

# Artificial evolution and applications

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Mathématiques de  
Bordeaux

## **PART 1: Artificial Evolution**

### **PART 2: Applications**

- Evolutionary computation for EEG classification
- Regularity estimation with Genetic Programming

# PART 1: Artificial Evolution

## Darwinism

### Artificial Darwinism

A set of techniques grouped under a generic term

Ingredients

Evolutionary loop

Example

### Genetic Algorithms

Discrete representation: Genetic Algorithms

### Evolution strategies

Continuous representation: Evolution Strategies

### Genetic Programming

Functional representation: Genetic programming

Example: Using GP for regression

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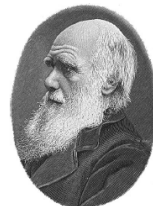
### Genetic Programming

Functional representation: Genetic programming

Example: Using GP for regression

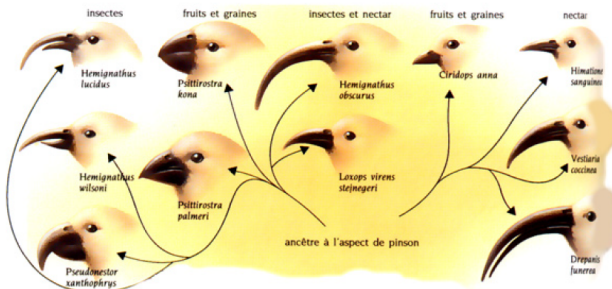
## Darwinism, evolutionism

Charles Robert Darwin (1809-1882).  
 1831 - 5 years on the HMS Beagle to Galapagos Islands.  
 November 1859, book "On the origin of species".



## The Darwin Finch

"We can say, by metaphor, that natural selection seeks, at every moment and worldwide, the slightest variations; it repels those that are harmful, it preserves and accumulates those that are useful; she works in silence, imperceptibly, everywhere and always, as soon as the opportunity arises, to improve all organized beings relative to their organic and inorganic living conditions" (Darwin, 1859).



## Simple mechanisms

- 1 Variations, macroscopic and microscopic, within species.
- 2 Fight for survival.
- 3 Natural selection: triumph of the lineage that has a useful variation in its environment.



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## Artificial Darwinism

Stochastic optimization which uses mechanisms inspired by the biological evolution, such as:

- reproduction,
- mutation,
- selection and
- survival of the strongest individuals

A set of techniques grouped under a generic term

Evolutionary Algorithms	Genetic Algorithms (GA)
	Evolution Strategies (ES)
	Genetic Programming (GP)
	...

