Hypocoercive Techniques in Collisional Kinetic Theory

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Collisional Kinetic Theory

- 2 The Linear equation and hypocoercivity
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- 4 Hypocoercive techniques to change functional space

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The Linear equation and hypocoercivity Hypocoercive techniques in the space of linearisation Hypocoercive techniques to change functional space Some Boltzmann-type models Trend to equilibrium and perturbative framework

Collisional Kinetic Theory

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A probabilistic approach to dilute particle systems

- PHASE SPACE : Particles move in a bounded domain $\Omega\subset \mathbb{R}^3$ with velocities in \mathbb{R}^3 ;
- MESCOPIC VIEWPOINT : Focus on the mean behaviour of a random particle
- Study the equation of the resulting density function

$$\begin{array}{c|cccc} F: & [0,T] \times \Omega \times \mathbb{R}^d & \longrightarrow & \mathbb{R}^+ \\ & (t,x,v) & \longmapsto & F(t,x,v) \end{array}$$

• F(t, x, v)dxdv represents the probability of having at time t a particle inside B(x, dx) with a velocity in B(v, dv)

 $\Rightarrow \mathsf{Minimal Requirement}: \\ \forall t \in [0, T], \quad F(t, \cdot, \cdot) \in L^{1}_{\mathit{loc}}\left(\Omega, L^{1}_{v}\left(\mathbb{R}^{d}\right)\right)$

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The collisional Process

- BINARY COLLISIONS : Two particles sufficiently closed are deviated
- LOCALISED COLLISIONS : Trajectories changes are immediate and on the spot
- ELASTIC COLLISIONS : One particle of mass m_i and one of mass m_j

$$m_{i}v' + m_{j}v'_{*} = m_{i}v + m_{j}v_{*}$$
$$m_{i}|v'|^{2} + m_{j}|v'_{*}|^{2} = m_{i}|v|^{2} + m_{j}|v_{*}|^{2}$$

- MICROREVERSIBLE COLLISIONS : microscopic dynamics are reversible in time
- MOLECULAR CHAOS : particles evolve independently (before colliding)

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Boltzmann equation

Only one species.

$$\forall t \ge 0, \ \forall (x, v) \in \Omega \times \mathbb{R}^d, \quad \partial_t F + v \cdot \nabla_x F = Q(F, F)$$

The collision operator :

$$Q(F,F) = \int_{\mathbb{S}^2 \times \mathbb{R}^3} b(\cos \theta) |v - v_*|^{\gamma} \left[F'F'_* - FF_* \right] \, d\sigma dv_*$$

•
$$\begin{cases} v' = \frac{v + v_*}{2} + \frac{|v - v_*|}{2}\sigma \\ v'_* = \frac{v + v_*}{2} - \frac{|v - v_*|}{2}\sigma \end{cases}, \text{ and } \cos \theta = \langle \frac{v - v_*}{|v - v_*|}, \sigma \rangle.$$

BOUNDARY CONDITIONS : None (torus) or : Specular, Diffuse, Maxwell (convex combination)

Some Boltzmann-type models Trend to equilibrium and perturbative framework

Boltzmann equation for mixture

N species non chemically reacting with potentially different masses $(m_i)_{1 \le i \le N}$.

$$\forall 1 \leqslant i \leqslant \mathsf{N}, \forall t \geq 0, \forall (x, v) \in \Omega \times \mathbb{R}^{\mathsf{d}}, \quad \partial_t F_i + v \cdot \nabla_x F_i = Q_i(\mathsf{F}, \mathsf{F})$$

$$egin{aligned} \mathcal{Q}_i(\mathcal{F},\mathcal{F}) &= \mathcal{Q}_{ii}(\mathcal{F}_i,\mathcal{F}_i) + \sum_{\substack{j=1\j
eq i}}^N \mathcal{Q}_{ij}(\mathcal{F}_i,\mathcal{F}_j) \end{aligned}$$

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Entropy and global equilibria

