

# Stationary flows in a slab for the ES-BGK model with correct Prandtl number

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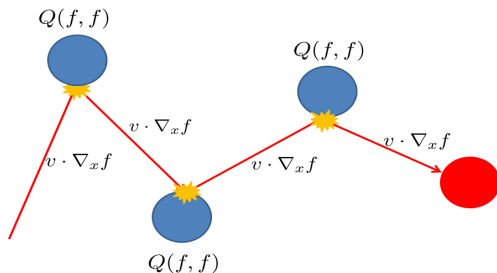
# Boltzmann equation

# The Boltzmann equation

- For non-ionized monatomic rarefied gas (1872):

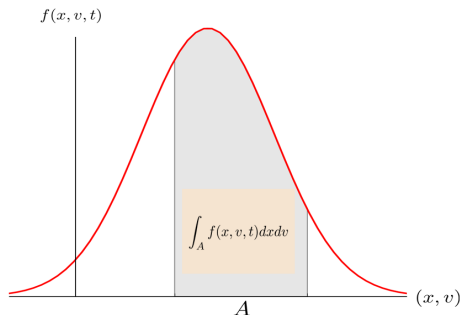
$$\begin{aligned}\partial_t f + v \cdot \nabla_x f &= Q(f, f), \\ f(x, v, 0) &= f_0(x, v).\end{aligned}$$

- Transport+collision



## Velocity distribution function

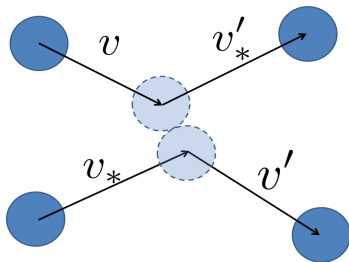
- Maxwell(1860), Boltzmann(1872)
- How particles are distributed in the phase space?
- $\int_A f(x, v, t) dx dv = \#$  of particles such that  $(x, v) \in A$  at time  $t$



## Collision Operator

$$Q(f, f)(v) \equiv \int_{\mathbb{R}^3 \times \mathbb{S}_+^2} B(v - v_*, \omega) (f(v')f(v'_*) - f(v)f(v_*)) d\omega dv_*.$$

$$v' = v - [(v - v_*) \cdot \omega] \omega, \quad v'_* = v_* + [(v - v_*) \cdot \omega] \omega.$$



- $Q$  satisfies

$$\int_{\mathbb{R}^3} Q(f, f)(1, v, |v|^2) dv = 0$$

and

$$\int_{\mathbb{R}^3} Q(f, f) \ln f dv \leq 0$$

- Equilibrium

$$Q(\mathcal{M}, \mathcal{M}) = 0$$

- Due to the conservation laws, we get

$$\mathcal{M}(f)(x, v, t) = \frac{\rho(x, t)}{\sqrt{(2\pi T(x, t))^3}} \exp\left(-\frac{|v - U(x, t)|^2}{2T(x, t)}\right).$$

where

$$\begin{aligned}\rho(x, t) &= \int_{\mathbb{R}^3} f(x, v, t) dv \\ \rho(x, t)U(x, t) &= \int_{\mathbb{R}^3} f(x, v, t)v dv \\ \rho(x, t)T(x, t) &= \int_{\mathbb{R}^3} f(x, v, t)|v - U(x, t)|^2 dv.\end{aligned}$$

## BGK model



## BE: fundamental but not practical

- hard to develop fast & efficient numerical methods.
- Most difficulties and costs arise in the computation of  $Q$ .

# The Boltzmann-BGK model

- BGK Model (Bhatnagar-Gross-Krook [1954]):

$$\begin{aligned}\partial_t f + v \cdot \nabla_x f &= \frac{1}{\kappa}(\mathcal{M}(f) - f), \\ f(x, v, 0) &= f_0(x, v),\end{aligned}$$

- $1/\kappa$ : collision frequency

- Local Maxwellian where

$$\mathcal{M}(f)(x, v, t) = \frac{\rho(x, t)}{\sqrt{(2\pi T(x, t))^3}} \exp\left(-\frac{|v - U(x, t)|^2}{2T(x, t)}\right).$$