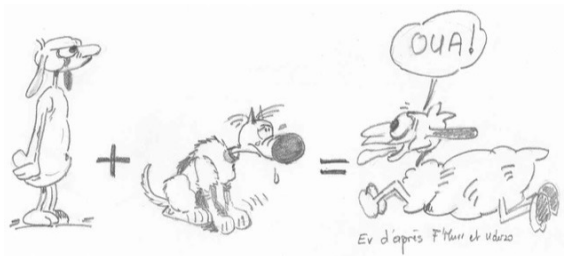
## Ingredients



Selection

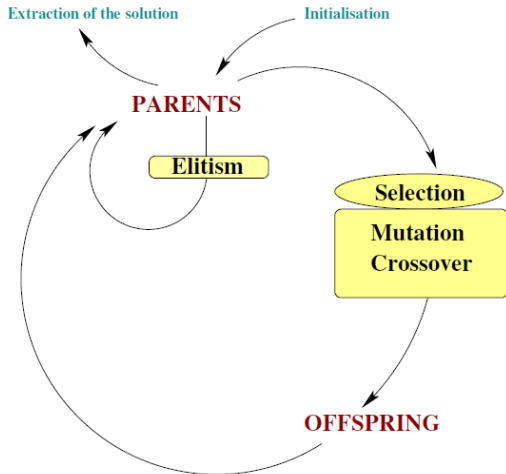


Population



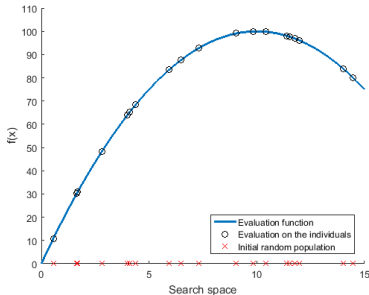
Genetic Operators

## Evolutionary loop

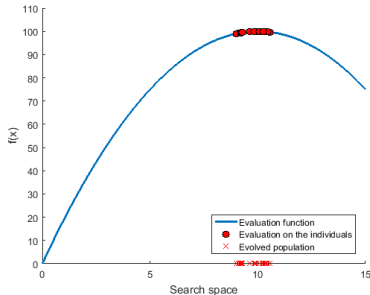


## Example

Potential Solutions = Individuals in a population



Initial random population



Evolved population

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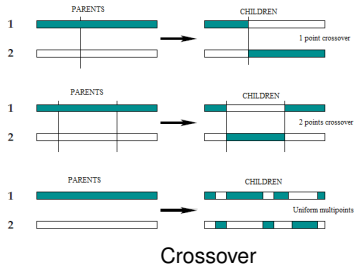
Functional representation: Genetic programming

Example: Using GP for regression

## Discrete representation: Genetic Algorithms

Each individual is represented by a binary string.

**John H. Holland (1960, 1975), David Goldberg (1989)**



Mutation of the genome

010110101011111001011100101



P<sub>m</sub>

0101101010101111001011100101

Mutation



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Each individual is a vector in  $R^n$ .

**Hans-Paul Schwefel (1970)**

### Barycentric crossover

$$\forall i \in \{1, \dots, n\}, x_i^{children} = \alpha x_i^{father} + (1 - \alpha) x_i^{mother}$$

$\alpha$  random value in  $[-\epsilon, 1 + \epsilon]$ .

### Gaussian mutation

$$\forall i \in \{1, \dots, n\}, x_i^{children} = x_i^{children} + N(0, \sigma)$$

Two parameters  $P_m$  and  $\sigma$ .

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Demo

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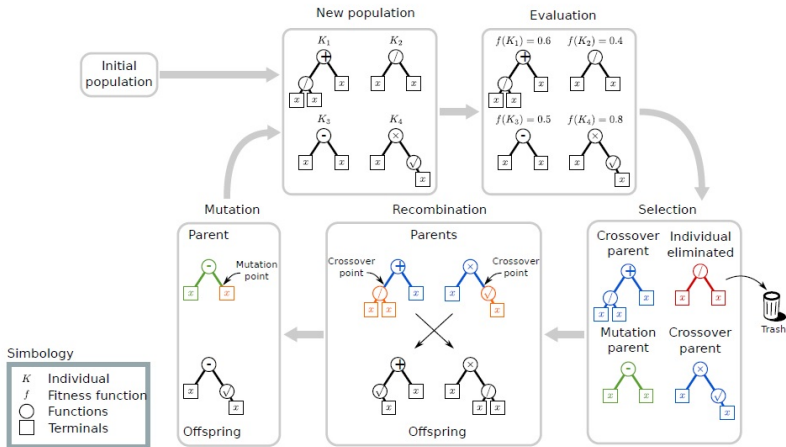
Functional representation: Genetic programming

Example: Using GP for regression

## Functional representation: Genetic programming

## Definition

Genetic programming (GP) is an evolutionary computation (EC) technique that automatically solves problems without requiring the user to know or specify the form or structure of the solution in advance [Koza, 1992].