A priori properties of solutions

- Conservation laws : mass, momentum and energy
- Boltzmann's H-theorem : entropy $S(F)(t) = \int_{\mathbb{T}^d \times \mathbb{R}^d} F \ln(F) dx dv$

$$\frac{d}{dt}S(F)=-\int_{\mathbb{T}^d}D(F)dx\leqslant 0$$

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Equilibrium state

• Equilibria :
$$M_{(\rho,u,T)}(t,x,v) = \frac{\rho(t,x)}{(2\pi T(t,x))^{\frac{d}{2}}} e^{-\frac{|v-u(t,x)|^2}{2T(t,x)}}$$

 \bullet Under conditions on $\Omega,$ a unique stationary equilibrium

$$\mu(v) = M_{(1,0,1)} = \frac{1}{(2\pi)^{\frac{d}{2}}} e^{-\frac{|v|^2}{2}}$$

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Quantifying the trend to equilibrium

CONVERGENCE TO EQUILIBRIUM

- weak convergence by compactness : Arkeryd, Lions (torus); Desvillettes, Arkeryd, Bose, Grzegorczyk, Nouri (bdd)
- Entropy dissipation inequalities : DiPerna, Lions, Carlen, Carvalho, Alexandre, Wennberg, **Desvillettes-Villani '05**

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Perturbative setting

- Looking at convergence at a linearised level
- Construct solutions $F(t, x, v) = \mu(v) + f(t, x, v)$
- Perturbed equation

$$\partial_t f + v \cdot \nabla_x f = L[f] + Q(f, f)$$

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A bit of litterature

Existing Cauchy theories for hard potentials with cutoff, small perturbations

- On the torus
 - Sobolev with expo. weights : Grad 1958, Ukai, Guo, Yu, Mouhot, Neumann, MB
 - Lebesgue with poly. weights : Gualdani-Mischler-Mouhot '17, Merino-Aceituno, MB
- WITH BOUNDARY CONDITIONS
 - L^{∞} with expo. weights for specular and diffuse : Guo, Esposito, Kim, Marra, Tonon, Trescases, Lee
 - L^{∞} with poly. weights for Maxwell : Guo, MB
- Multi-species case on the torus
 - Lebesgue with ploy. weights : Daus, MB
 - Sobolvec with expo. weights (hydro. limit) : Bondesan, MB

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Fluid and Microscopic parts The Linear equation : lack of coercivity Hypocoercivity

The Linear equation and hypocoercivity

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Fluid and Microscopic parts The Linear equation : lack of coercivity Hypocoercivity

The linear collisional operator

$$\partial_t f + \mathbf{v} \cdot \nabla_x f = L[f]$$

PROPERTIES OF THE LINEAR OPERATOR :

- Local in time and space
- *L* is unbounded and self-adjoint in $L^2_{\nu}(\mu^{-\frac{1}{2}})$

•
$$L = -\nu(v) + K$$

•
$$\nu(\mathbf{v}) \sim 1 + |\mathbf{v}|^{\gamma}$$

• K compact in L_v^2 and kernel operator

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Fluid and Microscopic parts The Linear equation : lack of coercivity Hypocoercivity

The linear collisional operator

• Fluid part of the solution f

• Ker(L) = Span
$$(1, v, |v|^2) \mu(v)$$

• Fluid part = projection onto Ker(L)

$$\pi_{L}(f)(t,x,v) = \left(\rho(t,x) + u(t,x) \cdot v + e(t,x) |v|^{2}\right) \mu(v)$$

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• MICROSCOPIC PART AND SPECTRAL GAP

•
$$\pi_L^\perp(f) = f - \pi_L(f)$$

• Carleman, Grad, Bobylev, Baranger, Mouhot

$$\langle L[f], f \rangle_{L^2_{\nu}(\mu^{-\frac{1}{2}})} \leqslant -\lambda_L \left\| \pi_L^{\perp}(f) \right\|_{L^2_{\nu}(\nu\mu^{-\frac{1}{2}})}^2$$

