ON THE CANONICAL DEGREES OF CURVES IN VARIETIES OF GENERAL TYPE

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Introduction

In this paper, we work in the framework of complex analytic varieties; without contrary mention, varieties are assumed to be irreducible (and reduced). If C is a projective curve, we let g_C be its geometric genus (namely, the genus of its desingularization) and $\chi(C) = 2 - 2g_C$ its geometric Euler characteristic; we also write $\deg_C L$ for the degree of a line bundle L on C.

Given a smooth projective variety of general type X and a integer g, a widely believed conjecture predicts that there exists a strict closed subset Z of X and an integer d such that every curve of genus g drawn on X and not contained in Z has degree at most d. An effective version of this conjecture can be stated in the following way:

Conjecture 1. Let X be a smooth projective variety of general type; let K_X be the canonical line bundle of X. Then there exist real numbers A and B, and a strict Zariski closed subset $Z \subset X$ such that for every curve $C \subset X$ such that $C \not\subset Z$, we have

$$\deg_C(K_X) \le A(2g_C - 2) + B.$$

Combined with the Riemann–Hurwitz formula, this conjecture would imply that for any finite morphism $g: C \to X$ such that $g(C) \not\subset Z$,

$$\deg_C(g^*K_X) \le A(2g_C - 2) + B[C : g(C)].$$

The conjecture is obvious when $\dim(X)=1$. For surfaces, the most important result in its direction is a now well-known theorem by Bogomolov [4] (and its further developments) who proves such a statement under the additional assumption that X is a minimal surface with positive Segre class. Under the supplementary assumption that X is Brody-hyperbolic, *i.e.*, that any holomorphic map from \mathbf{C} to X is constant, Demailly established this conjecture in [8] (Theorem 2.1); he shows that one may take $Z=\emptyset$ and B=0.

Of course, an effective geometric upper-bound of the real numbers A and B of the conjecture would be of the utmost interest. In his interesting paper [13], Miyaoka establishes a fundamental inequality between the self-intersection of a projective curve C drawn on a minimal projective surface of general type X, its canonical degree and numerical invariants of the surface itself. When one restricts oneself to smooth curves, one has $C^2 = 2g_C - 2 - \deg_C(K_X)$, and Miyaoka can show effectively, for any positive real number ε , the existence of a real number B (depending on ε) such that every smooth

projective curve C contained in X satisfies

$$\deg_C K_X \le (\frac{3}{2} + \varepsilon)(2g_C - 2) + B.$$

This analysis can be extended to nodal curves with a bounded number of singular points.

While no method is known to understand the constant B, many interesting conjectures and guesses have been made concerning the constant A and its geometric meaning.

Exploiting the analogy between number theory, Nevanlinna theory and algebraic geometry, P. Vojta [17] proposed very deep and ambitious conjectures bounding the canonical height of algebraic points of bounded degree in fibrations. The first version of his conjectures suggests that for any positive real number ε , conjecture 1 could hold with $A = \dim(X) + \varepsilon$, the constant B and the exceptional locus Z depending on ε , provided one restricts oneself to curves of given gonality. (Recall that the gonality of a projective curve is the least degree of a non-constant morphism to the projective line; obviously, horizontal curves of bounded degree in a fibration have bounded gonality.) A more recent version of his conjecture, published in [18] replaces $A = \dim X + \varepsilon$ by the more optimistic $A = 1 + \varepsilon$, again with the same restriction on gonality.

More prudently, McQuillan [11] asked whether the constant A could be related to the holomorphic sectional curvature of the variety X. The holomorphic sectional curvature of hermitian symmetric domains has been explicitly bounded by Azukawa in [2], see Lemma 4 below. Our first contribution in this paper is the observation that this result implies a precise and explicit form of conjecture 1 for compact locally symmetric varieties, with $A = \dim(X)$.

Proposition 2. Let M be a bounded hermitian domain and let X be a compact quotient of M. Then, for any projective curve C and any finite morphism $g: C \to X$,

$$\deg_C(g^*K_X) \le \dim(X)(2g_C - 2).$$

Conversely, and this was the original motivation of this note, we also want to indicate, by very elementary examples, that one cannot go under $A = \dim(X)$ in general, or, in other words, that Vojta's refined expectation cannot hold without the above-mentioned restriction on the gonality. Namely, we shall prove the following theorem.

