## WITT VECTORS

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Abstract. These is a short introduction to Witt vectors. These notes have no originality. The main references used were [3, Chap. II, §6], [1, Chap. IX, §1] and [2, Chap. I].

In what follows, "ring" means commutative unitary ring. Let $p$ be a prime integer. Let $\underline{X}=\left(X_{0}, X_{1}, \ldots\right)$ be a indeterminate.

Definition 1. Let $n \in \mathbf{Z}_{\geqslant 0}$, the $n$-th Witt polynomial is

$$
\Phi_{n}(\underline{X})=X_{0}^{p^{n}}+p X_{1}^{p^{n-1}}+\cdots+p^{n-1} X_{n-1}^{p}+p^{n} X_{n}=\sum_{i=0}^{n} p^{i} X_{i}^{p^{n-i}}
$$

If $A$ is ring, the ghost map is:

$$
\begin{aligned}
\Phi_{A}: A^{\mathbf{Z}_{\geqslant 0}} & \rightarrow A^{\mathbf{Z}_{\geqslant 0}} \\
\underline{a} & \mapsto\left(\Phi_{n}(\underline{a})\right)_{n \in \mathbf{Z}_{\geqslant 0}}
\end{aligned}
$$

Lemma 2. Let $A$ be a ring, and $x, y \in A$ such that $x \equiv y \bmod p A$. Then $x^{p^{i}} \equiv y^{p^{i}} \bmod p^{i+1} A$ for every $i \in \mathbf{Z}_{\geqslant 0}$.

Proof. We proceed by induction on $i \in \mathbf{Z}_{\geqslant 0}$, the case $i=0$ being the hypothesis. Let $i \in \mathbf{Z}_{\geqslant 0}$ be such that $x^{p^{i}} \equiv y^{p^{i}} \bmod p^{i+1} A$ : write $x^{p^{i}}=y^{p^{i}}+p^{i+1} z$ with $z \in A$. By the binomial theorem, we have $x^{p^{i+1}}=\left(y^{p^{i}}+p^{i+1} z\right)^{p}=y^{p^{i+1}}+\sum_{k=1}^{p-1}\binom{p}{k} p^{k(i+1)} y^{p^{i}(p-k)} z^{k}+p^{p(i+1)} z^{p}$. For $k \in\{1, \ldots, p-1\}$, we have $v_{p}\left(\binom{p}{k} p^{k(i+1)}\right)=1+k(i+1) \geqslant i+2$, and $p(i+1) \geqslant i+2($ because $p \geqslant 2)$, so $x^{p^{i+1}} \equiv y^{p^{i+1}} \bmod p^{i+2} A$.

Lemma 3. (DWORK). Let $\varphi: A \rightarrow A$ be a ring homomorphism such that $\varphi(a) \equiv a^{p} \bmod p A$ for all $a \in A$. Then a sequence $\left(x_{n}\right)_{n \in \mathbf{Z}_{\geqslant 0}} \in A^{\mathbf{Z}_{\geqslant 0}}$ is in the image of $\Phi_{A}$ if and only if $\varphi\left(x_{n}\right) \equiv x_{n+1} \bmod p^{n+1} A$ for all $n \in \mathbf{Z}_{\geqslant 0}$.

Proof. - As $\varphi$ is a ring homomorphism, we have $\varphi\left(\Phi_{n}(\underline{a})\right)=\sum_{i=0}^{n} p^{i} \varphi\left(a_{i}\right)^{p^{n-i}}$ for all $\underline{a}=\left(a_{n}\right)_{n \in \mathbf{Z}}^{\geqslant 0}$. As $\varphi\left(a_{i}\right) \equiv a_{i}^{p} \bmod p A$, we have $\varphi\left(a_{i}\right)^{p^{n-i}} \equiv a_{i}^{p^{n+1-i}} \bmod p^{n+1-i} A$ for all $i \in\{0, \ldots, n\}$ by lemma 2. This implies that $\varphi\left(\Phi_{n}(\underline{a})\right) \equiv \sum_{i=0}^{n} p^{i} a_{i}^{p^{n+1-i}} \bmod p^{n+1} A$, i.e. $\varphi\left(\Phi_{n}(\underline{a})\right) \equiv \Phi_{n+1}(\underline{a}) \bmod p^{n+1} A$.

