

Constraint Programming

Lecture 4. Solving CSPs using Lazy Clause Generation.

Ruslan Sadykov

INRIA Bordeaux—Sud-Ouest

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SAT problem and solution algorithms

Lazy Clause Generation

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What is the SAT problem ?

Given a propositional formula (Boolean variables with AND, OR, NOT), is there an assignment to the variables such that the formula evaluates to true ?

- ▶ NP-complete problem with applications in AI, formal methods
- ▶ Input usually given as Conjunctive Normal Form (CNF) formulas
- ▶ It is possible to do the linear reduction from general propositional formulas

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Conjunctive Normal Form

SAT solvers usually take input in CNF : an AND of ORs of literals :

- ▶ **Atom** — a propositional variable : a, b, c
- ▶ **Literal** — an atom or its negation : a, \bar{a}, b, \bar{b}
- ▶ **Clause** — A disjunction of some literals : $a \vee \bar{b} \vee c$
- ▶ **CNF formula** — A conjunction of some clauses :
 $(a \vee \bar{b} \vee c) \wedge (\bar{c} \vee \bar{a})$

A formula is *satisfied* by a variable assignment if every clause has at least one literal which is true under that assignment.

A formula is *unsatisfied* by a variable assignment if some clause's literals are all false under that assignment.

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DPLL algorithm for the SAT problem (1)

Unit propagation

If a clause is a *unit clause*, i.e. it contains only a single unassigned literal, this clause can only be satisfied by assigning the necessary value to make this literal true.

Pure literal elimination

If a propositional variable occurs with only one polarity in the formula, it is called *pure*. Pure literals can always be assigned in a way that makes all clauses containing them true. Thus, these clauses do not constrain the search anymore and can be deleted.

DPLL algorithm for the SAT problem (2)

Algorithm 1: $DPLL(\Phi)$

```
if  $\Phi$  is a consistent set of literals then
  return true;
if  $\Phi$  contains an empty clause then
  return false;
foreach unit clause  $\{l\}$  in  $\Phi$  do
   $\Phi \leftarrow \text{unit-propagate}(l, \Phi)$ ;
foreach literal  $l$  that occurs pure in  $\Phi$  do
   $\Phi \leftarrow \text{pure-literal-assign}(l, \Phi)$ ;
 $l \leftarrow \text{choose-literal}(\Phi)$ ;
return  $DPLL(\Phi \wedge \{l\})$  or  $DPLL(\Phi \wedge \{\bar{l}\})$ ;
```

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DPLL algorithm : illustration

$(a' + b + c)$
 $(a + c + d)$
 $(a + c + d')$
 $(a + c' + d)$
 $(a + c' + d')$
 $(b' + c' + d)$
 $(a' + b + c')$
 $(a' + b' + c)$

All clauses making a CNF formula

DPLL algorithm : illustration

$(a' + b + c)$
 $(a + c + d)$
 $(a + c + d')$
 $(a + c' + d)$
 $(a + c' + d')$
 $(b' + c' + d)$
 $(a' + b + c')$
 $(a' + b' + c)$

Pick a variable

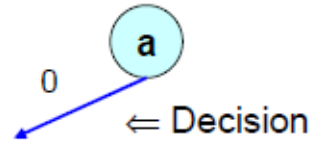
a

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DPLL algorithm : illustration

$(a' + b + c)$
 $(a + c + d)$
 $(a + c + d')$
 $(a + c' + d)$
 $(a + c' + d')$
 $(b' + c' + d)$
 $(a' + b + c')$
 $(a' + b' + c)$

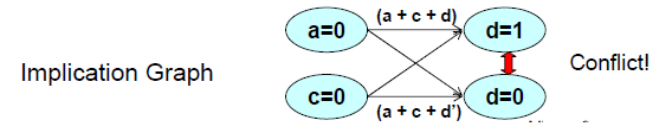
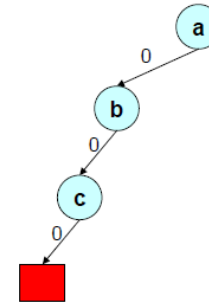


Make a decision, variable $a = \text{False}$ ($a = 0$)

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DPLL algorithm : illustration

$(a' + b + c)$
 $(a + c + d)$
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 $(a + c' + d)$
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 $(a' + b' + c)$