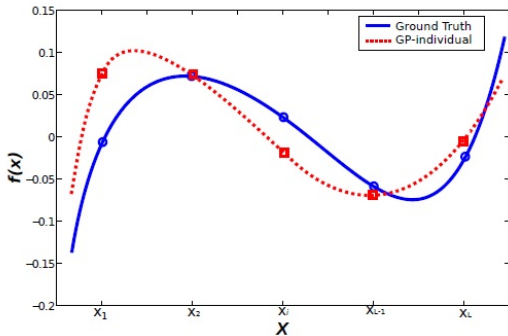
## Example: Using GP for regression

## Symbolic Regression

Given a set of input data  $X$  and a set of desired outputs  $Y$ , find a function  $f$  such that:

$$f(X_i) = Y_i \quad \forall i \in \{1, N\}$$

	$X$	$Y$
1	0.2	0.00
2	0.4	0.06
..	...	...
N	0.9	-0.03



## PART 2: Applications

# Evolutionary computation for EEG classification

*This work is related to the PhD thesis of Laurent Vezard and developed in the context of the PSI Region Project and the ACOBSEC European project. A slightly different version has been published in a book chapter. Eduardo Miranda; Julien Castet; Benjamin Knapp. Guide to Brain-Computer Music Interfacing, Springer, 2014. Work carried out with Laurent Vézard, Marie Chavent, Frédérique Faïta-Aïnseba.*



## EEG data Acquisition

Acquisition Protocole

## Feature Extraction

Slope Criterion

## Evolutionary Algorithm

Design

Results

## Goal

- **Characterize the state of alertness of a person from his electroencephalogram (EEG).**

## EEG data Acquisition

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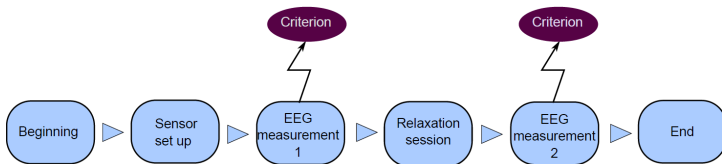
Slope Criterion

## Evolutionary Algorithm

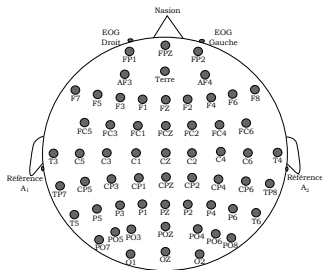
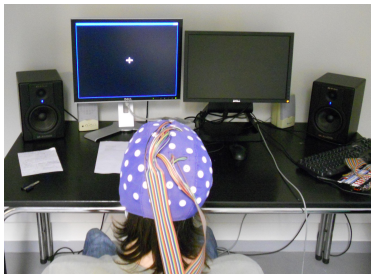
Design

Results

- First EEG recording: subject in a normal state of alertness: "normal"
- Second EEG recording: subject in a state of low vigilance: "relax"



## Acquisition Protocole



- EEG headset installation time: **45 minuts.**
- Subject with **open eyes.**
- Sampling frequency: 256Hz.
- Recording time: 3 minuts (**46000 sample points**).

## Campaigns:

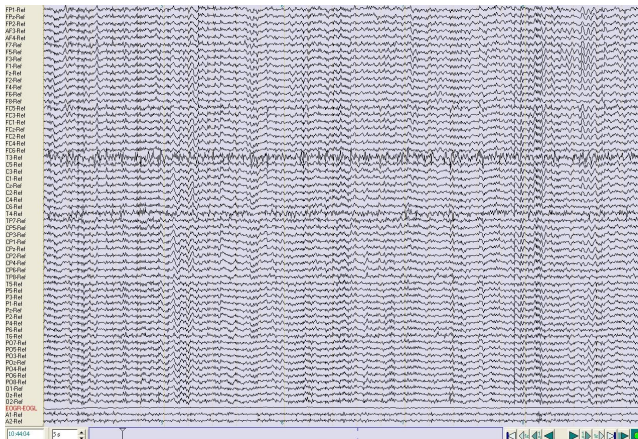
- 58 electrodes renumbered from 1 to 58
- Subjects under 35, right-handed and non-smoker
- 58 subjects ⇒ 16 preserved