[Same kind of properties for multi-species : Daus, Jüngel, Mouhot, Zamponi $(m_i = m_j)$; Daus, MB (general)]

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Fluid and Microscopic parts The Linear equation : lack of coercivity Hypocoercivity

Call for hypocoercivity

- Natural space associated to L is $L^2_{x,v}\left(\mu^{-\frac{1}{2}}\right)$
- FIRST A PRIORI ESTIMATE

$$\frac{1}{2}\frac{d}{dt}\left\|f\right\|_{L^{2}_{x,v}(\mu^{-\frac{1}{2}})}^{2} \leqslant -\lambda_{L}\left\|\pi_{L}^{\perp}(f)\right\|_{L^{2}_{x,v}(\nu\mu^{-\frac{1}{2}})}^{2}$$

- MAIN ISSUE
 - How to recover the full norm?
 - Control of π_L by π_L^{\perp} in the set of solutions?

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Hypocoercivity : abstract framework

• PARALLEL WITH HYPOELLIPTICITY $\partial_t f + T[f] = D^*D[f]$

- D * D is elliptic degenerate differential operator
- T is skew-symmetric
- A fully elliptic operator can be recovered from the mixing effects between T and D : Hörmander $[D, T]^*[T, D] + D^*D$

• Hypocoercivity

- L has a dissipative property but a large kernel
- $T = v \cdot \nabla_x$ is skew-symmetric so non-dissipative but mixes position and velocity
- $\bullet\,$ Understand how the interactions between L and T generates a full coercivity

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Fluid and Microscopic parts The Linear equation : lack of coercivity Hypocoercivity

Hypocoercivity : our framework

- WHAT WE WANT $\|\pi_L(f)\|_{L^2_v(\mu^{-\frac{1}{2}})} \leq \|\pi_L^{\perp}(f)\|_{L^2_v(\mu^{-\frac{1}{2}})}$
- Three different spirits
 - Contradiction : Guo
 - Micro-Macro decomposition : Liu, Yu, Guo
 - Constructing new Lyapunov functionals : Mouhot, Neumann, Dolbeaut, Schmeiser

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Commutators and Poincaré Weak elliptic regularity

HYPOCOERCIVE TECHNIQUES IN THE SPACE OF LINEARISATION

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Commutators and Poincaré Weak elliptic regularity

The mixing of transport in Sobolev spaces

$[\mathbf{v}\cdot\nabla_{\mathbf{x}},\nabla_{\mathbf{v}}]=-\nabla_{\mathbf{x}}$

• Spatial derivatives commute with L

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Commutators and Poincaré Weak elliptic regularity

The mixing of transport in Sobolev spaces

$$[\mathbf{v}\cdot\nabla_{\mathbf{x}},\nabla_{\mathbf{v}}]=-\nabla_{\mathbf{x}}$$

- Spatial derivatives commute with L
- Velocity derivatives still generate a negative feedback

$$\left\langle \nabla_{\mathbf{v}} \mathcal{L}[f], \nabla_{\mathbf{v}} f \right\rangle_{L^{2}_{\mathbf{v}}(\mu^{-\frac{1}{2}})} \leq -\lambda \left\| f \right\|^{2}_{L^{2}_{\mathbf{v}}(\nu\mu^{-\frac{1}{2}})} + C \left\| f \right\|^{2}_{L^{2}_{\mathbf{v}}(\mu^{-\frac{1}{2}})}$$

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• New H^1 functional

$$\|f\|_{\mathcal{H}^{1}_{x,v}}^{2} = a \|f\|_{L^{2}_{\mu}}^{2} + b \|\nabla_{x}f\|_{L^{2}_{\mu}}^{2} + c \|\nabla_{v}f\|_{L^{2}_{\mu}}^{2} + d\langle\nabla_{x}f, \nabla_{v}f\rangle_{L^{2}_{\mu}}$$

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Commutators and Poincaré Weak elliptic regularity