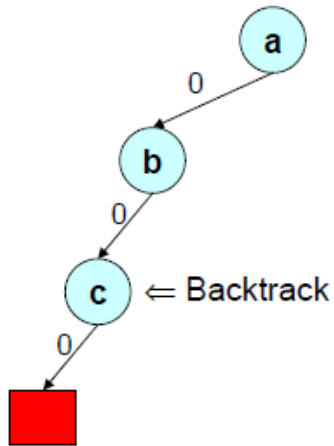


After making several decisions, we find an implication graph that leads to a conflict

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DPLL algorithm : illustration

$(a' + b + c)$
 $(a + c + d)$
 $(a + c + d')$
 $(a + c' + d)$
 $(a + c' + d')$
 $(b' + c' + d)$
 $(a' + b + c')$
 $(a' + b' + c)$

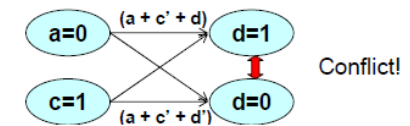
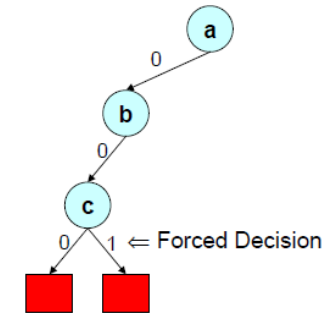


Now backtrack to immediate level and by force assign opposite value to that variable

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DPLL algorithm : illustration

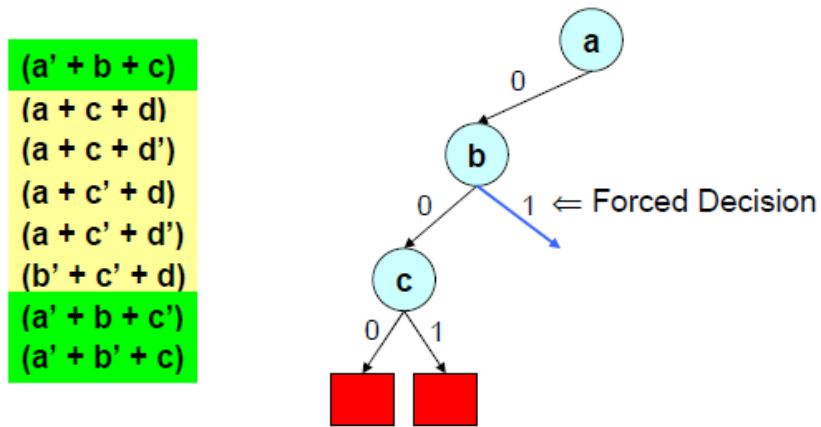
$(a' + b + c)$
 $(a + c + d)$
 $(a + c + d')$
 $(a + c' + d)$
 $(a + c' + d')$
 $(b' + c' + d)$
 $(a' + b + c')$
 $(a' + b' + c)$



But a forced decision still leads to another conflict

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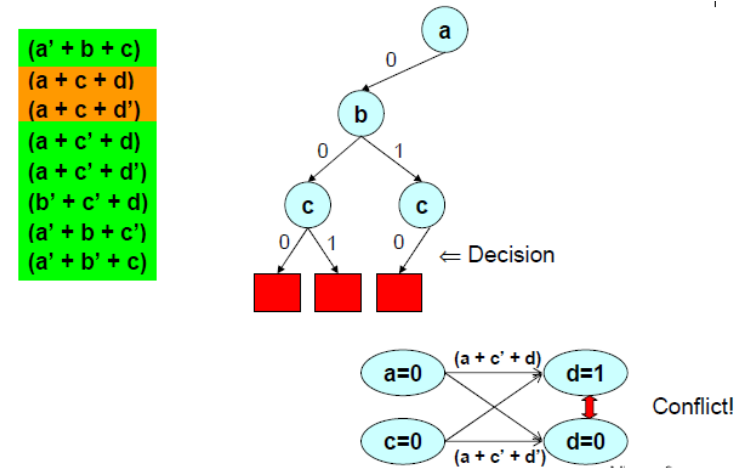
DPLL algorithm : illustration