- Conversely, assume that $\left(x_{n}\right)_{n \in \mathbf{Z}_{\geqslant 0}} \in A^{\mathbf{Z}_{\geqslant 0}}$ satisfies $\varphi\left(x_{n}\right) \equiv x_{n+1} \bmod p^{n+1} A$ for all $n \in \mathbf{Z}_{\geqslant 0}$ : we construct $\underline{a}=\left(a_{n}\right)_{n \in \mathbf{Z}_{\geqslant 0}} \in A^{\mathbf{Z}_{\geqslant 0}}$ inductively such that $x_{n}=\Phi_{n}(\underline{a})$ for all $n \in \mathbf{Z}_{\geqslant 0}$. Put $a_{0}=x_{0} \in A$. Let $n \in \mathbf{Z}_{\geqslant 0}$ be such that $a_{0}, \ldots, a_{n} \in A$ have been constructed such that for all $k \in\{0, \ldots, n\}$, we have $x_{k}=\Phi_{k}\left(a_{0}, \ldots, a_{k}\right)$. By the computation above, we have $\varphi\left(x_{n}\right)=\varphi\left(\Phi_{n}(\underline{a})\right) \equiv \sum_{i=0}^{n} p^{i} a_{i}^{p^{n+1-i}} \bmod p^{n+1} A$ i.e. $x_{n+1}-\sum_{i=0}^{n} p^{i} a_{i}^{p^{n+1-i}} \in p^{n+1} A$ (since $x_{n+1}-\varphi\left(x_{n}\right) \equiv 0 \bmod p^{n+1} A$ ): there exists $a_{n+1} \in A$ (that may not be unique when $A$ has $p$-torsion) such that $x_{n+1}=\sum_{i=0}^{n+1} p^{i} a_{i}^{p^{n+1-i}}=\Phi_{n+1}\left(a_{0}, \ldots, a_{n+1}\right)$.

Let $\underline{Y}=\left(Y_{0}, Y_{1}, \ldots\right)$ be a indeterminate.
Proposition 4. (cf [3, Chap. II, $\S 6$, Theorem 5]). There exist unique sequences of polynomials

$$
\left(S_{n}\right)_{n \in \mathbf{Z}_{\geqslant 0}},\left(P_{n}\right)_{n \in \mathbf{Z}_{\geqslant 0}} \in \mathbf{Z}[\underline{X}, \underline{Y}]^{\mathbf{Z}_{\geqslant 0}}
$$

and $\left(I_{n}\right)_{n \in \mathbf{Z}_{\geqslant 0}} \in \mathbf{Z}[\underline{X}]^{\mathbf{Z}_{\geqslant 0}}$ such that:

$$
\begin{aligned}
S_{n}(\underline{X}, \underline{Y}), P_{n}(\underline{X}, \underline{Y}) & \in \mathbf{Z}\left[X_{0}, \ldots, X_{n}, Y_{0}, \ldots, Y_{n}\right] \\
I_{n}(\underline{X}) & \in \mathbf{Z}\left[X_{0}, \ldots, X_{n}\right] \\
\Phi_{n}\left(S_{0}(\underline{X}, \underline{Y}), \ldots, S_{n}(\underline{X}, \underline{Y})\right) & =\Phi_{n}(\underline{X})+\Phi_{n}(\underline{Y}) \\
\Phi_{n}\left(P_{0}(\underline{X}, \underline{Y}), \ldots, P_{n}(\underline{X}, \underline{Y})\right) & =\Phi_{n}(\underline{X}) \Phi_{n}(\underline{Y}) \\
\Phi_{n}\left(I_{0}(\underline{X}), \ldots, I_{n}(\underline{X})\right) & =-\Phi_{n}(\underline{X})
\end{aligned}
$$

