{\sqrt{\varphi(H) - \frac{\varphi(1+\epsilon)}{\psi(1+\epsilon)} \psi(H)}} dH$$

$$\varphi(x) \doteq \left[\frac{2}{1+\tau_0} x(1 + \tau_0 x) + 1 \right] [x(1 + \tau_0 x) - 1 - \tau_0]^2 x(1 + \tau_0 x)$$

$$\psi(x) \doteq x^4 (1 + \tau_0 x)^3 \left[(1 + \tau_0 x) \ln \frac{x(1+\tau_0)}{1+\tau_0 x} + \frac{1}{x} - 1 \right]$$

□ Approximation with a quadrature method

 $\epsilon = 0.2$


Numerical solving + Verification

$$\left\{ \begin{array}{l} \boxed{(1 + \mu^2 \mathcal{T}) \Phi = -H_x} \\ A_t + \epsilon (Au)_x = 0 \\ (Au)_t + (\epsilon Au^2 + gK(h))_x = A\Phi + AH_{s,x} \end{array} \right. \quad \text{Finite } (\mathbb{P}_1) \text{ Element Method}$$

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Finite Volume Method
with 2nd-order MUSCL
reconstruction (Roe scheme)

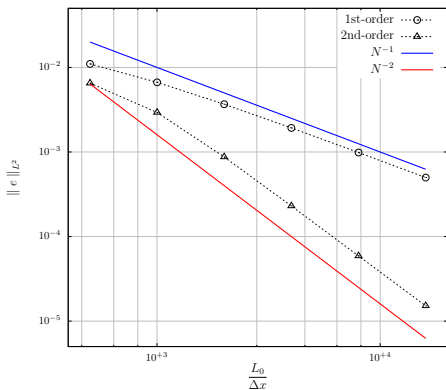
Numerical solving + Verification

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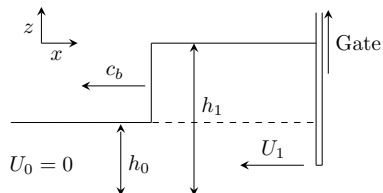
Finite Volume Method
with 2nd-order MUSCL
reconstruction (Roe scheme)

- Numerical verification with the soliton case
- $\epsilon = 0.2$
- Bief: $x \in [-12\lambda, 12\lambda]$
- Comparison at $t = \frac{6.5\lambda}{c}$



Favre waves applications

- Favre waves experiments of Favre (1935) and Treske (1994)
- Test case: **fast sluice gate opening** on a flow at rest



- Initial conditions are smoothed from Rankine–Hugoniot formulae:

$$h(x, t = 0) = \frac{h_0 - h_1}{2} \tanh\left(\frac{x}{5}\right) + h_1 - \frac{1}{2}\epsilon h_0$$

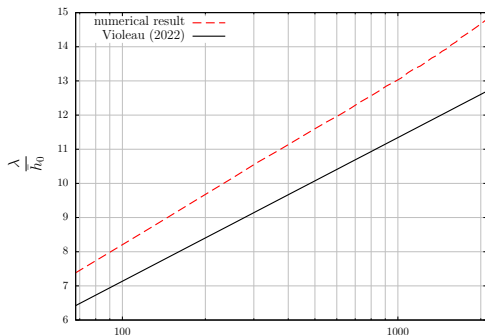
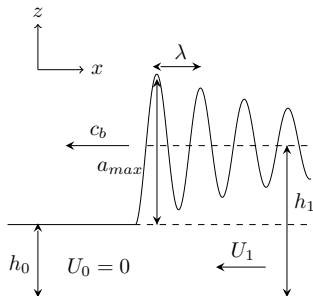
$$u(x, t = 0) = \frac{U_0 - U_1}{2} \tanh\left(\frac{x}{5}\right) + \frac{1}{2}U_0$$

- We first look at the evolution of the wavelength λ and the maximum amplitude a_{max} for long propagation times

Time evolution

- λ increases logarithmically with time as demonstrated in Violeau's IAHR Water Monograph on KdV (2022)