where

$$\rho(x, t) = \int_{\mathbb{R}^3} f(x, v, t) dv$$

$$\rho(x, t)U(x, t) = \int_{\mathbb{R}^3} f(x, v, t)v dv$$

$$\rho(x, t)T(x, t) = \int_{\mathbb{R}^3} f(x, v, t)|v - U(x, t)|^2 dv.$$

## Recall

- $Q$  satisfies

$$\int_{\mathbb{R}^3} Q(f, f)(1, v, |v|^2) dv = 0$$

and

$$\int_{\mathbb{R}^3} Q(f, f) \ln f dv \leq 0$$

## BGK also satisfies

- BGK operator also satisfies

$$\int_{\mathbb{R}^3} \{\mathcal{M}(f) - f\} (1, v, |v|^2) dv = 0$$

and

$$\int_{\mathbb{R}^3} \{\mathcal{M}(f) - f\} \ln f dv \leq 0$$

- Collision process  $\Rightarrow$  Relaxation process
- Much lower computational cost
- Still shares important features with the BE:
  - ▶ Conservation laws
  - ▶ H-theorem
  - ▶ Relaxation to equilibrium.
  - ▶ Correct Euler Limit
- Very popular model for numerical experiments in kinetic theory (Google cite 7611)

## Navier-Stokes limit

- Macroscopic limit at the Euler equation level is O.K.
- How about the [Navier-Stokes](#) limit?

## Prandtl number

- Prandtl number: ratio between diffusivity and viscosity.
- Boltzmann equation :  $2/3$
- BGK model : 1.
- Therefore, compressible NS limit of the BGK model is not correct.



## Ellipsoidal BGK model

# The Ellipsoidal-BGK model

- ES-BGK Model ( $-1/2 \leq \nu < 1$ ) [Halway, 1964] :

$$\begin{aligned}\partial_t f + v \cdot \nabla_x f &= \frac{1}{\kappa} A_\nu (\mathcal{M}_\nu(f) - f), \\ f(x, v, 0) &= f_0(x, v),\end{aligned}$$

- $\kappa$ : Knudsen number: How rarefied the gas is.
- $A_\nu$ : collision frequency.

$$A_\nu = \frac{\rho}{1 - \nu}$$

- $\mathcal{M}_\nu(f)$  ?

- The local Maxwellian is generalized to the **ellipsoidal Gaussian**:

$$\mathcal{M}_\nu(f) = \frac{\rho}{\sqrt{\det(2\pi\mathcal{T}_\nu)}} \exp\left(-\frac{1}{2}(\nu - U)^\top (\mathcal{T}_\nu)^{-1}(\nu - U)\right)$$

- The local Maxwellian is generalized to the **ellipsoidal Gaussian**:

$$\mathcal{M}_\nu(f) = \frac{\rho}{\sqrt{\det(2\pi\mathcal{T}_\nu)}} \exp\left(-\frac{1}{2}(\mathbf{v} - \mathbf{U})^\top (\mathcal{T}_\nu)^{-1}(\mathbf{v} - \mathbf{U})\right)$$

- $\mathcal{T}_\nu$  ?

- The local Maxwellian is generalized to the **ellipsoidal Gaussian**:

$$\mathcal{M}_\nu(f) = \frac{\rho}{\sqrt{\det(2\pi\mathcal{T}_\nu)}} \exp\left(-\frac{1}{2}(\mathbf{v} - \mathbf{U})^\top (\mathcal{T}_\nu)^{-1}(\mathbf{v} - \mathbf{U})\right)$$

- $\mathcal{T}_\nu$  : Temperature Tensor parametrized by  $\nu$

- Temperature Tensor:  $(-1/2 \leq \nu < 1)$

$$\begin{aligned} \mathcal{T}_\nu(x, t) &= (1 - \nu)T(x, t)Id + \nu\Theta(x, t) \\ &= \begin{pmatrix} (1 - \nu)T + \nu\theta_{11} & \nu\theta_{12} & \nu\theta_{13} \\ \nu\theta_{21} & (1 - \nu)T + \nu\theta_{22} & \nu\theta_{23} \\ \nu\theta_{31} & \nu\theta_{32} & (1 - \nu)T + \nu\theta_{33} \end{pmatrix} \end{aligned}$$

where  $\Theta$  denotes the stress Tensor:

$$\Theta(x, t) = \frac{1}{\rho} \int_{R^3} f(x, v, t)(v - U) \otimes (v - U) dv.$$

- Prandtl number:  $\frac{1}{1-\nu}$ .
- 2 important cases:
  - ▶  $\nu = 0$ : Classical BGK model
  - ▶  $\nu = -1/2$ : ES-BGK with correct Prandtl number.
- H-theorem: Andries-Le Tallec-Perlat-Perthame (2001)
- Rigorous derivation: Brull-Schnieder (2008)
- Entropy production estimate: Yun (2016)

## Stationary problem in a slab



## Stationary BGK model in a slab

- Stationary solution in a slab:  $f = f(x, v)$ ,  $x, v \in [0, 1] \times \mathbb{R}^3$
- Boundary value problem:

$$v_1 \frac{\partial f}{\partial x} = \frac{\rho}{\tau} (\mathcal{M}_v(f) - f),$$

on a finite interval  $[0, 1]$ .