Proof. - Let $A=\mathbf{Z}[\underline{X}, \underline{Y}]$ be the polynomial ring. Denote by $\varphi: A \rightarrow A$ the unique ring endomorphism such that $\varphi\left(x_{n}\right)=X_{n}^{p}$ and $\varphi\left(Y_{n}\right)=Y_{n}^{p}$ for all $n \in \mathbf{Z}_{\geqslant 0}$. We have $\varphi(a) \equiv a^{p} \bmod p A$ for all $a \in A$. As $\varphi$ is a ring endomorphism and $\Phi_{n}$ has integral coefficients, we have $\varphi\left(\Phi_{n}(\underline{X})+\Phi_{n}(\underline{Y})\right)=\Phi_{n}(\varphi(\underline{X}))+$ $\Phi_{n}(\varphi(\underline{Y}))\left(\operatorname{resp} . \varphi\left(\Phi_{n}(\underline{X}) \Phi_{n}(\underline{Y})\right)=\Phi_{n}(\varphi(\underline{X})) \Phi_{n}(\varphi(\underline{Y}))\right.$, resp. $\left.\varphi\left(-\Phi_{n}(\underline{X})\right)=-\Phi_{n}(\varphi(\underline{X}))\right)$ for all $n \in \mathbf{Z}_{\geqslant 0}$. As $\Phi_{n}(\varphi(\underline{X}))=\Phi_{n+1}(\underline{X})-p^{n+1} X_{n+1}$ and $\Phi_{n}(\varphi(\underline{Y}))=\Phi_{n+1}(\underline{Y})-p^{n+1} Y_{n+1}$ by definition, this implies that $\varphi\left(\Phi_{n}(\underline{X})+\Phi_{n}(\underline{Y})\right) \equiv \Phi_{n+1}(\underline{X})+\Phi_{n+1}(\underline{Y}) \bmod p^{n+1} A\left(\operatorname{resp} . \varphi\left(\Phi_{n}(\underline{X}) \Phi_{n}(\underline{Y})\right) \equiv \Phi_{n+1}(\underline{X}) \Phi_{n+1}(\underline{Y})\right.$ $\bmod p^{n+1} A$, resp. $\left.\varphi\left(-\Phi_{n}(\underline{X})\right) \equiv-\Phi_{n+1}(\underline{X}) \bmod p^{n+1} A\right)$ for all $n \in \mathbf{Z}_{\geqslant 0}$. Lemma 3 thus implies that $\Phi_{A}(\underline{X})+\Phi_{A}(\underline{Y}), \Phi_{A}(\underline{X}) \Phi_{A}(\underline{Y})$ and $-\Phi_{A}(\underline{X})$ belong to the image of $\Phi_{A}$, which precisely means the existence of the sequences of polynomials $\left(S_{n}\right)_{n \in \mathbf{Z}_{\geqslant 0}},\left(P_{n}\right)_{n \in \mathbf{Z}_{\geqslant 0}} \in \mathbf{Z}[\underline{X}, \underline{Y}]^{\mathbf{Z} \geqslant 0}$ and $\left(I_{n}\right)_{n \in \mathbf{Z}}{ }_{\geqslant 0} \in \mathbf{Z}[\underline{X}]^{\mathbf{Z}}{ }^{\geqslant 0}$.

- The unicity is obvious in $\mathbf{Z}\left[p^{-1}\right][\underline{X}, \underline{Y}]$ by induction.

Example 5. One has

$$
\left\{\begin{array}{l}
S_{0}\left(X_{0}, Y_{0}\right)=X_{0}+Y_{0} \\
P_{0}\left(X_{0}, Y_{0}\right)=X_{0} Y_{0}
\end{array}\right.
$$

and

$$
\left\{\begin{array}{l}
S_{1}\left(X_{0}, X_{1}, Y_{0}, Y_{1}\right)=X_{1}+Y_{1}-\sum_{i=1}^{p-1} \frac{1}{p}\binom{p}{i} X_{0}^{i} Y_{0}^{p-i} \\
P_{1}\left(X_{0}, X_{1}, Y_{0}, Y_{1}\right)=X_{1} Y_{0}^{p}+X_{0}^{p} Y_{1}+p X_{1} Y_{1}
\end{array}\right.
$$

Definition 6. Let $A$ be a ring. Put

$$
\mathrm{W}(A)=A^{\mathbf{Z}_{\geqslant 0}}
$$

(as a set). If $\underline{a}=\left(a_{0}, a_{1}, \ldots\right), \underline{b}=\left(b_{0}, b_{1}, \ldots\right) \in \mathrm{W}(A)$, put

$$
\begin{aligned}
\underline{a}+\underline{b} & =\left(S_{n}(\underline{a}, \underline{b})\right)_{n \in \mathbf{Z}_{\geqslant 0}} \\
\underline{a} \cdot \underline{b} & =\left(P_{n}(\underline{a}, \underline{b})\right)_{n \in \mathbf{Z}_{\geqslant 0}} \\
-\underline{a} & =\left(I_{n}(\underline{a})\right)_{n \in \mathbf{Z}_{\geqslant 0}}
\end{aligned}
$$

Remark 7. The map $\Phi_{A}: A^{\mathbf{Z}}{ }_{\geqslant 0} \rightarrow A^{\mathbf{Z}} \mathbf{Z}_{\geqslant 0}$ above is seen as a map $\Phi_{A}: \mathrm{W}(A) \rightarrow A^{\mathbf{Z} \geqslant 0}$.
Proposition 8. (1) $A \mapsto(\mathrm{~W}(A),+,$.$) is a functor on Ring to the category of sets endowed with two$ composition laws.
(2) If $p$ is not a zero-divisor (resp. is a unit) in $A$, then $\Phi_{A}$ is injective (resp. bijective).
(3) $(\mathrm{W}(A),+,$.$) is a commutative ring with zero element \underline{0}=(0,0, \ldots)$ and unit $(1,0,0, \ldots)$. The map $\Phi_{A}$ is a ring homomorphism.