- $\epsilon = \frac{h_1 - h_0}{h_0} = 0.2$ and $t' \doteq \sqrt{\frac{g}{h_0}} t$



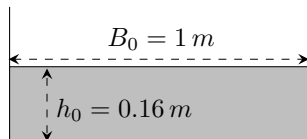
t'

Asymptotic behaviour

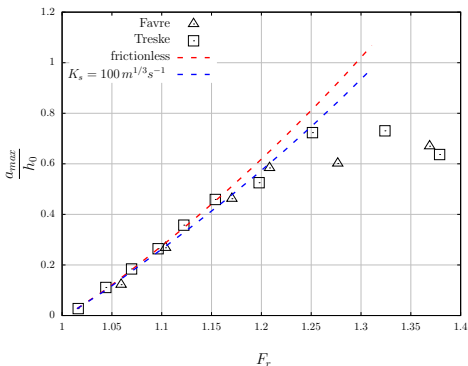
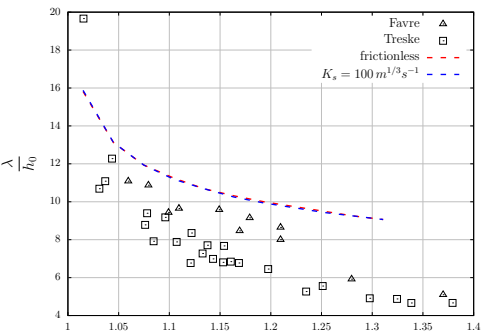
- ❑ For long propagation times the maximum amplitude a_{max} reaches an asymptote
- ❑ The first wave separates from the following, and propagates at the velocity c of the soliton
- ❑ $\epsilon = 0.3$

Rectangular case

- Comparison with Favre (1935) and Treske (1994) data
- Measurement **timing unspecified**
- Friction is accounted for through a Strickler coefficient $K_s = 100 \text{ m}^{1/3} \text{ s}^{-1}$

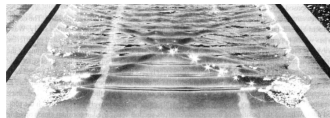
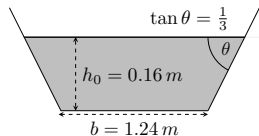


$$F_r \doteq \frac{|U_0 - c_b|}{\sqrt{g\bar{h}_0}}, \quad \bar{h}_0 \doteq \frac{A_0}{B_0}$$

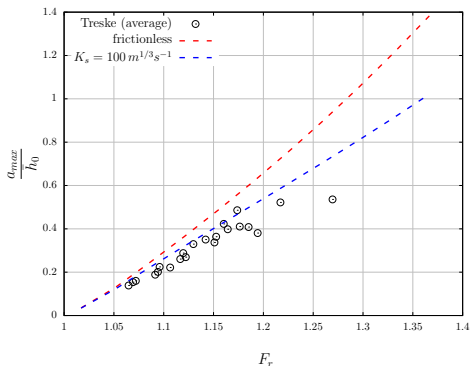
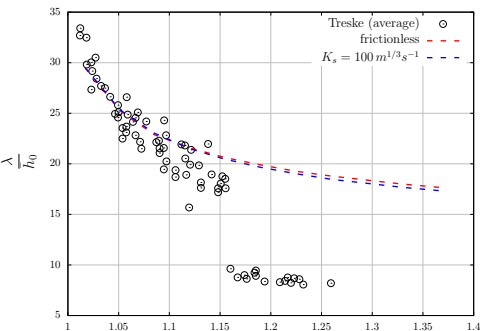


Trapezoidal case

- For $F_r > 1.15$, the flow becomes strongly 3D (transition)
- Refraction on the banks
- Average of banks and channel axis experimental data



$1.15 < F_r < 1.3$, from Treske (1994)



Conclusion

- Reformulation of the Winckler–Liu's equations in terms of conservative variables (+ decoupling hyperbolic–elliptic problems)
- Solitary wave solution for a prismatic channel of trapezoidal cross-section + numerical verification
- Validation with Favre and Treske's experiments

Perspectives

- Deal with variable channel geometry
- Comparison to our own experiments (ongoing)
- Applications to realistic cases

N.B.: Limits of the 1D modelling

Thank you for your attention.