Backtrack to previous level and make a forced decision

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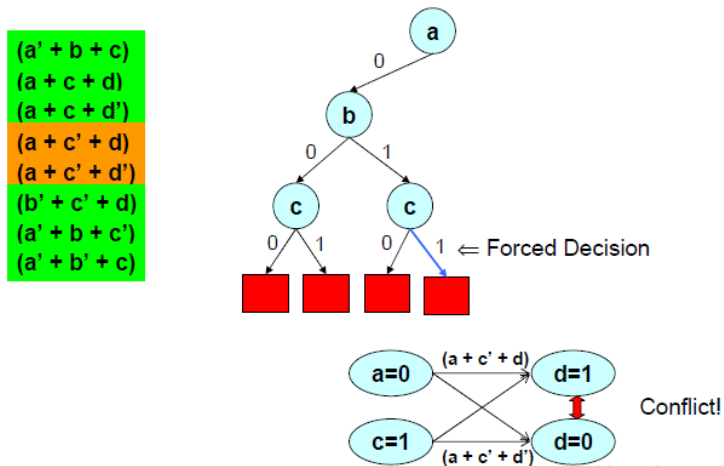
DPLL algorithm : illustration



Make a new decision, but it leads to a conflict

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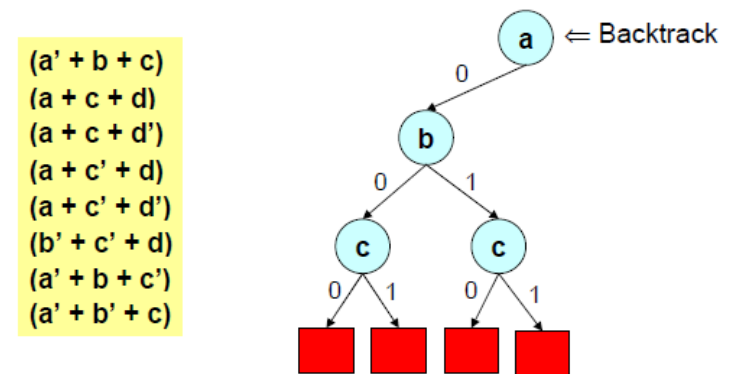
DPLL algorithm : illustration



Make a forced decision, but again it leads to a conflict

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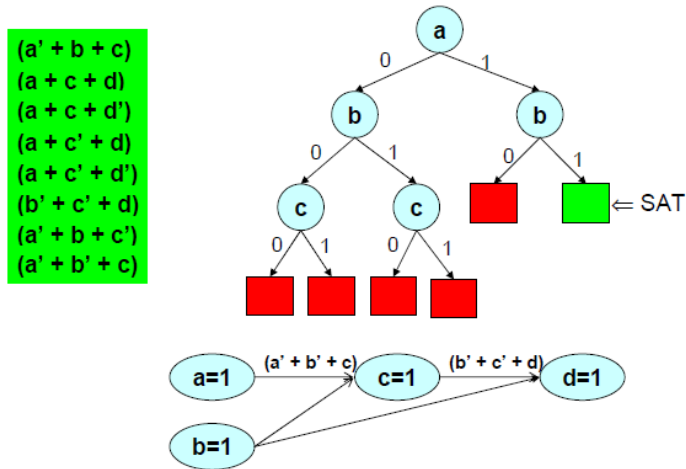
DPLL algorithm : illustration



Backtrack to previous level

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DPLL algorithm : illustration



Continue in this way and the final implication graph

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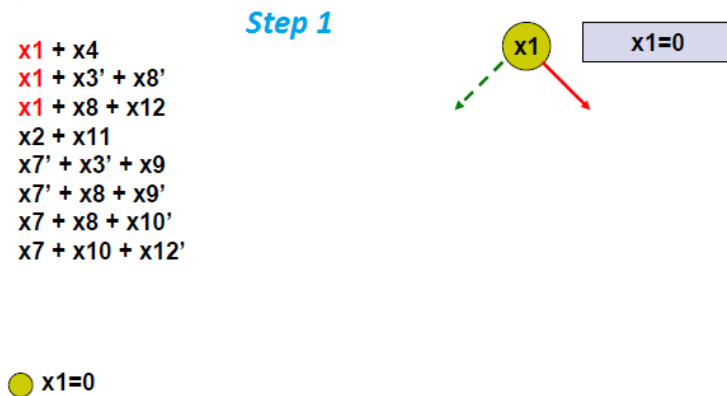
Conflict-Driven Clause Learning (CDCL)