Proof. (1) and (2) are obvious. For (3), let $B \rightarrow A$ be a surjective ring homomorphism, such that $p$ is not a zero-divisor in $B$ (one can take $B=\mathbf{Z}\left[X_{a}\right]_{a \in A}$, and $B \rightarrow A ; X_{a} \mapsto a$ ). As $\Phi_{B}$ is injective, $(\mathbf{W}(B),+,$. identifies (via $\Phi_{B}$ ) with a subring of $B^{\mathbf{Z} \geqslant 0}$ (with the product structure). Since $B \rightarrow A$ is surjective, so is $\mathrm{W}(B) \rightarrow \mathrm{W}(A)$, and $(\mathrm{W}(A),+,$.$) fulfills the ring axioms.$
Definition 9. Let $A$ be a ring. The Teichmüller representative of $a \in A$ is $[a]:=(a, 0,0, \ldots) \in \mathbb{W}(A)$.
Proposition 10. Let $A$ be a ring. If $a, b \in A$, then $[a b]=[a]$. $[b]$ in $W(A)$.
Proof. Here again, it is enough to check the equality when $A$ has no $p$-torsion, hence after applying $\Phi_{A}$ (since it is injective in the $p$-torsionfree case), but $\Phi_{A}([a])=\left(a, a^{p}, a^{p^{2}}, \ldots\right)$ is multiplicative.
Proposition 11. There exists a sequence $\left(F_{n}\right)_{n \in \mathbf{Z}_{\geqslant 0}} \in \mathbf{Z}[\underline{X}]^{\mathbf{Z}}{ }^{\geqslant 0}$ such that $F_{n}(\underline{X}) \in \mathbf{Z}\left[X_{0}, \ldots, X_{n+1}\right]$ and

$$
\left(\forall n \in \mathbf{Z}_{\geqslant 0}\right) \Phi_{n}\left(F_{0}(\underline{X}), \ldots, F_{n}(\underline{X})\right)=\Phi_{n+1}(\underline{X})
$$

Proof. As in the proof of proposition 4, it is enough, using lemma 3, to check that if $A=\mathbf{Z}[\underline{X}]$, we have $\varphi\left(\Phi_{n}(\underline{X})\right) \equiv \Phi_{n+1}(\underline{X}) \bmod p^{n+1} A$ for all $n \in \mathbf{Z}_{\geqslant 0}$, which is trivial. Here again, the unicity in $\mathbf{Z}\left[p^{-1}\right][\underline{X}]$ is obvious by induction.

Example 12. We have

$$
\left\{\begin{array}{l}
F_{0}\left(X_{0}, X_{1}\right)=X_{0}^{p}+p X_{1} \\
F_{1}\left(X_{0}, X_{1}, X_{2}\right)=X_{1}^{p}+p X_{2}-\sum_{i=1}^{p}\binom{p}{i} p^{i-1} X_{1}^{i} X_{0}^{p(p-i)}
\end{array}\right.
$$

Definition 13. Let $A$ be a ring. The Frobenius map of $\mathrm{W}(A)$ is

$$
F(\underline{a})=\left(F_{0}(\underline{a}), F_{1}(\underline{a}), \ldots\right)
$$

Proposition 14. Let $A$ be a ring.
(1) $(\forall a \in A) F([a])=\left[a^{p}\right]$.
(2) $\left(\forall n \in \mathbf{Z}_{\geqslant 0}\right) F_{n}(\underline{X}) \equiv X_{n}^{p} \bmod p \mathbf{Z}[\underline{X}]$. In particular, it $p A=0$, then $F\left(a_{0}, a_{1}, \ldots\right)=\left(a_{0}^{p}, a_{1}^{p}, \ldots\right)$.