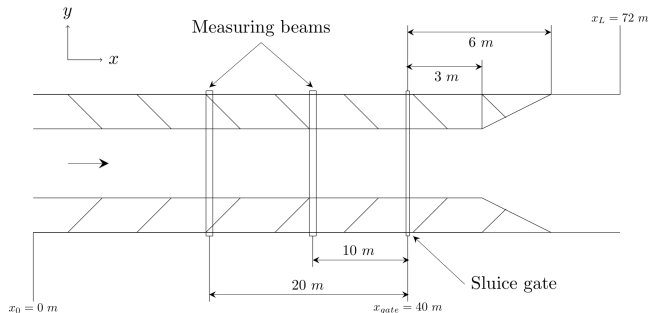
Inria



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Sizing of Favre waves experiments

- ❑ Favre waves experiments planned this summer in channel 5 of the LNHE 72 x 1.5 x 1.2 m (L x W x H)
- ❑ Fast closing (< 1 s) of a sluice gate placed at $x_{gate} = 40$ m
- ❑ Measurement of the positive downstream wave over the length and width of the channel
- ❑ Sensors: 20 TDH, 8 ultrasonic



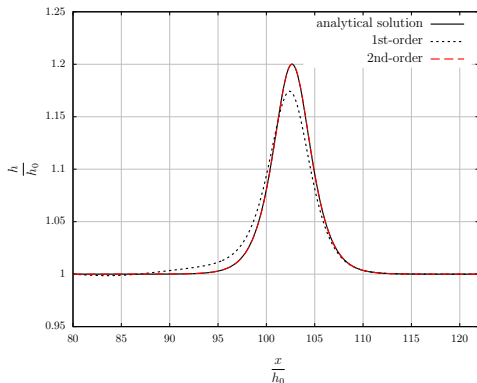
Form of the solutions – Verification case

- The reformulated system for a prismatic channel with trapezoidal cross-section

$$\begin{cases} (1 + \mu^2 \mathcal{T}) \Phi = -H_x \\ A_t + \epsilon (Au)_x = 0 \\ (Au)_t + (\epsilon Au^2 + gK(h))_x = A\Phi + AH_{s,x} \end{cases}$$

$$\mathcal{T} \doteq \gamma \partial_{xx}$$

- Solitary wave case ($\epsilon = 0.2$)
- Domain: $x \in [-12\lambda, 12\lambda]$
- Solutions at $t = \frac{6.5\lambda}{c}$



Winckler–Liu's model reformulated in terms of conservative variables

- First taking the exact continuity equation of the system on slide 5 gives

$$\begin{cases} A_t + \epsilon (Au)_x = 0 \\ u_t + \epsilon uu_x + g\eta_x + \mu^2 (\alpha u_t + \beta u_{tx} + \gamma^* u_{txx}) = O(\epsilon^2, \epsilon\mu^2, \mu^4) \end{cases}$$

- and defining the elliptic operator

$$\mathcal{T} \doteq \alpha \mathcal{I} + \beta^* \partial_x - \partial_x (\gamma^* \partial_x)$$

$$\beta' \doteq \beta + \gamma^*, \quad \gamma^* \doteq -\gamma > 0$$

- the system becomes

$$\begin{cases} A_t + \epsilon (Au)_x = 0 \\ (1 + \mu^2 \mathcal{T}) u_t + \epsilon uu_x + g\eta_x = O(\epsilon^2, \epsilon\mu^2, \mu^4) \end{cases}$$