Theorem 3. Let d be an integer such that $d \geq 2$. There exists a smooth projective variety X of dimension d whose canonical bundle K_X is ample and a family (C_n, f_n) , where C_n is a smooth projective curve and $f_n: C_n \to X$ is a finite morphism satisfying the following properties:

- a) $\deg_{C_n}(f_n^*K_X) = d(2g_{C_n} 2);$
- b) $\lim_{n} g_{C_n} = +\infty;$ c) the union of their images $f_n(C_n)$ is dense in X for the Zariski topology.

We show (Theorem 5) that one may take for X any compact Shimura variety associated to any \mathbf{Q} -anisotropic, semisimple, simply connected \mathbf{Q} -group \mathbf{G} , provided X contains at least one totally geodesic compact Riemann surface C. The curves C_n are then images of C under Hecke correspondences. Using weak approximation at the real place, we show indeed that these curves are Zariski dense in X.

We give in a final section an entirely algebraic approach to this Theorem, using powers of Shimura curves. Because of the cusps, considering modular curves in a power of a classical modular curves leads to a slightly weaker result.

We view these examples as a nice implementation of McQuillan's principle that Shimura varieties are a rich source of examples for Diophantine geometry.

A first observation to make is that Theorem 3 does not contradict Miyaoka's result [13] mentioned above. Indeed, there is no reason that the curves $f_n(C_n)$ in X are smooth. However, in our examples, the morphism f_n will be an immersion in the sense of differential geometry, *i.e.*, its tangent map is injective at each point.

Observe also that Theorem 3 does not contradict Vojta's expectations either, since he restricts himself to curves in a fibration which have bounded degree. The gonality of such curves is obviously bounded, while, according to a theorem of Abramovich [1], the gonality of modular curves tends to infinity.

The geometric conjecture 1 has an arithmetic analogue, due to Vojta. In a similar spirit, we refer to the paper [10] for examples that show that Vojta's conjecture cannot be extended to algebraic points.

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1. HERMITIAN SYMMETRIC SPACES; BERGMAN METRIC

We first review well known general facts of differential geometry in Hermitian symmetric spaces. Since different authors use different normalizations, we found necessary to recall the precise definitions.

Let M be a Hermitian symmetric space, namely a connected Kähler complex manifold such that each point of M is an isolated fixed point of an involutive holomorphic isometry of M. Set $m = \dim(M)$.

Following the notation of [9] (chap. VIII, §4), let A(M) be the group of holomorphic isometries of M and let $G = A_0(M)$ be its identity component; this is a real connected Lie group. We fix a point $o \in M$ and consider its stabilizer K in $A_0(M)$; this is a compact subgroup; as a real manifold, M can be identified to the homogeneous space G/K.

We shall always assume that M is of noncompact type: G is semisimple and K is a maximal compact subgroup of G; then M is simply connected ([9], p. 376, Theorem 4.6) and there exists ([9], p. 382, Theorem 7.1) a holomorphic diffeomorphism from M to a bounded symmetric domain D_M in the complex space \mathbb{C}^m , "symmetric" meaning that each point of D_M is an isolated fixed point of an involutive holomorphic diffeomorphism of D_M onto itself.

Over the tangent bundle TD_M of D_M there exists a Kähler metric ω , for which the following property holds: Let $\|\cdot\|_{KE}$ the induced metric on the canonical bundle $K_{D_M} = \bigwedge^m \Omega^1_{D_M}$, then the following formula relates the Kähler form ω and the first Chern form of K_{D_M} :

$$(1) \qquad \qquad \omega = c_1(K_{D_M}, \|\cdot\|_{KE}).$$

Such a metric is called Kähler-Einstein and in this case it coincides with the Bergman metric. Its existence is proved for instance in Proposition 3.6 (p. 371) of [9]. Once we fix such a normalization, as we do now, this metric is uniquely determined. Any holomorphic diffeomorphism of D_M is an isometry with respect to that metric ([9], p. 370, Prop. 3.5). We assume, as we may, that the Kähler structure of M is the pull-back of the fixed Kähler-Einstein metric on D_M by some (hence, any) holomorphic diffeomorphism of M to D_M .