Proof. (1) Considering a surjective ring homomorphism $B \rightarrow A$ where $B$ has no $p$-torsion, which gives rise to a surjective ring homomorphism $\mathrm{W}(B) \rightarrow \mathrm{W}(A)$, we may reduce to the case where $A$ has no $p$ torsion. Then $\Phi_{A}: \mathrm{W}(A) \rightarrow A^{\mathbf{Z} \geqslant 0}$ is injective: it is enough to check that $\Phi_{A}(F([a]))=\Phi_{A}\left(\left[a^{p}\right]\right)$, i.e. that $\Phi_{n+1}([a])=a^{p^{n+1}}=\Phi_{n}\left(\left[a^{p}\right]\right)$.
(2) By induction on $n \in \mathbf{Z}_{\geqslant 0}$, the case $n=0$ following from the equality $F_{0}(\underline{X})=X_{0}^{p}+p X_{1}$. Let $n \in \mathbf{Z}_{>0}$ be such that $F_{i}(\underline{X}) \equiv X_{i}^{p} \bmod p \mathbf{Z}[\underline{X}]$ for $i \in\{0, \ldots, n-1\}$ : we have $F_{i}(\underline{X})^{p^{n-i}} \equiv X_{i}^{p^{n+1-i}} \bmod p^{n+1-i} \mathbf{Z}[\underline{X}]$ for $i \in\{0, \ldots, n-1\}$ by lemma 2 , hence

$$
\Phi_{n+1}(\underline{X})=\Phi_{n}\left(F_{0}(\underline{X}), \ldots, F_{n}(\underline{X})\right)=\sum_{i=0}^{n} p^{i} F_{i}(\underline{X})^{p^{n-i}} \equiv p^{n} F_{n}(\underline{X})+\sum_{i=0}^{n-1} p^{i} X_{i}^{p^{n+1-i}} \bmod p^{n+1} \mathbf{Z}[\underline{X}]
$$

As $\sum_{i=0}^{n-1} p^{i} X_{i}^{p^{n+1-i}}=\Phi_{n+1}(\underline{X})-p^{n} X_{n}^{p}-p^{n+1} X_{n+1}$, this implies that $p^{n} F_{n}(\underline{X}) \equiv p^{n} X_{n}^{p} \bmod p^{n+1} \mathbf{Z}[\underline{X}]$ i.e. $F_{n}(\underline{X}) \equiv X_{n}^{p} \bmod p \mathbf{Z}[\underline{X}]$.
Definition 15. Let $A$ be a ring. The Verschiebung of $\underline{a}=\left(a_{0}, a_{1}, \ldots\right) \in \mathrm{W}(A)$ is

$$
V(\underline{a})=\left(0, a_{0}, a_{1}, \ldots\right)
$$

Proposition 16. Let $A$ be a ring and $\underline{a}, \underline{b} \in \mathrm{~W}(A)$.
(1) We have

$$
\left\{\begin{array}{l}
\Phi_{A}(F(\underline{a}))=\left(\Phi_{1}(\underline{a}), \Phi_{2}(\underline{a}), \ldots\right)=f\left(\Phi_{A}(\underline{a})\right) \\
\Phi_{A}(V(\underline{a}))=\left(0, p \Phi_{0}(\underline{a}), p \Phi_{1}(\underline{a}), \ldots\right)=v\left(\Phi_{A}(\underline{a})\right)
\end{array}\right.
$$

where $f(\underline{X})=\left(X_{1}, X_{2}, \ldots\right)$ and $v(\underline{X})=\left(0, p X_{0}, p X_{1}, \ldots\right)$.
(2) $F$ is a ring endomorphism.
(3) $V$ is an group endomorphism of $(\mathrm{W}(A),+)$.
(4) $F V=p \operatorname{ld}_{\mathrm{W}_{(A)}}$ and $V F(\underline{a})=(0,1,0, \ldots) \cdot \underline{a}$.
(5) $V(\underline{a} \cdot F(\underline{b}))=V(\underline{a}) \cdot \underline{b}$ and $V(\underline{a}) \cdot V(\underline{b})=p V(\underline{a} \cdot \underline{b})$.
(6) $F(\underline{a}) \equiv \underline{a}^{p} \bmod p \mathrm{~W}(A)$.
(7) $\underline{a}=\left[a_{0}\right]+V\left(\underline{a}^{\prime}\right)$ where $\underline{a}^{\prime}=\left(a_{1}, a_{2}, \ldots\right)$. In particular $\underline{a}=\sum_{n=0}^{\infty} V^{n}\left(\left[a_{n}\right]\right)$.