Works as follows

1. Select a variable and assign True or False. This is called decision state. Remember the assignment.
2. Apply Boolean Constraint Propagation (unit propagation).
3. Build the implication graph.
4. If there is any conflict
 - ▶ Find the cut in the implication graph that led to the conflict
 - ▶ Derive a new clause which is the negation of the assignments that led to the conflict
 - ▶ Non-chronologically backtrack (*back jump*) to the appropriate decision level, where the first-assigned variable involved in the conflict was assigned
5. Otherwise continue from step 1 until all variable values are assigned

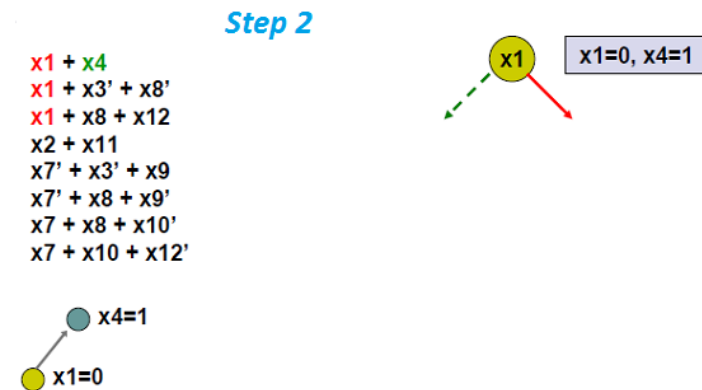
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CDCL algorithm : illustration



At first pick a branching variable, namely x_1 . A yellow circle means an arbitrary decision

CDCL algorithm : illustration

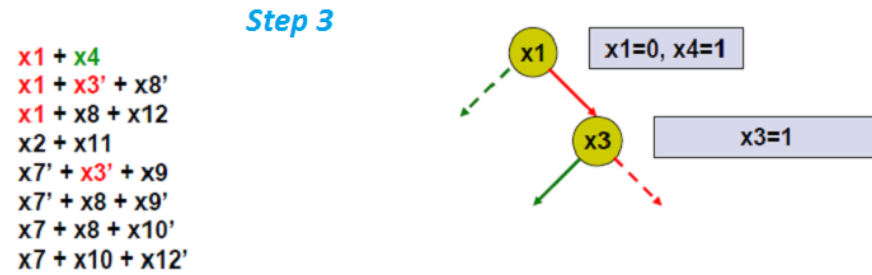


Now apply unit propagation, which yields that x_4 must be 1 (i.e. True). A gray circle means a forced variable assignment during unit propagation. The resulting graph is called an *implication graph*

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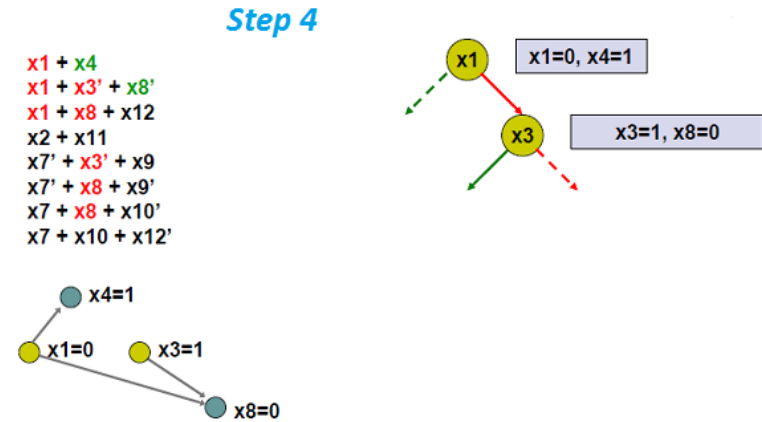
CDCL algorithm : illustration