## Relaxation session

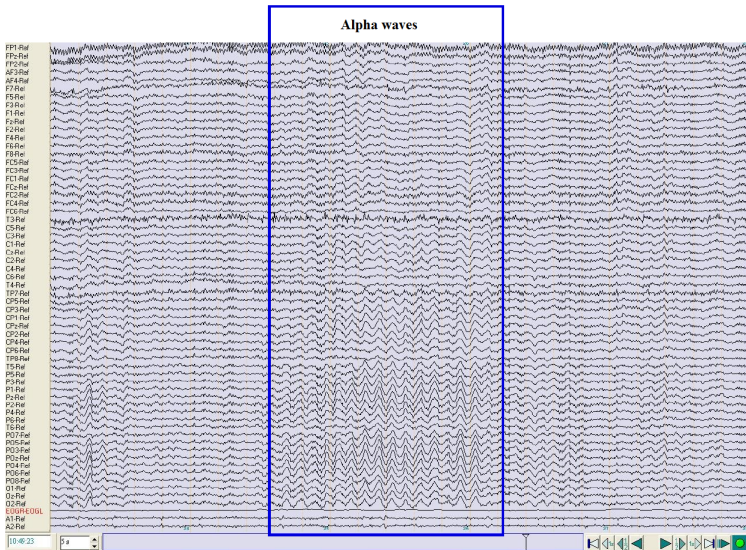
20 minutes with a recorded voice offering 3 exercises:

- Autogenic training [Schultz1958]: repetition of sentences, self-hypnosis.
- Progressive muscle relaxation [Jacobson1974].
- Mental visualization (familiar places, smells, noises).

3 minutes of EEG recording **before relaxation.**



3 minutes of EEG recording **after relaxation**.





## EEG data Acquisition

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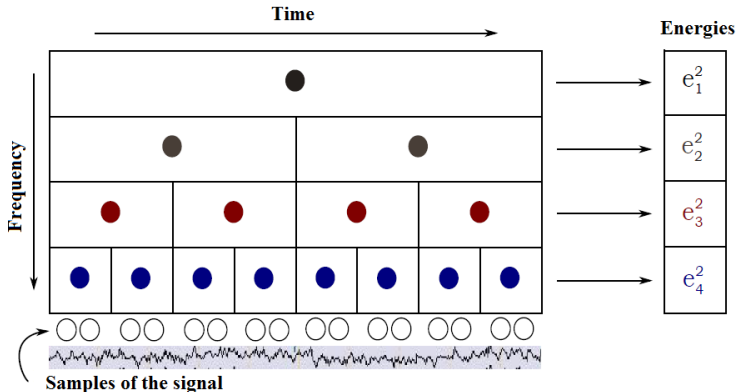
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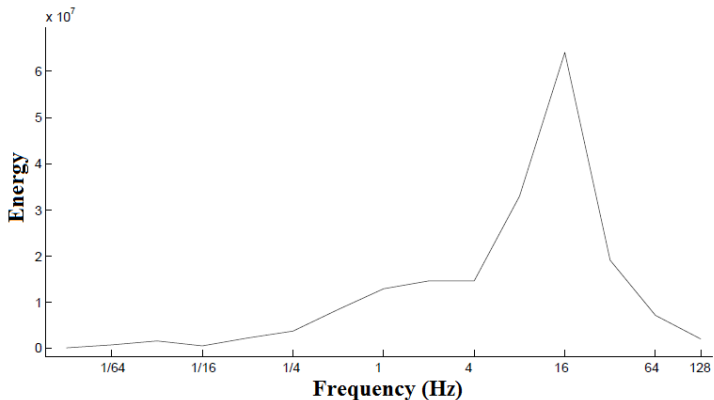
Slope Criterion

The **dyadic grid** gives a spatio-frequency representation of the discrete dyadic wavelet decomposition