Proof. (1) is computation. Using the usual trick, the proof of properties (2)-(7) reduces to the case when $A$ has no $p$-torsion, hence after applying $\Phi_{A}$ since the latter is injective. (2) (resp. (3)) follows from the fact that $f$ (resp. $v$ ) is a ring (resp. a group) homomorphism. (4) follows from the equality $f \circ v=p$ and $\Phi_{A}(0,1,0,0, \ldots)=(0, p, p, \ldots)$. (5) follows from the corresponding statements on $f$ and $v$ in $A^{\mathbf{Z}} \mathbf{Z o n}_{0}$. To prove (6), we check that $\Phi_{A}(F(\underline{a})) \equiv \Phi_{A}\left(\underline{a}^{p}\right) \bmod p \operatorname{lm}\left(\Phi_{A}\right)$, i.e. that $f\left(\Phi_{A}(\underline{a})\right)-\Phi_{A}\left(\underline{a}^{p}\right) \in p \operatorname{lm}\left(\Phi_{A}\right)$. By lemma 3, this follows from the congrucences

$$
\varphi\left(\Phi_{n+1}(\underline{X})-\Phi_{n}(\underline{X})^{p}\right) \equiv \Phi_{n+2}(\underline{X})-\Phi_{n+1}(\underline{X})^{p} \quad \bmod p^{n+2} \mathbf{Z}[\underline{X}]
$$

which are obvious since $\varphi\left(\Phi_{n}(\underline{X})\right)=\Phi_{n+1}(\underline{X})-p^{n+1} X_{n+1}$. Finally, (7) follows from the equalities $\Phi_{0}(\underline{a})=a_{0}$ and $\Phi_{n}(\underline{a})=a_{0}^{p^{n}}+p \Phi_{n-1}\left(\underline{a}^{\prime}\right)$ for all $n \in \mathbf{Z}_{>0}$, which precisely mean that $\Phi_{A}(\underline{a})=\Phi_{A}\left(\left[a_{0}\right]+V\left(\underline{a}^{\prime}\right)\right)$.
Definition 17. Let $A$ be a ring. For $n \in \mathbf{Z}_{\geqslant 0}$, let

$$
\operatorname{Fil}^{n} \mathrm{~W}(A)=V^{n}(\mathbb{W}(A))=\left\{\left(0, \ldots, 0, a_{n}, a_{n+1}, \ldots\right) ;\left(a_{k}\right)_{k \geqslant n} \in A^{\mathbf{Z} \geqslant n}\right\} \subset \mathrm{W}(A) .
$$

This defines a decreasing filtration on $\mathrm{W}(A)$.
As $V^{n}(\underline{a}+\underline{b})=V^{n}(\underline{a})+V^{n}(\underline{b})$ and $V^{n}(\underline{a}) \cdot \underline{b}=V^{n}\left(\underline{a} \cdot F^{n}(\underline{b})\right), \mathrm{Fil}^{n} \mathrm{~W}(A)$ is an ideal of $\mathrm{W}(A)$.
Definition 18. Let $A$ be a ring. The ring of Witt vectors of length $n$ is $\mathrm{W}_{n}(A):=\mathrm{W}(A) / \mathrm{Fil}^{n} \mathrm{~W}(A)$.

Remark 19. In general, we have $V^{n}(\mathrm{~W}(A)) V^{m}(\mathrm{~W}(A)) \not \not V^{n+m}(\mathrm{~W}(A))$, so the filtration is not compatible with the ring structure (however this is true if $p A=0$ ).
Proposition 20. Let $A$ be a ring such that $p A=0$.
(1) $F V(\underline{a})=V F(\underline{a})=p \underline{a}=\left(0, a_{0}^{p}, a_{1}^{p}, \ldots\right)($ so $(0,1,0,0 \ldots)=p)$.
(2) $V^{n}(\underline{a}) V^{m}(\underline{b})=V^{n+m}\left(F^{m}(\underline{a}) \cdot F^{n}(\underline{b})\right)$.
(3) The $p$-adic and the $V(\mathrm{~W}(A))$-adic filtration are the same, and finer than that defined by the filtration. In particular, $\mathrm{W}(A)$ is complete and separated for the $p$-adic topology.
(4) If $A$ is perfect, all these topologies are the same, and $\mathrm{W}(A) / p \mathrm{~W}(A) \xrightarrow{\sim} A$, and ${ }^{(1)}$

$$
\underline{a}=\left(a_{0}, a_{1}, \ldots\right)=\sum_{n=0}^{\infty} V^{n}\left(\left[a_{n}\right]\right)=\sum_{n=0}^{\infty} V^{n} F^{n}\left(\left[a_{n}^{p^{-n}}\right]\right)=\sum_{n=0}^{\infty} p^{n}\left[a_{n}^{p^{-n}}\right]
$$