2. Holomorphic sectional curvature

Let us recall a definition of the holomorphic sectional curvature. Let $p \in M$ and let us consider holomorphic maps $\gamma \colon U \to M$, where U is the open unit disk in \mathbb{C} , such that $\gamma(0) = 0$ and $\gamma'(0) \neq 0$. Let us write $\gamma^*\omega = F_{\gamma}(z)i\mathrm{d}z \wedge \mathrm{d}\bar{z}$ on U, where F_{γ} is nonnegative, $F_{\gamma}(0) \neq 0$. In a neighborhood of 0, the form $\gamma^*\omega$ defines a metric on the canonical bundle K_U and its curvature form is given by

$$R_{\gamma} = \mathrm{dd^c} \log(F_{\gamma}).$$

The Ricci curvature $\operatorname{Ric}_{\gamma}$ of $\gamma^*\omega$ is defined by the formula

$$\operatorname{Ric}_{\gamma}(z)F_{\gamma}(z)idz \wedge d\bar{z} = -\operatorname{dd^{c}}\log(F_{\gamma})$$

where $dd^c = \frac{i}{2\pi} \partial \bar{\partial}$. This is a function on a neighborhood of 0 in U, and its value at z = 0 only depends on the tangent line at p generated by $\gamma'(0)$.

The holomorphic sectional curvature $S_M(p)$ at p is then defined as

(2)
$$S_M(p) = \sup_{\substack{\gamma \colon U \to M \\ \gamma(0) = p}} \operatorname{Ric}_{\gamma}(0)$$

Since the group of holomorphic isometries of M acts transitively on M, the holomorphic sectional curvature is actually independent of p; we shall

denote it by S_M . Since M is a Hermitian symmetric space of the non-compact type ([9], Ch. VIII, Theorem 7.1, p. 382), we know that S_M is negative ([9], Ch. V, Theorem 3.1, p. 241).

Corollary 8.5 (i) in [2] asserts that the holomorphic sectional curvature satisfies the following inequality:

Lemma 4 (Azukawa). One has

$$\frac{-1}{S_M} \le \dim(M).$$

3. Compact quotients

Let Γ be a cocompact discrete subgroup of G which acts without fixed points on M. Then, $X_{\Gamma} = \Gamma \backslash M$ is a compact connected complex manifold. The Kähler-Einstein structure descends to a Kähler-Einstein metric ω_{Γ} on X_{Γ} . The canonical line bundle $K_{X_{\Gamma}}$ is naturally metrized; in view of Equation (1), its Chern form satisfies

$$\omega_{\Gamma} = c_1(K_{X_{\Gamma}}, \|\cdot\|),$$

hence is positive. By Kodaira's theorem, X_{Γ} is a projective complex manifold.

4. Compact Riemann surfaces

Let Y be a smooth compact Riemann surface and let $f: Y \to X_{\Gamma}$ be a finite morphism. One has

(3)
$$\int_{Y} f^{*}(\omega_{\Gamma}) = \deg_{Y}(f^{*}K_{X_{\Gamma}}).$$

Moreover, the form $f^*(\omega_{\Gamma})$ induces a hermitian metric on the canonical line bundle $K_Y(-\Delta_f)$ of Y, where Δ_f is the branching divisor of f. Let R_Y be its curvature, one has

$$\int_Y R_Y = \deg(K_Y - \Delta_f) = -\chi(Y) - \deg(\Delta_f).$$

By the definition of the holomorphic sectional curvature,

$$R_Y > -S_M f^*(\omega_{\Gamma}).$$

This shows that

$$(4) -\chi(Y) \ge -\chi(Y) - \deg(\Delta_f) \ge -S_M \deg_Y(f^*K_{X_{\Gamma}}).$$

Given Lemma 4, this establishes Proposition 2.

5. Totally geodesic Riemann surfaces

Let (X, ω) be a Kähler complex manifold, let Y be a Riemann surface and let $f: Y \to X$ be a holomorphic immersion. We say that f is totally geodesic if any geodesic of X contained in Y is a geodesic of Y with respect to the Kähler form $f^*\omega$. This is equivalent to the vanishing of the second fundamental form of Y ([9], Theorem 14.5, p. 80) and implies that for each $p \in Y$, the Ricci curvature of $f^*\omega$ at p equals the holomorphic sectional curvature of X at f(p). (This follows, for example, from the Gauss equation relating

the curvature tensor of a Riemannian manifold, that of a submanifold and the second fundamental form, see [3], Theorem 1.72.)

