

# Space-Velocity Bridge Is Falling Down Fractional Mixture Lemma

Kung-Chien Wu

National Cheng Kung University  
TAIWAN

Joint with Yu-Chu Lin and Haitao Wang

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- Boltzmann Equation
- Mixture estimate and its application
- Proof of the Mixture estimate

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# Boltzmann Equation: $\partial_t F + \xi \cdot \nabla_x F = Q(F, F)$

$t$ : time;  $x \in \mathbb{R}^3$ : space;  $\xi \in \mathbb{R}^3$ : **microscopic velocity**.

$F(t, x, \xi)$ : density distribution function.

- **Transport:**  $\partial_t F + \xi \cdot \nabla_x F$ .

- **Collision operator:**

$$Q(g, h) = \frac{1}{2} \int_{\mathbb{S}^2 \times \mathbb{R}^3} [-gh_* - g_*h + g'h'_* + g'_*h'] B(|\xi|, \Omega) d\xi_* d\Omega.$$

- $\xi, \xi_*$ : velocity before collision,  $\xi', \xi'_*$ : velocity after collision.

$$\xi' = \xi - [(\xi - \xi_*) \cdot \Omega] \Omega, \quad \xi'_* = \xi_* + [(\xi - \xi_*) \cdot \Omega] \Omega.$$

- **Very Soft potential:**  $-3 < \gamma \leq -2$

$$B(|\xi - \xi_*|, \theta) = |\xi_* - \xi|^\gamma b(\theta)$$

- **Cutoff assumption:**  $0 < b(\theta) \leq C |\cos \theta|$

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# Around global Maxwellian

- Assumption on collision kernel:  $-3 < \gamma \leq -2$  with cutoff
- (Global Maxwellian):  $w(\xi) = \frac{1}{(2\pi)^{3/2}} \exp\left(\frac{-|\xi|^2}{2}\right)$ .
- Solution around the global Maxwellian  $w(\xi)$ :  $F = w + w^{1/2}f$ ,

$$\partial_t f + \xi \cdot \nabla_x f = Lf + \Gamma(f, f),$$

$$Lf = -\nu(\xi)f + Kf.$$

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- Let  $\tau \in \mathbb{R}$  and  $-3 < \gamma \leq -2$ . Then

$$|Kg|_{L_{\xi, \tau + 2 - \gamma}^q} \lesssim |g|_{L_{\xi, \tau}^q}, \quad 1 \leq q \leq \infty$$

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- Boltzmann Equation
- Mixture estimate and its application
- Proof of the Mixture estimate

**Mechanism:** Let  $S_B$  be a transport type semigroup and  $\mathcal{A}$  be a smoothing integral operator in  $\xi$ , then mixing  $S_B$  and  $\mathcal{A}$  will transfer the  $\xi$  regularity coming from  $\mathcal{A}$  to the space regularity  $x$ .

# Review of the Mixture estimate for $-2 < \gamma \leq 1$

$$\begin{cases} \partial_t g + \xi \cdot \nabla_x g + \nu(\xi)g = 0, \\ g(0, x, \xi) = g_0(x, \xi). \end{cases} \quad g = \mathbb{S}_\gamma^t g_0$$

- $\mathbb{S}_\gamma^t$ : damped transport operator
- $K$ : smoothing operator in  $\xi$  ( $\nabla_\xi K$  exists).
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$$\|\nabla_x K \mathbb{S}_\gamma^t K h_0\|_{L^2} \lesssim t^{-1} \|h_0\|_{L^2}.$$

- Mixture Lemma by Liu and Yu: Fourier transform method in  $x$ .
- Iterated averaging lemma by Gualdani, Mischler and Mouhot: using  $\mathcal{D}_t = t\nabla_x + \nabla_\xi$ .
- Generalization (with H.T. Wang, Y-C Lin, M-J Lyu):  
 $\gamma = 1$  (SIMA 14),  $-2 < \gamma < 1$  (JSP 18),  
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# Mixture estimate for $-3 < \gamma \leq -2$ (Bridge is falling down)

- **Key Point 1:** Instead of the derivative estimate of  $\nabla_\xi K$ , can we still gain some fractional regularity in velocity  $(-\Delta_\xi)^{s/2} K$  for appropriate  $s > 0$ ?

$$\nabla_\xi K \Rightarrow (-\Delta_\xi)^{s/2} K?$$

- **Key Point 2:** Given the fractional derivative estimate for  $K$ , is it still possible to transfer the microscopic velocity regularity to macroscopic space regularity in the fractional case by mixture?

$$\mathcal{D}_t = t\nabla_x + \nabla_\xi \Rightarrow (t\nabla_x + \nabla_\xi)^s?$$

- **Mixture estimate:** (Mathematische Annalen 2022)

Let  $-3 < \gamma \leq -2$ ,  $0 < s < 3 + \gamma$ ,

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# Fractional derivative

- **Fractional derivative:**  $(-\Delta_y)^{\frac{s}{2}}$  for  $0 < s < 1$

## Definition 1:

$$(-\Delta_y)^{\frac{s}{2}} f(y) = \text{p.v.} \int_{\mathbb{R}^3} \frac{f(y+z) - f(y)}{|z|^{3+s}} dz,$$

## Definition 2:

$$(-\Delta_y)^{\frac{s}{2}} f(y) = \mathcal{F}^{-1}\{|\hat{y}|^s \hat{f}(\hat{y})\},$$

here  $\hat{f}(\hat{y}) = \int_{\mathbb{R}^3} e^{iy \cdot \hat{y}} f(y) dy$  is the Fourier transform of  $f(y)$  and  $\mathcal{F}^{-1}$  be it's corresponding inverse transform.