Proof. (1) Follows from proposition 14 (2): if $\underline{a}=\left(a_{n}\right)_{n \in \mathbf{Z}_{\geqslant 0}} \in \mathbf{W}(A)$, we have $F(\underline{a})=\left(a_{0}^{p}, a_{1}^{p}, \ldots\right)$, so $V F(\underline{a})=\left(0, a_{0}^{p}, a_{1}^{p}, \ldots\right)=F V(\underline{a})$, so that $V F=F V=p \operatorname{ld}_{\mathrm{w}_{(A)}}$.
By proposition $16(5)$, we have $V(\underline{a}) \cdot \underline{b}=V(\underline{a} \cdot F(\underline{b}))$, hence $V^{n}(\underline{a}) \cdot \underline{b}=V^{n}\left(\underline{a} \cdot F^{n}(\underline{b})\right.$ ) by an immediate induction on $n \in \mathbf{Z}_{\geqslant 0}$. Applied to $V^{m}(\underline{b})$ instead of $\underline{b}$, we get $V^{n}(\underline{a}) \cdot V^{m}(\underline{b})=V^{n}\left(\underline{a} \cdot F^{n} V^{m}(\underline{b})\right)$. As $F^{n} V^{m}(\underline{b})=V^{m} F^{n}(\underline{b})($ by $(1))$, we have $\underline{a} \cdot F^{n} V^{m}(\underline{b})=V^{m}\left(F^{m}(\underline{a}) \cdot F^{n}(\underline{b})\right)$, hence the result.
For (3), one proves by induction that $(V(\overline{\mathrm{~W}}(A)))^{k}=p^{k-1} V(\mathrm{~W}(A))$ (using the second formula of proposition 16 (5)). As $p \mathrm{~W}(A)=V F(\mathrm{~W}(A)) \subset V(\mathrm{~W}(A))$, one has $p^{k} \mathrm{~W}(A) \subset(V(\mathrm{~W}(A)))^{k} \subset p^{k-1} \mathrm{~W}(A)$. Moreover, we have
(*) $\quad p^{k} \mathrm{~W}(A)=V^{n} F^{n}(\mathrm{~W}(A))=\left\{\left(0, \ldots, 0, a_{k}, a_{k+1}, \ldots\right) \in \mathrm{W}(A) ;\left(\forall n \in \mathbf{Z}_{\geqslant 0}\right) a_{n} \in A^{p^{k}}\right\} \subset \mathrm{Fil}^{k} \mathrm{~W}(A)$
so that the $p$-adic topology is finer that that defined by the filtration Fil ${ }^{\bullet} \mathrm{W}(A)$.
(4) follows from the fact that ( $*$ ) is an equality when $A$ is perfect.

## Exercises ${ }^{(2)}$

Exercise 21. Let $p$ be a prime number and $A$ a ring of characteristic $p$.
(1) Show that $\mathrm{W}(A)$ is an integral domain if and only if $A$ is an integral domain.
(2) Show that $\mathrm{W}(A)$ is reduced if and only if $A$ is reduced.
(3) Show that $A$ is perfect if and only if $\mathrm{W}(A) / p \mathrm{~W}(A)$ is reduced.

Exercise 22. Let $A$ be a ring of characteristic $p$. Show that the $V$-adic and the $p$-adic topologies coincide if and only if the map $A \rightarrow A ; a \mapsto a^{p}$ is surjective.

Exercise 23. Let $k$ be a field of characteristic $p$. Show that $W(k)$ is noetherian if and only if $k$ is perfect [hint: compute $\operatorname{dim}_{k}\left(V(\mathrm{~W}(k)) / V(\mathrm{~W}(k))^{2}\right)$ ].