Let us assume that $X = X_{\Gamma} = \Gamma \backslash M$. Any immersion $f: Y \to X$ lifts to a holomorphic immersion $\tilde{f}: \mathbf{D} \to M$, where \mathbf{D} is the open unit disk. The conditions for f and \tilde{f} to be totally geodesic are equivalent. Moreover, since automorphisms of M preserve its Kähler form, we obtain that for any such automorphism $g, g \circ \tilde{f}$ is totally geodesic if and only if \tilde{f} is.

For a totally geodesic map $f: Y \to X_{\Gamma}$, where Y is a compact Riemann surface, inequality (4) becomes an equality:

(5)
$$-\chi(Y) = -S_M \deg_Y(f^*K_{X_{\Gamma}}) \qquad (Y \text{ totally geodesic}).$$

6. Constructing one totally geodesic Riemann surface

It does not seem easy to construct totally geodesic compact Riemann surfaces in arbitrary compact quotients of symmetric hermitian domains. However, let us show some examples, coming from the theory of Shimura varieties.

We restrict the situation to the case where the Lie group G is given by $G = \mathbf{G}(\mathbf{R})$, for some connected semisimple and simply connected \mathbf{Q} -anisotropic linear algebraic group \mathbf{G} over \mathbf{Q} . Then, for any congruence subgroup Γ which acts without fixed point on M = G/K, the quotient space $X_{\Gamma} = \Gamma \backslash M$ is a smooth projective variety.

Let D_1 be a quaternion algebra over \mathbf{Q} and let $\mathbf{G}_1 = \operatorname{SL}(D_1)$ be the corresponding almost simple \mathbf{Q} -group. We assume that D_1 is not split, *i.e.*, is not isomorphic to $M_2(\mathbf{Q})$ but that $D_1 \otimes_{\mathbf{Q}} \mathbf{R}$ is split, so that $G_1 = \mathbf{G}_1(\mathbf{R}) \simeq \operatorname{SL}_2(\mathbf{R})$. Let $K_1 \simeq \operatorname{SO}_2(\mathbf{R})$ be a maximal compact subgroup in G_1 , let $M_1 = G_1/K_1$; then M_1 is a hermitian symmetric domain, and is isomorphic to the Poincaré upper half-plane. Finally, let Γ_1 be a congruence subgroup in $G_1(\mathbf{Q})$, small enough so as to acts freely on M_1 . Then $X_1 = \Gamma_1 \setminus M_1$ is a smooth curve.

Let F be a totally real field such that the simple F-algebra $D = D_1 \otimes_{\mathbf{Q}} F$ is not split. Let $\mathbf{G} = \operatorname{SL}(D)$ be the corresponding almost simple F-group; by Weil's restriction of scalars, we view it as a semisimple \mathbf{Q} -group. One has $G = \mathbf{G}(\mathbf{R}) \simeq \operatorname{SL}(2, \mathbf{R})^d$, where $d = [F : \mathbf{Q}]$. Similarly, let K be a maximal compact subgroup of G, let M = G/K and let Γ be a congruence subgroup of $\mathbf{G}(\mathbf{Q})$ acting freely on M. Then, $X = \Gamma \backslash M$ is a smooth projective variety.

Moreover, the algebraic group \mathbf{G}_1 embeds naturally in \mathbf{G} ; over \mathbf{R} , this is the diagonal embedding $\mathrm{SL}(2,\mathbf{R})\hookrightarrow\mathrm{SL}(2,\mathbf{R})^d$. Let us assume that K contains K_1 and that Γ contains Γ_1 . Then, the immersion $\mathbf{G}_1\hookrightarrow\mathbf{G}$ induces an immersion $X_1\hookrightarrow X$ whose image is a totally geodesic Riemann surface. (This follows, from example, from [9], Theorem 7.2, p. 224. See also [14], §4.1.)

Let us finally remark that in this example, M is a power of the Poincaré disk, hence its holomorphic sectional curvature is equal to $-1/\dim(M)$.