- Mixed boundary conditions ( $\delta_1 + \delta_2 = 1$ ):

$$f(0, v) = \delta_1 f_L(v) + \delta_2 \left( \int_{|v_1| < 0} f(0, v) |v_1| dv \right) M_w, \quad (v_1 > 0)$$

$$f(1, v) = \delta_1 f_R(v) + \delta_2 \left( \int_{|v_1| > 0} f(1, v) |v_1| dv \right) M_w. \quad (v_1 < 0)$$

- $\delta_1$ : Inflow and  $\delta_2$ : Diffusive.

- BGK

- ▶ Ukai (91): Weak solution with inflow boundary data
- ▶ Nouri (08): QBGK: Weak solution with diffusive boundary data
- ▶ Y. et al (16,18): ES-BGK, QBGK, RBGK.

- Boltzmann

- ▶ Arkeryd-Cercignani-Illner (91): Measure-Valued Solutions.
- ▶ Maslova: Mild Solutions (93)
- ▶ Arkeryd-Nouri (98,99,00...): Weak solutions
- ▶ Brull (08): Gas mixture
- ▶ Guo-Kim-Esposito-Marra (13,18): Near Maxwellian

# Notations

We first set up notational conventions and define norms:

- abbreviate notation:

$$f_{LR}(v) = f_L(v)\mathbf{1}_{v_1>0} + f_R(v)\mathbf{1}_{v_1<0}.$$

We also define the following quantities:

- Norm:

$$\sup_x \|f\|_{L^1_x} = \sup_x \left\{ \int_{\mathcal{R}^3} |f(x, v)|(1 + |v|^2) dv \right\},$$

- Trace norms ( $n(i)$ : outward normal at  $x = i$  ( $i = 0, 1$ )):

$$\|f\|_{L^1_{\gamma, |v_1|}} = \sum_{i=1,2} \int_{v \cdot n(i) < 0} |f(i, v)| |v_1| dv + \int_{v \cdot n(i) > 0} |f(i, v)| |v_1| dv,$$

$$\|f\|_{L^1_{\gamma, \langle v \rangle}} = \sum_{i=1,2} \int_{v \cdot n(i) < 0} |f(i, v)| \langle v \rangle dv + \int_{v \cdot n(i) > 0} |f(i, v)| \langle v \rangle dv,$$

where  $\langle v \rangle = (1 + |v|^2)^{1/2}$ .

## Conditions on $f_{LR}$

( $P_1$ ) Finite flux, No concentration around  $v_1 = 0$ :

$$\|f_{LR}\|_{L^1_{\gamma, \langle v \rangle}} + \left\| \frac{f_{LR}}{|v_1|} \right\|_{L^1_{\gamma, \langle v \rangle}} < \infty$$

( $P_2$ ) No vertical inflow at the boundary:

$$\int_{\mathbb{R}^2} f_L v_i dv = \int_{\mathbb{R}^2} f_R v_i dv = 0 \quad (i = 2, 3)$$

( $P_3$ ) Lower bound ( $-1/2 < \nu < 1$ ) ( $i = 1, 2$ )

$$\left( \int_{v_1 > 0} e^{-\frac{a_{\nu,i}}{|v_1|}} f_L(v) |v_1| dv \right) \left( \int_{v_1 < 0} e^{-\frac{a_{\nu,i}}{|v_1|}} f_R(v) |v_1| dv \right) > \gamma_{\ell,i} > 0.$$

( $P_4$ ) Lower bound ( $\nu = -1/2$ ) ( $i = 1, 2$ )

$$\inf_{|\kappa|=1} \int_{\mathbb{R}^3} e^{-\frac{2C_{LM,i}}{|v_1|}} f_{LR} \left\{ |v|^2 - (v \cdot \kappa)^2 \right\} dv \equiv a_{-1/2,i} > 0,$$