Winckler–Liu's model reformulated in terms of conservative variables

$$\begin{cases} A_t + \epsilon (Au)_x = 0 \\ (1 + \mu^2 \mathcal{T}) u_t + \epsilon u u_x + g \eta_x = O(\epsilon^2, \epsilon \mu^2, \mu^4) \end{cases}$$

- $u \times$ continuity + $A \times$ momentum

$$A (1 + \mu^2 \mathcal{T}) u_t + u A_t + \epsilon (Au^2)_x + g A \eta_x = O(\epsilon^2, \epsilon \mu^2, \mu^4)$$

$$(Au)_t + \mu^2 A \mathcal{T} u_t + \epsilon (Au^2)_x + g A \eta_x = O(\epsilon^2, \epsilon \mu^2, \mu^4)$$

- Defining another operator $\mathbb{T}(\cdot) \doteq A \mathcal{T} \left[\frac{1}{A}(\cdot) \right]$

$$\begin{aligned} A \mathcal{T} u_t &= A \mathcal{T} \left[\frac{1}{A} (Au_t) \right] = A \mathcal{T} \left[\frac{1}{A} \left((Au)_t - u A_t \right) \right] \\ &= \mathbb{T} \left[(Au)_t \right] + \mathbb{T} \left[\epsilon u (Au)_x \right] \end{aligned}$$

- Hence

$$(1 + \mu^2 \mathbb{T}) \left[(Au)_t \right] + \epsilon (Au^2)_x + g A \eta_x + \mu^2 \mathbb{T} \left[\epsilon u (Au)_x \right] = 0$$

Winckler–Liu's model reformulated in terms of conservative variables

$$(1 + \mu^2 \mathbb{T}) \left[(Au)_t \right] + \epsilon (Au^2)_x + gA\eta_x + \mu^2 \mathbb{T} \left[\epsilon u (Au)_x \right] = 0$$

- Applying $(1 + \mu^2 \mathbb{T})$ to all Saint-Venant terms

$$\begin{aligned} (1 + \mu^2 \mathbb{T}) \left[(Au)_t + \epsilon (Au^2)_x + gA\eta_x \right] &= \mu^2 \mathbb{T} \left[-\epsilon u (Au)_x + \epsilon (Au^2)_x + gA\eta_x \right] \\ &= \mu^2 \mathbb{T} \left[\epsilon Au u_x + gA\eta_x \right] \end{aligned}$$

- now defining

$$\begin{aligned} A\phi &\doteq (Au)_t + \epsilon (Au^2)_x + gA\eta_x \\ AH_x &\doteq A \left(\epsilon u u_x + g\eta_x \right) = A \left(\epsilon \frac{u^2}{2} + g\eta \right)_x \end{aligned}$$

- we have

$$\begin{aligned} (1 + \mu^2 \mathbb{T}) (A\phi) &= \mu^2 \mathbb{T} (AH_x) \\ (1 + \mu^2 \mathcal{T}) \phi &= \mu^2 \mathcal{T} (H_x) \end{aligned}$$

Winckler–Liu's model reformulated in terms of conservative variables

$$\begin{cases} (1 + \mu^2 \mathcal{T}) \phi = \mu^2 \mathcal{T} (H_x) \\ A_t + \epsilon (Au)_x = 0 \\ (Au)_t + \epsilon (Au^2)_x + gA\eta_x = A\phi \end{cases}$$

- making the following change of variable: $\Phi = \phi - H_x$

$$\begin{cases} (1 + \mu^2 \mathcal{T}) \Phi = -H_x \\ A_t + \epsilon (Au)_x = 0 \\ \underbrace{(Au)_t + \epsilon (Au^2)_x + gA\eta_x}_{\text{Saint-Venant equations}} = \underbrace{A\Phi + AH_x}_{\text{non-hydrostatic (dispersive) terms}} \end{cases}$$

with

$$\mathcal{T} \doteq \alpha \mathcal{I} + \beta^* \partial_x - \partial_x (\gamma^* \partial_x)$$

$$\beta^* \doteq \beta + \gamma_x^*, \quad \gamma^* \doteq -\gamma, \quad H \doteq \epsilon \frac{u^2}{2} + g\eta$$