Closing estimates : Poincaré and conservation laws

• Full energy estimate

$$\frac{1}{2}\frac{d}{dt} \|f\|_{\mathcal{H}^{1}_{x,v}}^{2} \leqslant -\lambda_{L}^{-} \left[\left\| \pi_{L}^{\perp}(f) \right\|_{L^{2}_{\nu\mu}}^{2} + \|\nabla_{x}f\|_{L^{2}_{\nu\mu}}^{2} + \|\nabla_{v}f\|_{L^{2}_{\nu\mu}}^{2} \right]$$

• Mass, momentum and energy preservation

$$\int_{\Omega} \pi_L(f)(t,x,v) dx = \int_{\Omega} \pi_L(f)(0,x,v) dx = 0$$

• Poincaré inequality

$$\left\|\pi_L^{\perp}(f)\right\|_{L^2_{\nu\mu}}^2 \lesssim \left\|\nabla_x \pi_L^{\perp}(f)\right\|_{L^2_{\nu\mu}}^2 \lesssim \left\|\nabla_x f\right\|_{L^2_{\nu\mu}}^2$$

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Commutators and Poincaré Weak elliptic regularity

Exponential convergence to equilibrium

$$\frac{1}{2}\frac{d}{dt}\left\|f\right\|_{\mathcal{H}^{1}_{x,v}}^{2} \leqslant -\lambda_{L}^{-}\left\|f\right\|_{\mathcal{H}^{1}_{x,v}}^{2}$$

- GENERATION OF A C^0 -SEMIGROUP WITH EXPONENTIAL DECAY
 - In $H^{s}(\mu^{-\frac{1}{2}})$: Mouhot-Neumann
 - In $H^{s}(\mu^{-rac{1}{2}})$ with external force : Debussche, Vovelle, MB

Commutators and Poincaré Weak elliptic regularity

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- Similar result in other settings
 - In $H^{s}(\mu^{-\frac{1}{2}})$ in the incompressible Navier-Stokes limit : MB
 - In H^s(μ^{-1/2}) for multi-species in the Maxwell-Stefan or Fick limit : Bondesan, Grec, MB

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Commutators and Poincaré Weak elliptic regularity

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- GENERATION OF A C^0 -SEMIGROUP WITH EXPONENTIAL DECAY
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 - In H^s(µ^{-1/2}) for multi-species in the Maxwell-Stefan or Fick limit : Bondesan, Grec, MB
- WITH MICRO-MACRO DECOMPOSITION
 - Recall $\pi_L(f) = (\rho(t, x) + u(t, x) \cdot v + e(t, x) |v|^2) \mu(v)$
 - Find PDE satisfied by ρ , u, e and $\pi_L^{\perp}(f)$ and close estimates
 - Same results mono species : Guo, Liu, Yu

Commutators and Poincaré Weak elliptic regularity

Staying in Lebesgue space

$$\pi_L(f) = \left(\rho(t,x) + u(t,x) \cdot v + e(t,x) |v|^2\right) \mu(v)$$

• CONTROL MICRO-FLUID Elliptic regularity for ρ, u, e Guo

$$\Delta \pi_L(f) \sim \partial^2 \pi_L^{\perp}(f) + \text{h.o.t.}$$

- PROBLEMS IN BOUNDED DOMAIN
 - Usually no preservation of momentum nor energy (no Poincaré)
 - Appearance of singularities/discontinuities due to grazing set : Guo, Kim, Tonon, Trescases
 - No regularity higher than H^1 !

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Commutators and Poincaré Weak elliptic regularity

Staying in Lebesgue space

$$\pi_L(f) = \left(\rho(t,x) + u(t,x) \cdot v + e(t,x) |v|^2\right) \mu(v)$$

• CONTROL MICRO-FLUID Elliptic regularity for ρ, u, e Guo

$$\Delta \pi_L(f) \sim \partial^2 \pi_L^{\perp}(f) + \text{h.o.t.}$$

- PROBLEMS IN BOUNDED DOMAIN
 - Usually no preservation of momentum nor energy (no Poincaré)
 - Appearance of singularities/discontinuities due to grazing set : Guo, Kim, Tonon, Trescases
 - No regularity higher than H^1 !
- Need of a micro-fluid control directly in $L^2_{x,v}(\mu-\frac{1}{2})$.