## Slope Criterion

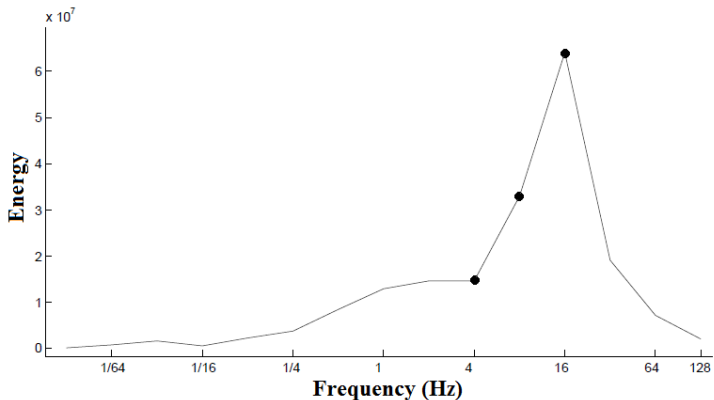
- Alpha: 8 – 12Hz.
- Waves characteristics of a relaxed state.



Linear regression between 4 and 16Hz.

## Slope Criterion

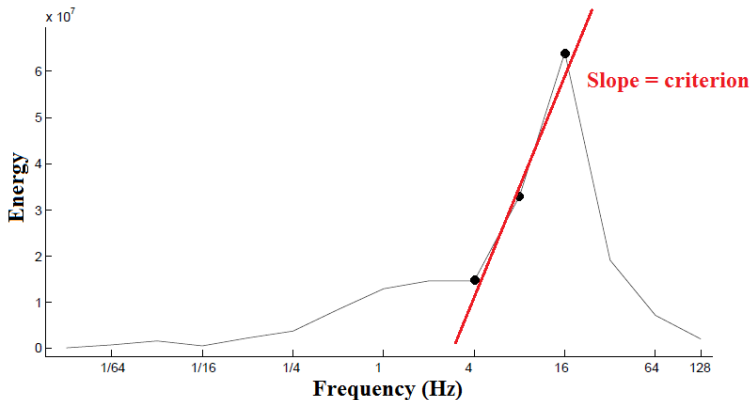
- Alpha:  $8 - 12Hz$ .
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Linear regression between  $4$  and  $16Hz$ .

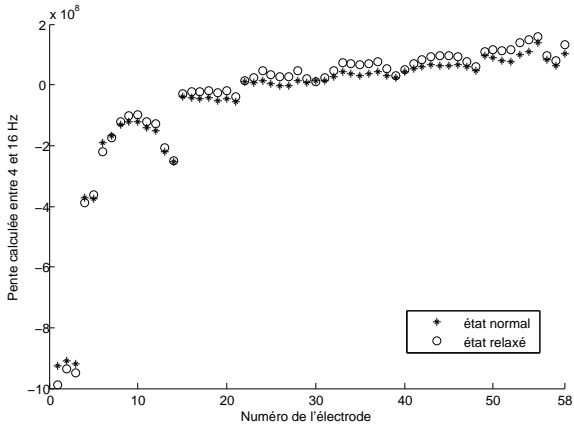
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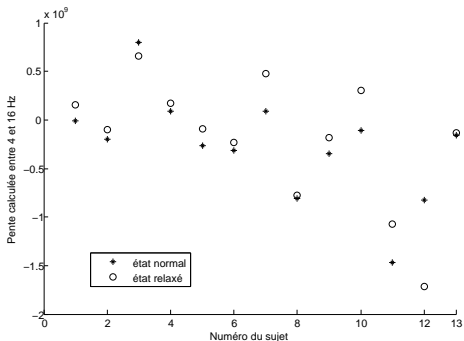
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## Slope Criterion



Slope criterion, sum on subjects for each electrode

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Slope criterion, sum on electrodes for each subjects

- ⇒ Very strong **inter-subject variability**
- ⇒ This criterion that does not allow to build a powerful classifier for different subjects.