- **Decoupling** the **hyperbolic** problem (**shallow water equations**) and the **elliptic** problem (**non-hydrostatic terms**)

Winckler–Liu's model in conservative variables

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- Invertibility of $1 + \mu^2 \mathcal{T}$ requires **coercivity**
- **Coercivity** of the **bilinear form** $a(v, w)$, associated to the weak formulation of the elliptic equation, is based on the **positivity** of its **quadratic form** $a(v, v)$:

$$a(v, v) = \mu^2 \int_{\mathbb{R}} \gamma^* v_x^2 dx + \int_{\mathbb{R}} \left(\alpha^* - \frac{1}{2} \mu^2 \beta_x^* \right) v^2 dx$$

$$\alpha^* = 1 + \mu^2 \alpha$$

Winckler–Liu's model in conservative variables

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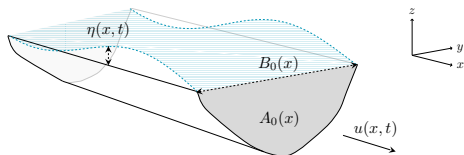
$$\alpha^* = 1 + \mu^2 \alpha$$

- For a **prismatic channel**: $\alpha = \beta = 0$, $\gamma^* = cte$, hence $1 + \mu^2 \mathcal{T}$ is **coercive** if $\gamma^* > 0$

Positivity of γ^*

□ γ^* stems from a boundary value problem (Winckler and Liu, 2015)

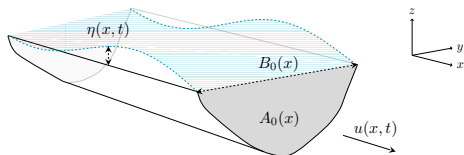
$$\begin{aligned} \Delta \chi &= -1, & \text{where } \gamma^* &= \frac{1}{A_0} \int_{A_0} \chi \, dS - \frac{1}{B_0} \int_{B_0} \chi \, d\ell \\ \nabla \chi \cdot \mathbf{n} &= -\frac{A_0}{B_0} \quad \text{on } B_0, & &= \bar{\chi} - \tilde{\chi} \\ &= 0 \quad \text{on } \partial A_0 \setminus B_0 \end{aligned}$$



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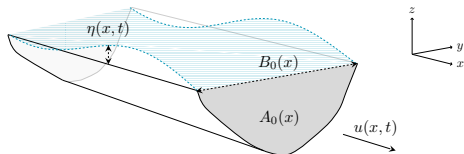
- Proof of the positivity

$$\gamma^* = -\frac{1}{A_0} \int_{A_0} \chi \Delta \chi \, dS - \frac{1}{B_0} \int_{B_0} \chi \, d\ell$$

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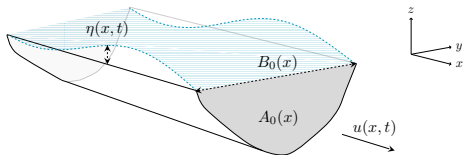
- Proof of the positivity

$$\gamma^* = -\frac{1}{A_0} \int_{A_0} \nabla \cdot (\chi \nabla \chi) \, dS + \frac{1}{A_0} \int_{A_0} |\nabla \chi|^2 \, dS - \frac{1}{B_0} \int_{B_0} \chi \, d\ell$$

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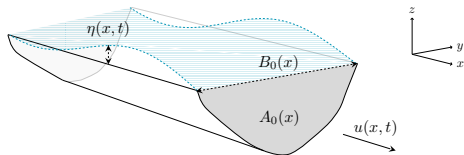
- Proof of the positivity

$$\gamma^* = -\frac{1}{A_0} \int_{\partial A_0} \chi \nabla \chi \cdot \mathbf{n} \, d\ell + \frac{|\nabla \chi|^2}{2} - \frac{1}{B_0} \int_{B_0} \chi \, d\ell$$