# Mild Solution

## Definition

$f \in L^1_2([0, 1]_x \times \mathbb{R}^3_v)$  is a mild solution if

$$f(x, v) = e^{-\frac{1}{\tau|v_1|} \int_0^x \rho_f(y) dy} f(0, v) + \frac{1}{\tau|v_1|} \int_0^x e^{-\frac{1}{\tau|v_1|} \int_y^x \rho_f(z) dz} \rho_f(y) \mathcal{M}(f) dy \quad \text{if } v_1 > 0$$

where

$$f(0, v) = \delta_1 f_L(v) + \delta_2 \left( \int_{|v_1| < 0} f(0, v) |v_1| dv \right) M_w, \quad (v_1 > 0)$$

$$f(1, v) = \dots$$

## Mild solution

For  $v_1 > 0$

$$|v_1| \partial_x f = \frac{\rho}{\tau} (\mathcal{M}_\nu(f) - f)$$

$$\partial_x f + \frac{\rho}{\tau |v_1|} f = \frac{\rho}{\tau |v_1|} \mathcal{M}_\nu(f)$$

$$\frac{d}{dx} \left( e^{\frac{\int_0^x \rho(y) dy}{\tau |v_1|}} f(x, \nu) \right) = \frac{1}{\tau |v_1|} e^{\frac{\int_0^x \rho(y) dy}{\tau |v_1|}} \rho(x) \mathcal{M}_\nu(f).$$

The case for  $v_1 < 0$  is the same.

## Main result: Inflow dominant case $\delta_2 \ll 1$

- : Non-critical case:  $-1/2 < \nu < 1$ :

### Theorem (Brull-Y. 19)

Let  $-1/2 < \nu < 1$ . Suppose  $f_{LR}$  satisfies  $(P_1)$ ,  $(P_2)$  and  $(P_3)$ . Then, for sufficiently small  $\delta_2$  and  $\tau^{-1}$ , there exists a unique mild solution  $f \geq 0$  for BVP.

- : Critical case:  $\nu = -1/2$ :

### Theorem (Brull-Y. 19)

Let  $\nu = -1/2$ : Suppose  $f_{LR}$  satisfies  $(P_1)$ ,  $(P_2)$  and  $(P_4)$ . Assume further that

$$\left| \int_{v_1 > 0} f_L |v_1| dv - \int_{v_1 < 0} f_R |v_1| dv \right| \ll 1,$$

Then, for sufficiently small  $\delta_2$  and  $\tau^{-1}$ , there exists a unique mild solution  $f \geq 0$  for BVP.



## Main result: Diffusive dominant case: $\delta_1 \ll 1$

- : Non-critical case:  $-1/2 < \nu < 1$ :

### Theorem (Brull-Y. 19)

Let  $-1/2 < \nu < 1$ . Suppose  $f_{LR}$  satisfies  $(P_1)$ ,  $(P_2)$  and  $(P_3)$ . Assume further that  $f$  satisfies

$$\int_{v_1 < 0} f(0, v) |v_1| dv + \int_{v_1 > 0} f(1, v) |v_1| dv = 1. \quad (2.1)$$

Then, for sufficiently small  $\delta_2$  and  $\tau^{-1}$ , then there exists a unique mild solution  $f \geq 0$  for BVP.

- : Critical case:  $\nu = -1/2$ :

### Theorem (Brull-Y. 19)

Let  $\nu = -1/2$ : Suppose  $f_{LR}$  satisfies  $(P_1)$ ,  $(P_2)$  and  $(P_4)$ . Assume the flux satisfies

$$\int_{v_1 < 0} f(0, v) |v_1| dv + \int_{v_1 > 0} f(1, v) |v_1| dv = 1. \quad (2.2)$$

Then, for sufficiently small  $\delta_2$  and  $\tau^{-1}$ , then there exists a unique mild solution  $f \geq 0$  for BVP.

## Approximate Scheme

We define our approximate scheme by

$$f^n = f_{v_1 > 0}^n + f_{v_1 < 0}^n,$$

where

$$\begin{aligned} f^{n+1}(x, v) &= e^{-\frac{1}{\tau|v_1|} \int_0^x \rho_n(y) dy} f^{n+1}(0, v) \\ &\quad + \frac{1}{\tau|v_1|} \int_0^x e^{-\frac{1}{\tau|v_1|} \int_y^x \rho_n(z) dz} \rho_n(y) \mathcal{M}_v(f^n) dy \quad \text{if } v_1 > 0 \end{aligned}$$

and

$$f^{n+1}(0, v) = \delta_1 f_L(v) + \delta_2 \left( \int_{|v_1| < 0} f^n(0, v) |v_1| dv \right) M_w, \quad (v_1 > 0)$$