Commutators and Poincaré Weak elliptic regularity

Recovering the coercivity in L^2

- Weakening elliptic regularity
 - Method introduced for diffuse b.c. :Esposito, Guo, Kim, Marra
 - Recovering estimates on ρ , u and e by integrating against test fonctions.

$$\psi_{
ho}(t, x, v) = \left(|v|^2 - \alpha_{
ho} \right) \sqrt{\mu} v \cdot \nabla_x \phi_{
ho}(t, x)$$

where
$$-\Delta_x \phi_
ho(t,x) =
ho(t,x); \quad \partial_n \phi_
ho|_{\partial\Omega} = 0$$

- Laplacian is recovered via the transport operator
- Need of elliptic estimates in negative Sobolev spaces

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- Laplacian is recovered via the transport operator
- Need of elliptic estimates in negative Sobolev spaces
- GENERATION OF C^0 -SEMIGROUP IN $L^2_{x,v}(\mu^{-\frac{1}{2}})$
 - Diffusive and Maxwell b.c. : Esposito, Guo, Kim, Marra, MB
 - Multi-species Boltzmann equation on torus : Daus, MB

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Commutators and Poincaré Weak elliptic regularity

Some details

We rewrite the solution $\tilde{f} = e^{\lambda t} f : \partial_t \tilde{f} + v \cdot \nabla_x \tilde{f} = L[\tilde{f}] + \lambda \tilde{f}$

• Estimate with spectral gap :

$$\left\|\tilde{f}\right\|_{L^2_{\mu}}^2 + \lambda_L \int_0^t \left\|\pi_L^{\perp}(\tilde{f})\right\|_{L^2_{\nu\mu}}^2 ds \leqslant \left\|\tilde{f}(0)\right\|_{L^2_{\mu}}^2 + \lambda \int_0^t \left\|\tilde{f}\right\|_{L^2_{\mu}}^2 ds$$

• Micro-fluid control with weak regularity

$$\int_0^t \left\| \pi_L(\tilde{f}) \right\|_{L^2_{\mu}}^2 ds \lesssim \left\| \tilde{f} \right\|_{L^2_{\mu}}^2 - \left\| \tilde{f}(0) \right\|_{L^2_{\mu}}^2 + \int_0^t \left\| \pi_L^{\perp}(\tilde{f}) \right\|_{L^2_{\mu}}^2 ds$$

• SUMMING WITH WEIGHTS : $\|\tilde{f}\|_{L^2_{\mu}}^2$ is bounded so $\|f\|_{L^2_{\mu}}^2$ decays exponentially.

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Why a need to change space ? Space decrease : $L^2 - L^\infty$ method Space enlargement : decrease and weight and Lebesgue spaces

HYPOCOERCIVE TECHNIQUES TO CHANGE FUNCTIONAL SPACE

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Why a need to change space? Space decrease : L^2-L^∞ method Space enlargement : decrease and weight and Lebesgue spaces

A need to work outside $L^2_{x,v}(\mu^{-\frac{1}{2}})$

• MATHEMATICAL REASONS

- Control the nonlinear remainder Q(f, f,)
- Algebraic norms : $L_{x,v}^{\infty}$, $H_{x,v}^{s}$ for s large
- Loss of weight : but gain of weight in spectral gap

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• Physical purposes

- Larger spaces to obtain less regular solutions
- Ultimately : $L_{x,v}^{1}(1+|v|^{2})$
- Most optimal so far $L_v^1 L_x^\infty (1+|v|^{2+0})$ for Botlzmann : Gualdani-Mischler-Mouhot '17

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Why a need to change space? **Space decrease** : $L^2 - L^{\infty}$ method Space enlargement : decrease and weight and Lebesgue spaces