Positivity of γ^*

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- Proof of the positivity

$$\gamma^* = |\nabla \chi|^2 > 0$$

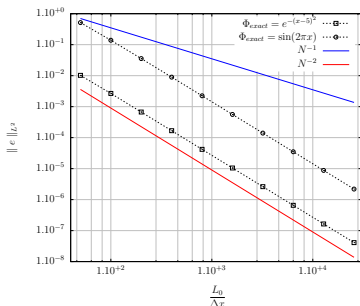
Convergence study of the elliptic module

- We want to check the resolution of the elliptic module using the MMS (Method of Manufactured Solution)
- An exact solution Φ_{exact} is imposed to determine the right-hand side f , which is then imposed to check the code

$$f = (1 + \alpha) \Phi_{exact} + (\beta + \gamma_x^*) \Phi_{exact,x} - (\gamma^* \Phi_{exact,x})_x$$

- For the convergence study, we look at the L^2 -norm error $\| e \|_{L^2}$

$$\| e \|_{L^2} = \sqrt{\sum_{e=1}^{N_e} \int_{x_e}^{x_{e+1}} (\Phi_{exact} - \Phi_{num})^2 dx}$$



Numerical scheme of the hyperbolic part

- The system to solve

$$U_t + F(U)_x = S(U)$$

with

$$U = \begin{pmatrix} A \\ Au \end{pmatrix}, \quad F = \begin{pmatrix} Au \\ Au^2 + gK(h) \end{pmatrix} \quad \text{et} \quad S = \begin{pmatrix} 0 \\ A\Phi + AH_{s,x} \end{pmatrix}$$

- Trapezoidal cross-section

$$A(h) = hb + \frac{h^2}{\tan \theta} = hb(1 + \tau)$$

$$K(h) = bh^2 \left(\frac{1}{2} + \frac{1}{3}\tau \right)$$

avec $\tau \doteq \frac{h}{b} \cot \theta$

- average water height \bar{h}

$$\bar{h} \doteq \frac{1 + \tau}{1 + 2\tau} h$$

Numerical scheme of the hyperbolic part

□ Finite Volume Method

$$U_i^{n+1} = U_i^n - \frac{\Delta t}{\Delta x} \left(F_{i+\frac{1}{2}} - F_{i-\frac{1}{2}} \right) + \frac{\Delta t}{\Delta x} \Delta S_{H_s, i} + \Delta t \bar{S}_\Phi$$

$$F_{i+\frac{1}{2}} = \frac{1}{2} \left[F \left(U_{i+\frac{1}{2}}^L \right) + F \left(U_{i+\frac{1}{2}}^R \right) \right] - \frac{1}{2} \left| \tilde{J} \right|_{i+\frac{1}{2}} \Delta \tilde{U}_{i+\frac{1}{2}}$$

$$\tilde{J}_{i+\frac{1}{2}} = \left(\tilde{P} \tilde{\Lambda} \tilde{P}^{-1} \right)_{i+\frac{1}{2}}$$

$$\tilde{P}_{i+\frac{1}{2}} = \begin{pmatrix} 1 & 1 \\ \tilde{\lambda}_1 & \tilde{\lambda}_2 \end{pmatrix}, \quad \tilde{\Lambda}_{i+\frac{1}{2}} = \begin{pmatrix} \tilde{\lambda}_1 & 0 \\ 0 & \tilde{\lambda}_2 \end{pmatrix}$$

$$\tilde{\lambda}_{1,2} = \tilde{u} \pm \tilde{c}$$

$$\tilde{u}_{i+\frac{1}{2}} = \frac{u^L \sqrt{A^L} + u^R \sqrt{A^R}}{\sqrt{A^L} + \sqrt{A^R}}, \quad \tilde{c}_{i+\frac{1}{2}} = \sqrt{\frac{1}{2} g (\bar{h}^L + \bar{h}^R)}$$