## Solution Space

$$\Omega = \{f \in L_2^1 \mid f \text{ satisfies } (\mathcal{A}), (\mathcal{B}), (\mathcal{C})\}$$

where

- $(\mathcal{A})$   $f$  is non-negative:

$$f(x, v) \geq 0 \text{ a.e.}$$

- $(\mathcal{B})$  Lower bounds ( $|\kappa| = 1$ ):

$$\rho \geq C_1. \quad \kappa^\top \{T_\nu\} \kappa \geq C_2$$

- $(\mathcal{C})$  Norm bounds

$$\|f\|_{L_2^1}, \quad \|f\|_{L_{\gamma, |v_1|}^1}, \quad \|f\|_{L_{\gamma, \langle v \rangle}^1} \leq C_3$$

For large  $\tau$

- Banach fixed point theorem  $\rightarrow$  Something has to be small.
- Usually,  $\|f\|$  small in a suitable norm.
- We take  $\tau$  large instead. (Maslova)

## We want

- Uniform estimate:

$$f^n \in \Omega \quad \text{for all } n.$$

- Contractivity:

$$\|f^{n+1} - f^n\| \leq \delta \|f^{n+1} - f^n\|$$

for appropriate norm and  $\delta < 1$ .

## Difficulties

- Singularities may arise near  $v_1 = 0$ :

$$\partial_x f = \frac{\rho}{\tau v_1} (\mathcal{M}_\nu - f).$$

- Singularities may arise near  $\mathcal{T}_\nu = 0$  since  $\mathcal{M}_\nu$  contains  $\mathcal{T}_\nu^{-1}$  and  $(\det \mathcal{T}_\nu)^{-1}$
- Dichotomy:  $(-1/2 < \nu < 1: T \sim \mathcal{T}_\nu \text{ Id})$  VS  $(\nu = -1/2: T \approx \mathcal{T}_{-1/2} \text{ Id})$

First Problem:  $\frac{1}{|v_1|}$

The main decay estimate:

Lemma

Let  $f \in \Omega_i$  ( $i = 1, 2$ ). Then we have

$$\int_{v_1 > 0} \int_0^x \frac{1}{\tau |v_1|} e^{-\frac{\int_y^x \rho_f(z) dz}{\tau |v_1|}} \rho_f(y) \mathcal{M}_\nu(f) (1 + |v|^2) dy dv \leq C \left( \frac{\ln \tau + 1}{\tau} \right)$$

## Proof

For  $f \in \Omega$ , we can reduce

$$\begin{aligned} \int_{v_1 > 0} \int_0^x \frac{1}{\tau |v_1|} e^{-\frac{\int_y^x \rho_f(z) dz}{\tau |v_1|}} \rho_f(y) \mathcal{M}_v(f) |v|^2 dy dv \\ \leq \int_{v_1 > 0} \int_0^x \frac{1}{\tau |v_1|} e^{-\frac{a_{\ell,1}(x-y)}{\tau |v_1|}} e^{-Cv_1^2} dy dv \end{aligned}$$

Divide the domain of integration:

$$\begin{aligned} \left\{ \int_0^x \int_{|v_1| < \frac{1}{\tau}} + \int_0^x \int_{\frac{1}{\tau} \leq |v_1| < \tau} + \int_0^x \int_{|v_1| \geq \tau} \right\} \frac{1}{\tau |v_1|} e^{-\frac{a_{\ell,1}(x-y)}{\tau |v_1|}} e^{-Cv_1^2} dv_1 dy \\ \equiv I_1 + I_2 + I_3. \end{aligned}$$



(a) Estimate of  $I_1$ : Integrate on  $x$ :

$$\begin{aligned} & \int_{|v_1| < \frac{1}{\tau}} \left\{ \int_0^x \frac{1}{\tau|v_1|} e^{-\frac{a_{\ell,1}(x-y)}{\tau|v_1|}} dy \right\} e^{-Cv_1^2} dv_1 \\ &= \frac{1}{a_{\ell,1}} \int_{|v_1| < \frac{1}{\tau}} \left\{ 1 - e^{-\frac{a_{\ell,1}x}{\tau|v_1|}} \right\} e^{-Cv_1^2} dv_1 \\ &\leq \frac{1}{a_{\ell,1}} \int_{|v_1| < \frac{1}{\tau}} dv_1 \\ &\leq \frac{1}{a_{\ell,1}\tau} \end{aligned}$$

(b) Estimate of  $l_2$ : We first integrate on  $x$ :

$$l_2 \leq \frac{1}{a_{\ell,1}} \int_{\frac{1}{\tau} \leq |v_1| \leq \tau} 1 - e^{-\frac{a_{\ell,1}x}{\tau|v_1|}} dv_1$$