7. Hecke operators

Any $g \in G(\mathbf{Q})$ gives rise to a *Hecke correspondence* T_g on X_{Γ} . Let us recall its definition. Let $\Gamma_g = \Gamma \cap g^{-1}\Gamma g$; this is a congruence subgroup of

finite index in Γ . The variety $\Gamma_g \backslash M$ is smooth and admits two étale maps to X_{Γ} , given respectively by

$$p_1(\Gamma_q x) = \Gamma x, \qquad p_2(\Gamma_q x) = \Gamma g x,$$

for any $x \in M$, hence a correspondence on X_{Γ} .

Let us describe explicitly the image of a subvariety $Y \subset X_{\Gamma}$ by this correspondence. Let (h_1, \ldots, h_r) be a family of elements of Γ which represent each left-class modulo Γ_q . Let $\tilde{Y} \subset M$ be the preimage of Y; then,

$$T_g([Y]) = \sum_{i=1}^r [p(gh_i\tilde{Y})],$$

where $p: M \to X_G$ is the natural projection.

In view of this description, we observe that if Y is the totally geodesic image in X_{Γ} of a Riemann surface, then so are all the irreducible components of $T_g([Y])$.

8. Weak approximation

Since the group G is connected, $\mathbf{G}(\mathbf{Q})$ is dense in $G = \mathbf{G}(\mathbf{R})$ ([15], Theorem 7.7, p. 415). Let $\tilde{Y} \subset G$ be the preimage of Y by the projection map $G \to X_{\Gamma} = \Gamma \backslash G/K$. The union of the curves $a\tilde{Y}$, for $a \in G(\mathbf{Q})$, is therefore dense in G. It follows that the union of the curves $T_a Y$ is dense in X_{Γ} .

Let Y_0 be any fixed totally geodesic Riemann surface in X_{Γ} . We conclude that the irreducible components of the curves T_aY_0 , for $a \in G(\mathbf{Q})$, are dense in X_{Γ} . Since these components are also totally geodesic, we obtain the following result.

Theorem 5. Let X_{Γ} be a compact Shimura variety associated to a semisimple, connected and simply connected \mathbf{Q} -anisotropic algebraic group over \mathbf{Q} ; let us assume that X_{Γ} contains a totally geodesic Riemann surface.

Then, the set of projective (algebraic) curves Y in X_{Γ} such that

$$\deg_{K_{X_{\Gamma}}}(Y) = \left(\frac{-1}{S_{X_{\Gamma}}}\right) (2g_Y - 2)$$

is dense in X_{Γ} for the complex topology; in particular, it is Zariski dense.

Remark 6. When it applies, a theorem of Clozel, Oh and Ullmo [7] refines the density of Hecke orbits into an equidistribution theorem.

9. Shimura curves

In this section we give another, more explicit, approach to Theorem 3. It is obtained by considering products of Shimura curves and Hecke correspondences over them. Strictly speaking this section can be viewed as a particular case of the previous one but the present approach is entirely algebraic and relies on the modular interpretation of the Shimura curves. A similar analysis can be applied to the graph of Hecke correspondences on modular curves; because of the cusps, this leads to a slightly weaker form of Theorem 3.

Our main references concerning Shimura curves and their moduli interpretation are [12, 6]; see also [16, 5].

Let D be an indefinite quaternion algebra over \mathbf{Q} of reduced discriminant $\delta > 1$, viewed as a subalgebra of $\mathrm{M}_2(\mathbf{R})$; let R be a fixed maximal order in D (they are all conjugate) and let Γ_R be the subgroup $R^* \cap \mathrm{SL}_2(\mathbf{R})$ of $\mathrm{GL}_2(\mathbf{R})$. Elements of Γ_R act by homographies on the Poincaré upperhalf-plane \mathfrak{h} . The Shimura curve C associated to D is the quotient of \mathfrak{h} by Γ_R . It is a compact algebraic complex curve whose points are in one-to-one correspondence with the set of (isomorphism classes of) complex abelian surfaces endowed with an action of R, also called false elliptic curves. These abelian surfaces carry a canonical principal polarization once an element of square $-\delta$ is fixed in R.