Numerical scheme of the hyperbolic part

$$U_i^{n+1} = U_i^n - \frac{\Delta t}{\Delta x} \left(F_{i+\frac{1}{2}} - F_{i-\frac{1}{2}} \right) + \frac{\Delta t}{\Delta x} \Delta S_{H_s, i} + \Delta t \bar{S}_\Phi$$

$$\Delta S_{H_s, i} = S_{H_s, i+\frac{1}{2}}^- \left(U_{i+\frac{1}{2}}^L, U_{i+\frac{1}{2}}^R \right) + S_{H_s, i-\frac{1}{2}}^+ \left(U_{i-\frac{1}{2}}^L, U_{i-\frac{1}{2}}^R \right) + S_{H_s}^* \left(U_{i+\frac{1}{2}}^L, U_{i-\frac{1}{2}}^R \right)$$

with

$$S_{H_s, i\pm\frac{1}{2}}^\mp \left(U_{i\pm\frac{1}{2}}^L, U_{i\pm\frac{1}{2}}^R \right) = \frac{1}{2} \left[\tilde{P} \left(I \mp \tilde{\Lambda}^{-1} |\tilde{\Lambda}| \right) \tilde{P}^{-1} \right]_{i\pm\frac{1}{2}} \tilde{S}_{H_s, i\pm\frac{1}{2}}$$

$$\tilde{S}_{H_s, i\pm\frac{1}{2}} = \begin{bmatrix} 0 \\ \frac{A^L + A^R}{2} (H_s^R - H_s^L) \end{bmatrix}_{i\pm\frac{1}{2}}$$

$$S_{H_s}^* \left(U_{i+\frac{1}{2}}^L, U_{i-\frac{1}{2}}^R \right) = \begin{bmatrix} 0 \\ \frac{A_{i+\frac{1}{2}}^L + A_{i-\frac{1}{2}}^R}{2} (H_{s, i+\frac{1}{2}}^L - H_{s, i-\frac{1}{2}}^R) \end{bmatrix}$$

and

$$\bar{S}_\Phi = \frac{1}{8} \begin{bmatrix} 0 \\ A_{i+\frac{1}{2}}^L (\Phi_{i+1} + 3\Phi_i) + A_{i-\frac{1}{2}}^R (3\Phi_i + \Phi_{i-1}) \end{bmatrix}$$

Friction treatment

- The system to be solved considering the friction term $S_f \neq 0$

$$U_t + F(U)_x = S(U)$$

with

$$U = \begin{pmatrix} A \\ Au \end{pmatrix}, \quad F = \begin{pmatrix} Au \\ Au^2 + gK(h) \end{pmatrix} \quad \text{et} \quad S = \begin{pmatrix} 0 \\ A\Phi + AH_{s,x} - gAS_f \end{pmatrix}$$

where S_f is evaluated using the Gauckler–Mannig–Strickler formula

$$S_f = \frac{Q^2}{K_s^2 A^2 R_h^{4/3}}$$

with $Q \doteq Au$ the flow rate and R_h the hydraulic radius

Friction treatment

- This friction term can be treated numerically in a semi-implicit way, Bristeau (2001)
- First step : frictionless

$$U_i^* = U_i^n - \frac{\Delta t}{\Delta x} \left(F_{i+\frac{1}{2}} - F_{i-\frac{1}{2}} \right) + \frac{\Delta t}{\Delta x} \Delta S_{H_s, i} + \Delta t \bar{S}_\Phi$$

- Second step :

$$U_t = \begin{pmatrix} 0 \\ -g \frac{Q^2}{K_s^2 A R_h} \end{pmatrix} \Rightarrow \frac{Q_i^{n+1} - Q_i^*}{\Delta t} = -g \frac{Q_i^{n+1} |Q_i^n|}{K_s^2 A_i^* (R_h^{4/3})_i} \quad A_i^{n+1} = A_i^*$$

- factoring by Q_i^{n+1} .

$$Q_i^{n+1} = \frac{Q_i^*}{1 + \Delta t g \frac{|Q_i^n|}{K_s^2 A_i^* (R_h^{4/3})_i}}$$