Apply the Taylor expansion to  $1 - e^{-\frac{a_{\ell,1}}{\tau|v_1|}}$ :

$$\begin{aligned} l_2 &= \frac{1}{a_{\ell,1}} \int_{\frac{1}{\tau} < |v_1| < \tau} \left\{ \left( \frac{a_{\ell,1}}{\tau|v_1|} \right) - \frac{1}{2!} \left( \frac{a_{\ell,1}}{\tau|v_1|} \right)^2 + \frac{1}{3!} \left( \frac{a_{\ell,1}}{\tau|v_1|} \right)^3 + \dots \right\} dv_1 \\ &= \frac{1}{\tau} \ln \tau^2 + \frac{1}{2!} \frac{a_{\ell,1}}{\tau^2} \frac{\tau^2 - 1}{\tau} + \frac{1}{2 \cdot 3!} \frac{a_{\ell,1}^2}{\tau^3} \frac{\tau^4 - 1}{\tau^2} + \frac{1}{3 \cdot 4!} \frac{a_{\ell,1}^3}{\tau^4} \frac{\tau^6 - 1}{\tau^3} \dots \\ &\leq \frac{1}{\tau} \ln \tau^2 + \frac{e^{a_{\ell,1}}}{a_{\ell,1}} \frac{1}{\tau}. \end{aligned}$$

(c) Estimate of  $l_3$ :

$$\begin{aligned} l_3 &\leq \int_0^1 \int_{|v_1| > \tau} \frac{1}{\tau |v_1|} e^{-Cv_1^2} dv_1 dy \\ &\leq \frac{1}{\tau^2} \int_{\mathbb{R}^3} e^{-Cv_1^2} dv_1 \\ &\leq C_{\ell, u} \frac{1}{\tau^2}. \end{aligned}$$

We combine the estimates (a), (b), (c) to obtain the desired result.

## Second problem: $\mathcal{T}_\nu^{-1}$ , $(\det \mathcal{T}_\nu)^{-1}$

- We will derive lower bounds for  $\kappa^\top \mathcal{T}_\nu \kappa$ .
- We first need some control on bulk velocity:

### Lemma

Let  $f^n \in \Omega$ .

(1) For  $i = 1$ , we have

$$\left| \int_{\mathbb{R}^3} f^{n+1} v_1 dv \right| \leq \delta_1 \left| \int_{v_1 > 0} f_L |v_1| dv - \int_{v_1 < 0} f_R |v_1| dv \right| + O(\delta_2, 1/\tau).$$

(2) For  $i = 2, 3$ , we have

$$\left| \int_{\mathbb{R}^3} f^{n+1} v_i dv \right| \leq C_{\ell, u} \left( \frac{\ln \tau + 1}{\tau} \right).$$

- size of  $U_1 \sim$ : Depends on the discrepancy of the boundary flux
- size of  $U_2, U_3$ : Small

## Uniform Lower bounds for $\mathcal{T}_\nu$

### Lemma

(1) Let  $-1/2 \leq \nu < 1$ . Assume  $f^n \in \Omega$ . Then, for sufficiently large  $\tau$ , we have

$$\kappa^\top \left\{ \mathcal{T}_\nu^{n+1} \right\} \kappa \geq C.$$

for some  $C > 0$  independent of  $n$ .

- We divide the proof into  $-1/2 < \nu < 1$  and  $\nu = -1/2$ .

## The Proof for $-1/2 < \nu < 1$ : $T \text{Id} \approx \mathcal{T}_\nu$

### Lemma

Let  $-1/2 \leq \nu < 1$ . Then we have

$$\min\{1 - \nu, 1 + 2\nu\} T \text{Id} \leq \mathcal{T}_\nu \leq \min\{1 - \nu, 1 + 2\nu\} T \text{Id},$$

- Therefore, it is enough to estimate  $T$ .

Proof:  $\mathcal{T}_\nu \approx T \text{ Id}$

- By definition

$$\mathcal{T}_\nu = \frac{1}{\rho} \int_{\mathbb{R}^3} F \left\{ \frac{1-\nu}{3} |v-U|^2 \text{Id} + \nu (v-U) \otimes (v-U) \right\} dv.$$

- For any  $|k| = 1$  in  $\mathbb{R}^3$

$$k^T \{ \rho \mathcal{T}_\nu \} k = \frac{1}{\rho} \int_{\mathbb{R}^3} F \left\{ \frac{(1-\nu)}{3} |v-U|^2 + \nu \{(v-U) \cdot k\}^2 \right\} dv.$$

- Split into 2 cases,  $0 \leq \nu < 1$ ,  $-\frac{1}{2} < \nu < 0$ .