## Slope Criterion

## Classification: Usual methods

	$K$ nearest neighbors	Binary decision trees	Random forests	Discriminant PLS	Sparse Discriminant PLS
Mean	37.28	33.98	32.03	40.63	36.25
Standard Deviation	10.47	5.15	6.46	8.55	7.96

Mean and standard deviations of Correct Classification Rates for different classification methods applied on slope criterion.

This approach is not efficient

**Our contribution:** Design a relevant **evolutionary algorithm** to solve this task of classification.

⇒ **Find the relevant electrodes.**

⇒ **Find the relevant frequencies for the calculation of the slope criterion.**



## EEG data Acquisition

Acquisition Protocole

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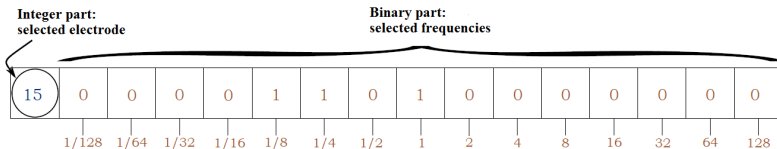
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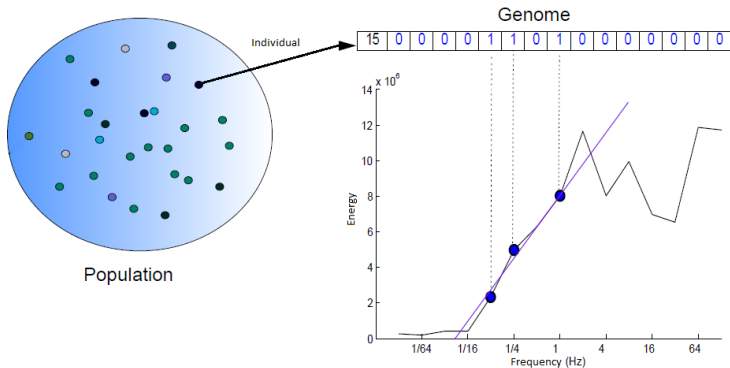
Design

Example of a genome in the evolutionary algorithm



## Design

## Relationship between the genome and the calculation of the slope criterion



## Results

## Average correct classification rate

Evaluation Method	CCR	
	Mean	Standard deviation
CART	86.68	1.87
SVC	83.49	2.37

Average and standard deviations of the correct classification rates obtained for the 100 runs of the evolutionary algorithm and for two methods of evaluation.

## Results

## Best genome

Evaluation method	BEST genome		
	Selected electrode	Selected frequency (Hz)	Correct classification rates
CART	F4	1/8, 1/4, 2, 4 et 64	89, 33%
SVC	F2	1/32, 1/16, 2, 4, 8, 64 et 128	89, 33%

Table summarizing the two best genomes found during the 100 runs of the genetic algorithm with two methods of evaluation.

# Regularity estimation with Genetic Programming

Joint work with Leonardo Trujillo, Gustavo Olague and Jacques Levy-Vehel. *Evolving estimators of the pointwise Hölder exponent with Genetic Programming. Information Sciences 209 (Nov. 2012), 61-79.*

## Hölderian Regularity

Contribution  
Training set

Results

## Hölderian Regularity

### Contribution

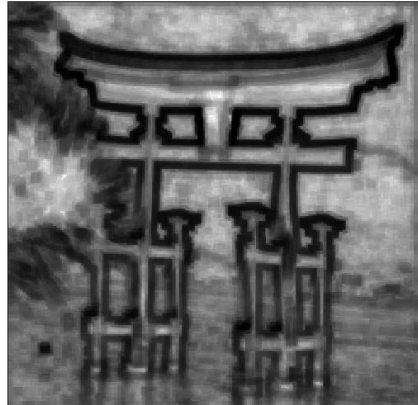
Training set

### Results

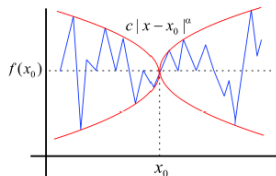


## Hölder exponent

Mathematical tool that measures the regularity of a signal around each point.