See also [6, p. 594] for an adelic description of this Shimura curve, in the spirit of the general theory of Shimura varieties, as well as for its coverings induced by level-structures on these abelian surfaces. In particular, for any square free positive integer N which is prime to δ , there exists a projective curve C_N parameterizing isogenies of false degree N between false elliptic curves, that is isogenies $f: A \to A'$ commuting with the action of R such that the composition $f^{\vee} \circ f$ of f with its dual isogeny f^{\vee} is the multiplication by N. There are natural maps $s_M^N: C_N \to C_M$ and $t_M^N: C_N \to C_M$ between these curves, whenever M divides N. If $N \geq 4$, this curve is a fine moduli space; equivalently, $C_N(\mathbf{C})$ is the quotient of the upper-half-plane by a discrete, cocompact, and torsion free subgroup of $\mathrm{SL}_2(\mathbf{R})$. Since there are no cusps at infinity, the complex description of these curves, or their moduli interpretation, shows that these maps are finite and étale.

Let p > 4 be a fixed prime number, let $d \ge 2$ be a positive integer and let X be the smooth variety C_p^d . Let $N = p\ell_1 \dots \ell_{d-1}$ be the product of d distinct prime numbers not dividing δ , among which the prime p. The curve C_N admits d distinct morphisms g_1, \dots, g_d to the curve C_p , given by $g_d = s_p^N$ and $g_i = t_p^{p\ell_i} \circ s_{p\ell_i}^N$ for $1 \le i \le d-1$. Let $f_N \colon C_N \to X$ be the morphism (g_1, \dots, g_d) .

Our claim is that the variety X and the curves (C_N, f_N) we obtain satisfy the statement of Theorem 3. Since one has

$$\deg f_N^* K_X = -d\chi(C_N),$$

for any integer N, this follows from the following density property.

Lemma 7. Let p and d be fixed. Then the union of the curves $f_N(C_N)$ is dense for the Zariski topology, where N varies among the set of integers of the form $N = \ell_1 \dots \ell_{d-1} p$ as above.

Proof. The proof is by induction on d. When d=1, it clearly holds since $X=C_p$ and $f_p\colon C_p\to X$ is the identity map. Let us assume that the result holds for d-1 and let us prove it for d. Assume by contradiction that there exists a divisor H in X containing the images of all curves C_N above.

exists a divisor H in X containing the images of all curves C_N above. Let $X' = C_p^{d-1}$ and let $q: X \to X'$, $(x_1, \ldots, x_d) \mapsto (x_2, \ldots, x_d)$, be the projection obtained by forgetting the first component. For any integer N' of the form $p\ell_2 \ldots \ell_{d-1}$ which is the product of distinct prime numbers, let $f'_{N'}: C_{N'} \to X'$ be the corresponding morphism. If ℓ_1 is any prime number not dividing N' and $N = \ell_1 N'$, there is a commutative diagram

$$C_{N} \xrightarrow{f_{N}} X$$

$$\downarrow s_{N'}^{N} \qquad \downarrow q$$

$$C_{N'} \xrightarrow{f_{N'}'} X'.$$

By hypothesis, all curves $f'_{N'}(C_{N'})$ are contained in q(H), hence q(H) = X' by induction. Since dim $H = \dim X'$ and the restriction q_H of q to H is surjective, there exists a point $y' \in C_{N'}$ such that q_H is finite above $x' = f'_{N'}(y')$. This point y' corresponds to an isogeny $u: A \to A'$ between abelian surfaces endowed with an action of R, commuting with the action on R, such that $u^{\vee} \circ u$ is the multiplication by N'.

For any prime number ℓ which does not divide N' and which is totally decomposed in R, there exists a cyclic subgroup K_{ℓ} of order ℓ in A which is invariant by R. The subgroup $K_{\ell} + \ker(u)$ of A is then cyclic of order $N = \ell N'$, and R-invariant, so that the point $f_N(A \to A/(K_{\ell} + \ker(u)))$ of X is a point of H mapping to $f'_{N'}(A \to A')$ by q. Then, $\operatorname{pr}_1(f_N(A \to A/(K_{\ell} + \ker(u)))) = g_1(A \to A/(K_{\ell} + \ker(u))) = (A/K_{\ell} \to A/(K_{\ell} + \ker(u)))$.

Moreveor, for any given prime number ℓ which does not divide δ , there are infinitely many prime numbers ℓ' such that our false elliptic curves have no isogeny of false degree $\ell\ell'$, for, otherwise, almost all prime numbers would be reduced norms in D. Consequently, the fibre of q_H above $f'_{N'}(A \to A')$ is infinite, contradiction.

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