Exercise 24. Let $A$ be a ring and $p$ a prime number which is not a zero divisor in $A$. Let $\sigma: A \rightarrow A$ be an endomorphism such that $\sigma(a) \equiv a^{p} \bmod p A$ for all $a \in A$.
(1) Show that there exists a unique ring homomorphism $s_{\sigma}: A \rightarrow \mathrm{~W}(A)$ such that $s_{\sigma} \circ \sigma=F_{A} \circ s_{\sigma}$ and $\Phi_{0} \circ s_{\sigma}=\mathrm{Id}_{A}$.
(2) Let $B$ be a ring such that $p$ is not a zero divisor in $B$, and $\sigma^{\prime}: B \rightarrow B$ an endomorphism such that $\sigma^{\prime}(b) \equiv b^{p} \bmod p B$ for all $b \in B$, and $u: A \rightarrow B$ a ring homomorphism such that $u \circ \sigma=\sigma^{\prime} \circ u$. Show that $\mathrm{W}(u) \circ s_{\sigma}=s_{\sigma^{\prime}} \circ u$.
(3) Let $t_{\sigma}: A \rightarrow \mathrm{~W}(A / p A)$ be the composite of $s_{\sigma}$ and the natural ring homomorphism $\mathrm{W}(A) \rightarrow \mathrm{W}(A / p A)$. Show that $t_{\sigma}$ induces a ring homomorphism $t_{\sigma, n}: A / p^{n} A \rightarrow \mathrm{~W}_{n}(A / p A)$ for all $n \in \mathbf{Z}_{>0}$.
(4) Show that $t_{\sigma, n}$ is an isomorphism when $A / p A$ is perfect.
(5) Show that if $A / p A$ is perfect and $A$ is separated and complete for the $p$-adic topology, then $t_{\sigma}$ is an isomorphism.

[^0]Exercise 25. Let $A$ be a ring and $p$ a prime number which is not a zero divisor in $A$.
(1) Show there exists a unique ring homomorphism $s_{A}: \mathrm{W}(A) \rightarrow \mathrm{W}(\mathrm{W}(A))$ such that $s_{A} \circ F_{A}=F_{\mathrm{W}(A)} \circ s_{A}$ and $\Phi_{0} \circ s_{A}=\operatorname{Id}_{W(A)}$. Show that it is the unique ring homomorphism such that $\Phi_{n} \circ s_{\sigma}=F_{A}^{n}$ for all $n \in \mathbf{Z}_{\geqslant 0}$.
(2) Let $\mathcal{A}=\mathbf{Z}\left[X_{n}\right]_{n \in \mathbf{Z}_{\geqslant 0}}$ and $\mathbf{X}=\left(X_{n}\right)_{n \in \mathbf{Z}_{\geqslant 0}} \in \mathbf{W}(\mathcal{A})$. Write $s_{\mathcal{A}}(\mathbf{X})=\left(s_{n}(\mathbf{X})\right)_{n \in \mathbf{Z}_{\geqslant 0}}$, where $s_{n}(\mathbf{X}) \in \mathbf{W}(\mathcal{A})$. Show that $s_{A}(\underline{a})=\left(s_{n}(\underline{a})\right)_{n \in \mathbf{Z}}{ }_{\geqslant 0}$ for all $\underline{a}=\left(a_{0}, a_{1}, \ldots\right) \in \mathbf{W}(A)$.
(3) For all ring homomorphism $u: A \rightarrow B$, show that $s_{B} \circ \mathrm{~W}(u)=\mathrm{W}(\mathrm{W}(u)) \circ s_{A}$.
(4) Show that the maps $\mathrm{W}\left(s_{A}\right) \circ s_{A}$ and $s_{\mathrm{W}(A)} \circ s_{A}$ from $W(A)$ to $\mathrm{W}(\mathrm{W}(\mathrm{W}(A)))$ are equal.

Exercise 26. Let $K$ be a local field of characteristic $p>0$. Show that it has only one coefficient field.
Exercise 27. Let $(K,||$.$) be a local field, \bar{K}$ an algebraic closure of $K$, and $k / \kappa_{K}$ a finite field extension. Denote by $L$ the unique subextension of $\bar{K} / K$ that is unramified and such that $\kappa_{L}=k$. Show that

$$
L \simeq \begin{cases}k \otimes_{\kappa_{K}} K & \text { if } \operatorname{char}(K)=\operatorname{char}\left(\kappa_{K}\right) \\ \mathrm{W}(k) \otimes_{\mathrm{W}\left(\kappa_{K}\right)} K & \text { if } \operatorname{char}(K) \neq \operatorname{char}\left(\kappa_{K}\right)\end{cases}
$$

Exercise 28. Let $\mathbf{Q}_{p}^{\mathrm{ur}}$ be the maximal unramified extension of $\mathbf{Q}_{p}$ in $\overline{\mathbf{Q}}_{p}$. Show that the completion of $\mathbf{Q}_{p}^{\mathrm{ur}}$ for $|.|_{p}$ is $W\left(\overline{\mathbf{F}}_{p}\right)\left[p^{-1}\right]$.

## References

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[^0]:    ${ }^{(1)}$ Using proposition 16 (7).
    ${ }^{(2)}$ Mostly from Bourbaki.