## Estimate of $T$

By Cauchy-Schwarz

$$\begin{aligned} 3\{\rho^{n+1}\}^2 T^{n+1} &= \left( \int_{\mathbb{R}^3} f^{n+1} dv \right) \left( \int_{\mathbb{R}^3} f^{n+1} |v|^2 dv \right) - \left| \int_{\mathbb{R}^3} f^{n+1} v dv \right|^2 \\ &\geq \left( \int_{\mathbb{R}^3} f^{n+1} |v_1| dv \right)^2 - \left| \int_{\mathbb{R}^3} f^{n+1} v dv \right|^2 \\ &= \left( \int_{\mathbb{R}^3} f^{n+1} |v_1| dv \right)^2 - \left( \int_{\mathbb{R}^3} f^{n+1} v_1 dv \right)^2 - R. \end{aligned}$$

where

$$R = \sum_{(i,j) \neq (1,1)} \left| \int_{\mathbb{R}^3} f^{n+1} v_i dv \right| \left| \int_{\mathbb{R}^3} f^{n+1} v_j dv \right|,$$



$R$  small

By the smallness of vertical flow,  $R$  is small:

$$R \leq C_{\ell,u} \left( \frac{\ln \tau + 1}{\tau} \right).$$

/ bounded from below

$$\begin{aligned} & \left( \int_{\mathbb{R}^3} f^{n+1} |v_1| dv \right)^2 - \left( \int_{\mathbb{R}^3} f^{n+1} v_1 dv \right)^2 \\ &= \left\{ \int_{\mathbb{R}^3} f^{n+1} (|v_1| + v_1) dv \right\} \left\{ \int_{\mathbb{R}^3} f^{n+1} (|v_1| - v_1) dv \right\} \\ &= 4 \left\{ \int_{v_1 > 0} f^{n+1} |v_1| dv \right\} \left\{ \int_{v_1 < 0} f^{n+1} |v_1| dv \right\} \\ &\geq 4\delta_1^2 \left( \int_{v_1 > 0} e^{-\frac{a_{u,1}}{\tau|v_1|}} f_L |v_1| dv \right) \left( \int_{v_1 < 0} e^{-\frac{a_{u,1}}{\tau|v_1|}} f_R |v_1| dv \right) \\ &\geq 4\delta_1^2 \gamma_{\ell,1}. \end{aligned}$$

where we used

$$f^{n+1} \geq \delta_1 e^{-\frac{a_{u,1}}{\tau|v_1|}} f_L \mathbf{1}_{v_1 > 0} + \delta_1 e^{-\frac{a_{u,1}}{\tau|v_1|}} f_R \mathbf{1}_{v_1 < 0}.$$

## Estimate of $I$ and $R$

Therefore, for sufficiently large  $\tau$ , we can get

$$T^{n+1} \geq \frac{1}{3\{\rho^{n+1}\}^2} \left\{ 4\delta_1^2 \gamma_{\ell,1} - C_{\ell,u} \left( \frac{\ln \tau + 1}{\tau} \right) \right\} \geq C_1 \quad (2.3)$$

## Critical Case: $\nu = -1/2$

- In the critical case, we don't enjoy the equivalence type estimate.

$$\mathcal{T}_{-1/2} \approx T.$$

- By definition and explicit computation:

$$\begin{aligned} & \rho^{n+1} \kappa^\top \left\{ \mathcal{T}_{-1/2}^{n+1} \right\} \kappa \\ &= \int_{\mathbb{R}^3} f^{n+1} \left\{ |v|^2 - (v \cdot \kappa)^2 \right\} dv - \left\{ \rho^{n+1} |U^{n+1}|^2 - \rho^{n+1} (U^{n+1} \cdot \kappa)^2 \right\} \\ &\equiv I + II, \end{aligned}$$

for  $|\kappa| = 1$ .

## Lower bound of $I$

From the assumption on  $f_{LR}$ :

$$\begin{aligned} I &= \int_{\mathbb{R}^3} f^{n+1} \left\{ |v|^2 - (v \cdot \kappa)^2 \right\} dv \\ &\geq \delta_1 \int_{\mathbb{R}^3} \left\{ e^{-\frac{\int_0^x \rho^n dy}{|v_1|}} f_L \mathbf{1}_{v_1 > 0} + e^{-\frac{\int_x^1 \rho^n dy}{|v_1|}} f_R \mathbf{1}_{v_1 < 0} \right\} \left\{ |v|^2 - (v \cdot \kappa)^2 \right\} dv \\ &\geq \delta_1 \inf_{|\kappa|=1} \int_{\mathbb{R}^3} e^{-\frac{2}{|v_1|} \|f_{LR}\|_{L^1_{\gamma, \langle v \rangle}} \|M_w\|_{L^1_{\gamma, \langle v \rangle}}} f_{LR} \left\{ |v|^2 - (v \cdot \kappa)^2 \right\} dv \\ &\geq \delta_1 \mathbf{a}_{-1/2, 1}. \end{aligned}$$