A decomposition of L

- New FRAMEWORK : $L^2 L^{\infty}$ theory "à la Guo"
 - Want to work in $L^\infty_{x,\nu}((1+|
 u|^\beta)\mu^{-rac{1}{2}})$
 - Link with L^2 -theory : $f \in L^\infty_{eta,\mu} \Longrightarrow f(1+|
 u|)^{-eta} \in L^2_\mu$
- Decomposition of L and collision frequency

•
$$L = -\nu(v) + K$$

- ν positive multiplicative
- K kernel operator with kernel $k(v, v_*)$

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$$L = -\nu(v) + K$$

- ν positive multiplicative
- K kernel operator with kernel $k(v, v_*)$
- Collision frequency semigroup
 - $G_{\nu} = -\nu(v) v \cdot \nabla_x$ generates a C^0 semigroup with expodecay
 - Not direct with b.c. : Guo (SR, MD), Guo-MB (Maxwell)

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Why a need to change space? **Space decrease** : $L^2 - L^{\infty}$ method Space enlargement : decrease and weight and Lebesgue spaces

The key role of characteristics

• Duhamel form

- $G = -\nu \mathbf{v} \cdot \nabla_{\mathbf{x}} + K$
- Write the semigroup as

$$S_G(t) = S_{G_{\nu}}(t) + \int_0^t S_{G_{\nu}}(t-s) \left(\int_{\mathbb{R}^d} k(v, v_*) S_G(s)(v_*) dv_* \right) ds$$

• $L^2 - L^\infty$ relationship

- Characteristics variable inside the integral : x (t s)v
- Change of variable y = x (t s)v gives an integral over Ω
- In real life : iterated Duhamel, not explicit characteristics...

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- **RESULTS OBTAINED WITH THIS METHOD** C^0 -semigroup with expo decay in $L^{\infty}_{\beta,\mu}$
 - Boltzmann with b.c. : Guo, Kim, Lee, MB
 - Boltzmann with non constant b.c. : Esposito, Guo, Kim, Marra
 - Multi-species Boltzmann on the torus : Daus, MB

Why a need to change space? Space decrease : L^2-L^∞ method Space enlargement : decrease and weight and Lebesgue spaces

From exponential Sobolev to polynomial Lebesgue

$$G = L - v \cdot \nabla_x$$

- ENLARGEMENT METHOD : abstract formalism from Gualdani-Mischler-Mouhot '17
 - G generates S_G with expo decay in E
 - We want to extend S_G to $\mathcal{E} \supset E$
 - Hierarchy of spaces $E = E_1 \subset \cdot \subset E_n = \mathcal{E}$
 - Decomposition G = A + B
 - B dissipative in every E_i
 - A regularises from E_{i+1} to E_i

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Why a need to change space? Space decrease : L^2-L^∞ method Space enlargement : decrease and weight and Lebesgue spaces

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• ANALYTIC VIEWPOINT : Hierarchy of PDEs

$$\begin{cases} \partial_t f_1 = B(f_1) &, \text{ in } \mathcal{E} \\ \partial_t f_2 = B(f_2) + A(f_1) &, \text{ in } \mathcal{E}_{n-1} \\ \vdots & \vdots \\ \partial_t f_n = G(f_n) + A(f_{n-1}) &, \text{ in } \mathcal{E} \end{cases}$$

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- Results obtained with this method :
 - Boltzmann on torus in $L_v^1 L_x^\infty (1 + |v|^{2+0})$: Gualdani-Mischler-Mouhot
 - Boltzmann with b.c. in $L^{\infty}_{x,v}(1+|v|^{5+\gamma+0})$
 - Multi-species Boltzmann on torus in $L_v^1 L_x^\infty(1+|v|^{k_0+0})$ and $L_{x,v}^\infty(1+|v|^{k_1+0})$: Daus, MB

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That's all folks !!

Why a need to change space? Space decrease : $L^2 - L^{\infty}$ method Space enlargement : decrease and weight and Lebesgue spaces

THANK YOU FOR YOUR ATTENTION

Marc Briant Hypocoercive Techniques in Collisional Kinetic Theory

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