- The definitions are equivalent on the **Lebesgue space  $L^p$**  ( $1 \leq p < \infty$ ).

# Fractional derivative

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# Other important regularization estimate

- Velocity averaging lemma: Golse, Lions, Perthame and Sentis
- A-smoothing Property: Glassey and Strauss
- The  $L^2$ - $L^\infty$  approach: Guo

# Well-posedness and large time behavior

Let  $0 < p \leq 2$ ,  $\beta > 3/2$ ,  $\alpha > 0$  sufficiently small, and  $j > 0$  sufficiently large. Assume that the initial data  $\eta f_0$  satisfies  $f_0 \in L_{\xi, \beta+2j}^\infty(e^{\alpha\langle \xi \rangle^p})(L_x^1 \cap L_x^\infty)$  where  $\eta > 0$  is sufficiently small. Then there is a unique solution  $f$  with

$$\|f(t)\|_{L_{\xi, \beta}^\infty(e^{\alpha\langle \xi \rangle^p})L_x^2} \leq \eta C_1(1+t)^{-\frac{3}{4}} \|f_0\|_{L_{\xi, \beta+2j}^\infty(e^{\alpha\langle \xi \rangle^p})(L_x^1 \cap L_x^\infty)},$$

$$\|f(t)\|_{L_{\xi, \beta}^\infty(e^{\alpha\langle \xi \rangle^p})L_x^\infty} \leq \eta C_2(1+t)^{-\frac{3}{2}} \|f_0\|_{L_{\xi, \beta+3j}^\infty(e^{\alpha\langle \xi \rangle^p})(L_x^1 \cap L_x^\infty)},$$

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for some positive constants  $C_1, C_2, \bar{C}_1, \bar{C}_2$  depending on  $\gamma, \alpha, p, \beta$ , and  $j$ .

# Well-posedness and large time behavior

- Based on Liu-Yu's Green function approach: Long wave-short wave decomposition, singular-regular decomposition, bootstrap, **Mixture estimate**, nonlinear iteration.

- Related works: cutoff Boltzmann, non-smooth initial data

- Torus: large amplitude initial data, enlargement theory.  
Duan-Huang-Wang-Yang (17), Gualdani-Mischler-Mouhot (17), Cao (22).

- Bounded domain: Guo (10), Liu-Yang (17), Kim-Lee (18).

- Whole space: Liu-Yu (Green function for hard sphere, 04), Ukai-Yang (Large time behavior for hard potential, 06), Duan-Huang-Wang-Yang (Global existence for large amplitude initial data 17)

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- Boltzmann Equation
- Mixture estimate and its application
- Proof of the Mixture estimate

# Fractional derivative of $\nu$ and $K$

- Fractional derivative of  $\nu$  and  $K$  (Singular integral definition):
- For any  $t > 0$  and  $0 < s < 3 + \gamma$ , we have

$$\left| (-\Delta_\xi)^{\frac{s}{2}} e^{-\nu(\xi)t} \right| \lesssim \langle \xi \rangle^{-s}.$$

- If  $0 < s < 3 + \gamma$

$$\begin{aligned} \left| (-\Delta_\xi)^{\frac{s}{2}} k(\xi, \eta) \right| &\lesssim |\xi - \eta|^{\gamma-s} (1 + |\xi| + |\eta|)^{\gamma+1} e^{-\frac{|\xi-\eta|^2}{c}} \\ &\quad + (1 + |\xi - \eta|)^{-3-s} (1 + |\xi| + |\eta|)^{\gamma-1} \\ &\quad + (1 + |\eta|)^{\gamma-1} (1 + |\xi| + |\eta|)^{-3-s}. \end{aligned}$$

- To control the singularity (for  $|\xi - \eta|$  small)
- To maintain the decay estimates of  $K$  (for  $|\xi|$  or  $|\eta|$  large)



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$$-3 < \gamma \leq -2 \text{ and } 0 < s < 3 + \gamma$$



$$\int_{\mathbb{R}^3} \left| (-\Delta_\xi)^{\frac{s}{2}} k(\xi, \eta) \right|^q d\eta \lesssim \langle \xi \rangle^{q(\gamma+1)},$$
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# Proof of the Mixture estimate $(-\Delta_x)^{\frac{s}{2}} K S_\gamma^t K h_0$