## Control of $\| \cdot \|$

We can control  $\| \cdot \|$  by fact that the macro flow in  $x$  direction is controlled by the discrepancy of the boundary flux, and the vertical flows are small:

$$\begin{aligned} \| \cdot \| &\leq \frac{|\rho^{n+1} U^{n+1}|^2}{\rho^{n+1}} \\ &\leq \frac{1}{a_{\ell,1}} \sum_{i=1}^3 \left| \int_{\mathbb{R}^3} f^{n+1} v_i dv \right|^2 \\ &\leq 2\delta_1^2 \left| \int_{v_1 > 0} f_L |v_1| dv - \int_{v_1 < 0} f_R |v_1| dv \right|^2 + O(\delta_2, \tau^{-1}). \end{aligned}$$

Therefore,

$$\begin{aligned} \kappa^\top \left\{ \mathcal{T}_{-1/2}^{n+1} \right\} \kappa &\geq \delta_1 \inf_{|\kappa|=1} \int_{\mathbb{R}^3} e^{-\frac{2CLM}{|v|}} f_{LR} \left\{ |v|^2 - (v \cdot \kappa)^2 \right\} dv \\ &\quad - \left| \int_{v_1 > 0} f_L |v_1| dv - \int_{v_1 < 0} f_R |v_1| dv \right|^2 \end{aligned}$$

up to small error.

## Lip Continuity of $\mathcal{M}_\nu$

### Lemma

Let  $f, g$  be elements of  $\Omega_j$ . Then  $\mathcal{M}_\nu$  satisfies

$$|\mathcal{M}_\nu(f) - \mathcal{M}_\nu(g)| \leq C_{\ell, u} \sup_x \|f - g\|_{L^1_2} e^{-C_{\ell, u} |\nu|^2}.$$



We expand  $\mathcal{M}_\nu(f) - \mathcal{M}_\nu(g)$  as

$$\begin{aligned}\mathcal{M}_\nu(f) - \mathcal{M}_\nu(g) &= (\rho_f - \rho_g) \int_0^1 \frac{\partial \mathcal{M}_\nu(\theta)}{\partial \rho} d\theta \\ &+ (U_f - U_g) \int_0^1 \frac{\partial \mathcal{M}_\nu(\theta)}{\partial U} d\theta \\ &+ (\mathcal{T}_f - \mathcal{T}_g) \int_0^1 \frac{\partial \mathcal{M}_\nu(\theta)}{\partial \mathcal{T}_\nu} d\theta.\end{aligned}\tag{2.4}$$

Roughly,

$$|\mathcal{M}_\nu(f) - \mathcal{M}_\nu(g)| \leq C \left( \frac{1}{\rho} + \frac{1}{T^{5/2}} \right) \|f - g\|$$

# Contraction

## Lemma

Suppose  $f^{n+1}, f^n \in \Omega$ . Then, under the assumption of Theorem 2.2, we have

$$\begin{aligned} \sup_x \|f^{n+1} - f^n\|_{L^1_2} + \|f^{n+1} - f^n\|_{L^1_{\gamma, |v_1|}} + \|f^{n+1} - f^n\|_{L^1_{\gamma, \langle v \rangle}} \\ \leq K(\delta_1, \tau, f_{LR}) \sup_x \|f_n - f_{n-1}\|_{L^1_2} + \delta_2 C \|f^n - f^{n-1}\|_{L^1_{\gamma, |v_1|}} + \delta_3 C \|f^n - f^{n-1}\|_{L^1_{\gamma, \langle v \rangle}} \end{aligned}$$

where  $K(\delta_1, \tau, f_{LR})$  denotes

$$K(\delta_1, \tau, f_{LR}) = \frac{\delta_1}{\tau} \left( \|f_{LR}\|_{L^1_{\gamma, \langle v \rangle}} + \|f_{LR}|v_1|^{-1}\|_{L^1_{\gamma, \langle v \rangle}} \right) + \frac{\ln t + 1}{\tau \delta_1^3}.$$

# Future

- Boltzmann equation
- Various BGK models: Q,R,Reactive
- Weak solutions with no smallness.

Thank You Very Much!

**Thank you for your attention!**