## General motivation

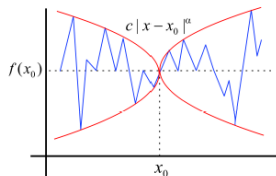


$$\alpha_p(x_0) = \liminf_{h \rightarrow 0} \frac{\log |f(x_0 + h) - f(x_0)|}{\log |h|}$$

Hölderian envelope of signal  $f$  at point  $x_0$ 

- For real-world signals the Hölder exponent must be **estimated** for each point.

## General motivation

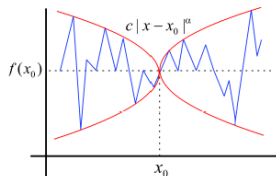


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- Several estimation methods exist, but most methods are **slow** or **highly parameterized**;

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Hölderian envelope of signal  $f$  at point  $x_0$ 

- For real-world signals the Hölder exponent must be **estimated** for each point.
- Several estimation methods exist, but most methods are **slow** or **highly parameterized**;
- **Therefore their use is not common** (particularly in applications where speed can be of importance)

Hölderian Regularity

Contribution  
Training set

Results

## Contribution

- Evolve estimators of the pointwise Hölder exponent for 2D signals with Genetic Programming.

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## Awards

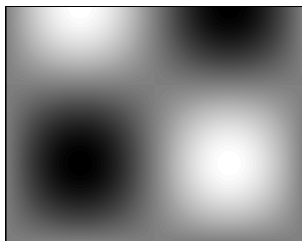
- Best Paper Award in the track Genetic Programming, GECCO 2010, Portland, Oregon.
- Humies Award Finalist, GECCO 2013, Amsterdam, The Netherland.

We generate three groups of images with **FracLab**, using three different functions that take as input the point coordinates  $(x, y)$  of an image and provide as output the desired regularity; these functions are:

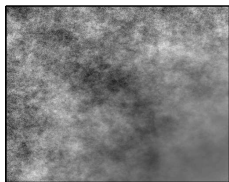
- 1 a *Polynomial*  $p_1(x, y) = 0.1 + 0.8xy$ ;
- 2 a *Sine*  $p_2(x, y) = 0.5 + 0.2(\sin(2\pi x))(\cos(\frac{3}{2}\pi y))$ ;
- 3 an *Exponential*  $p_3(x, y) = 0.3 + \frac{0.3}{1 + e^{-100(x-0.7)}}$ .

These functions provide the prescribed regularity needed to build the synthetic images used for training and testing of our evolved operators.

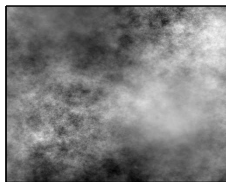
## Training set

(a) Polynomial  $p_1$ (b) Sine  $p_2$ (c) Exponential  $p_3$

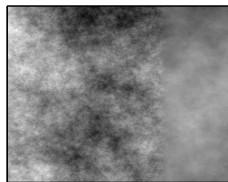
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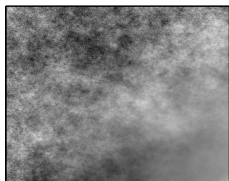
(a) Polynomial



(b) Sine



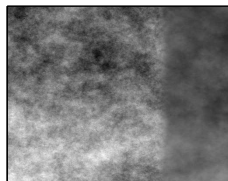
(c) Exponential



(d) Polynomial



(e) Sine



(f) Exponential

These images have a prescribed regularity given by functions  $p_1$  (Polynomial),  $p_2$  (Sine) and  $p_3$  (Exponential).

Hölderian Regularity

Contribution  
Training set

Results

# Results: Real Images



**Original Image**



**Traditional Method**



**GP-Estimator**



**GP-Estimator**