**Step1: Free transport equation** Let

$$h(t, x, \xi) = \mathbb{S}^t h_0 = h_0(x - \xi t, \xi),$$

if we take the Fourier transform in both  $x$  and  $\xi$  variables and let  $\hat{x}$  and  $\hat{\xi}$  be the Fourier variables of  $x$  and  $\xi$  respectively, then we have

$$\hat{h}(t, \hat{x}, \hat{\xi}) = \hat{h}_0(\hat{x}, \hat{\xi} + t\hat{x}).$$

Note that

$$|\hat{x}|^s \hat{h}(t, \hat{x}, \hat{\xi}) = t^{-s} |\hat{\xi}|^s \hat{h}(t, \hat{x}, \hat{\xi}) + t^{-s} (|t\hat{x}|^s - |\hat{\xi}|^s) \hat{h}(t, \hat{x}, \hat{\xi}),$$

one has (**Fourier transform definition**)

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**Remark:** Similar idea in F. Bouchut (JMPA 2002).



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# Proof of the Mixture estimate $(-\Delta_x)^{\frac{s}{2}} KS_\gamma^t Kh_0$

**Step2: Estimate of  $KS_\gamma^t$**  Let  $0 < s < 3 + \gamma$ , if  $\frac{1}{p} = \frac{1}{2}(3 - \frac{2}{q})$  with  $1 < q < \frac{3}{-\gamma+s}$ ,

$$\left\| (-\Delta_x)^{\frac{s}{2}} KS_\gamma^t h_0 \right\|_{L^2} \lesssim t^{-s} \|S^t h_0\|_{L_\xi^p L_x^2} + t^{-s} \|(-\Delta_\xi)^{\frac{s}{2}} h_0\|_{L^2}.$$

**Proof.** By Step 1:

$$\begin{aligned} (-\Delta_x)^{\frac{s}{2}} KS_\gamma^t h_0 &= t^{-s} Ke^{-\nu(\xi)t} (-\Delta_\xi)^{\frac{s}{2}} S^t h_0 \\ &\quad + t^{-s} Ke^{-\nu(\xi)t} \mathcal{F}^{-1} \left\{ \left( |t\hat{x}|^s - |\hat{\xi}|^s \right) \hat{h}(t, \hat{x}, \hat{\xi}) \right\}. \end{aligned}$$

Therefore

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# Proof of the Mixture estimate $(-\Delta_x)^{\frac{s}{2}} K S_\gamma^t K h_0$

- Estimate of  $T_2$ : ( $f(z) = |z|^s$  is Hölder continuous of order  $s$ )

$$T_2 \leq \left\| |t\hat{x} + \hat{\xi}|^s \hat{h}_0(\hat{x}, t\hat{x} + \hat{\xi}) \right\|_{L_x^2 L_\xi^2} \leq \left\| (-\Delta_\xi)^{\frac{s}{2}} h_0 \right\|_{L^2}.$$

- Estimate of  $T_1 = \left\| K e^{-\nu(\xi)t} (-\Delta_\xi)^{\frac{s}{2}} S^t h_0 \right\|_{L^2}$ : Note that

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**Fractional Leibniz rule:** Let  $1 < r < \infty$ ,  $1 < p_1, p_2, q_1, q_2 < \infty$  satisfy  $\frac{1}{r} = \frac{1}{p_1} + \frac{1}{q_1} = \frac{1}{p_2} + \frac{1}{q_2}$ . Given  $0 < s < 1$ , we have

$$\left| (-\Delta)^{\frac{s}{2}} (fg) \right|_{L^r} \lesssim \left| (-\Delta)^{\frac{s}{2}} f \right|_{L^{p_1}} |g|_{L^{q_1}} + |f|_{L^{p_2}} \left| (-\Delta)^{\frac{s}{2}} g \right|_{L^{q_2}}.$$

# Proof of the Mixture estimate $(-\Delta_x)^{\frac{s}{2}} K \mathbb{S}_\gamma^t K h_0$

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# Proof of the Mixture estimate $(-\Delta_x)^{\frac{s}{2}} K S_\gamma^t K h_0$

## Step3: Estimate of $K S_\gamma^t K$

Proof. In Step 2:

$$\left\| (-\Delta_x)^{\frac{s}{2}} K S_\gamma^t h_0 \right\|_{L^2} \lesssim t^{-s} \left\| S^t h_0 \right\|_{L_\xi^p L_x^2} + t^{-s} \left\| (-\Delta_\xi)^{\frac{s}{2}} h_0 \right\|_{L^2}.$$

Therefore

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# Conclusion

- We obtain the pointwise velocity fractional derivative estimate of the kernel function  $k(\xi, \eta)$ .
- We obtain the  $L^2$  spatial fractional derivative estimate of  $KS_\gamma^t K$ .
- We obtain the well-posedness of the cut-off Boltzmann equation for very soft potential with non-smooth initial perturbation.
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**THANK YOU**