Arbitrarily pick another branching variable, x_3

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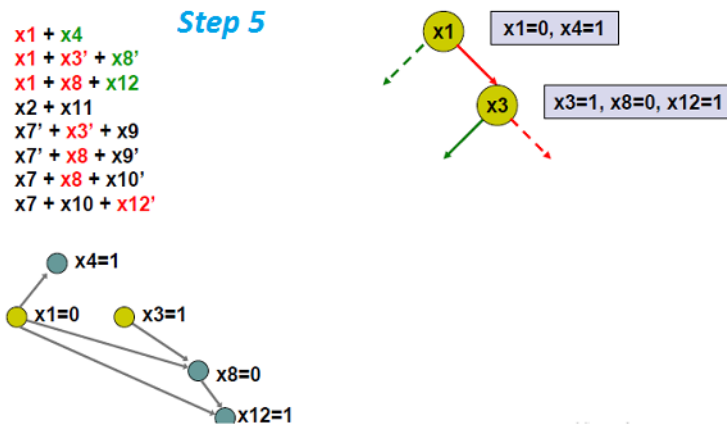
CDCL algorithm : illustration



Apply unit propagation and find the new implication graph

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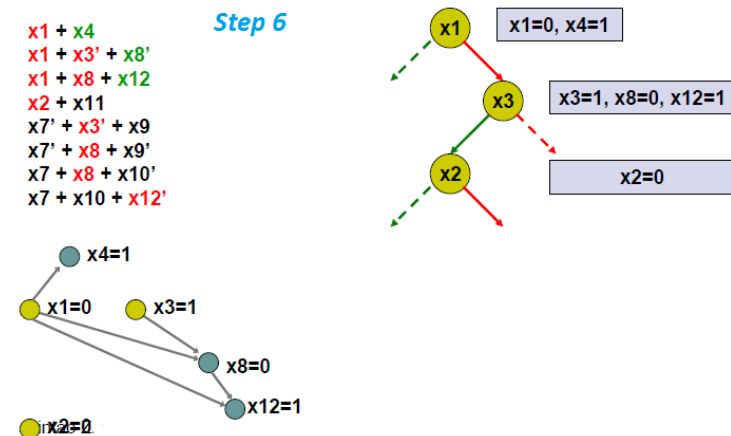
CDCL algorithm : illustration



Here the variable x_8 and x_{12} are forced to be 0 and 1, respectively

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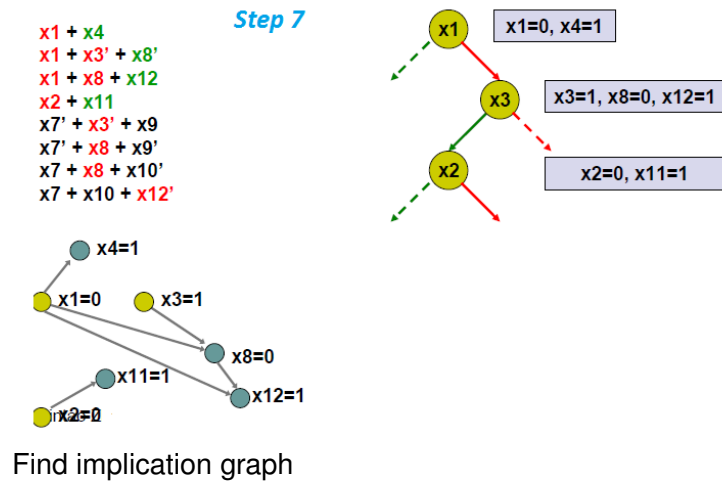
CDCL algorithm : illustration



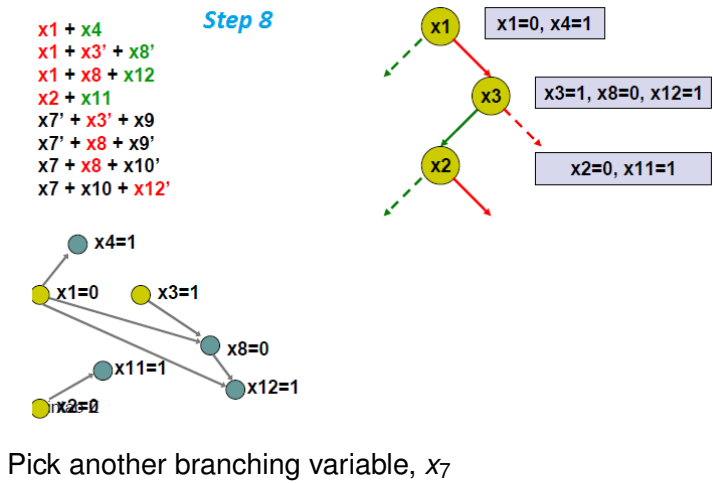
Pick another branching variable, x_2

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CDCL algorithm : illustration



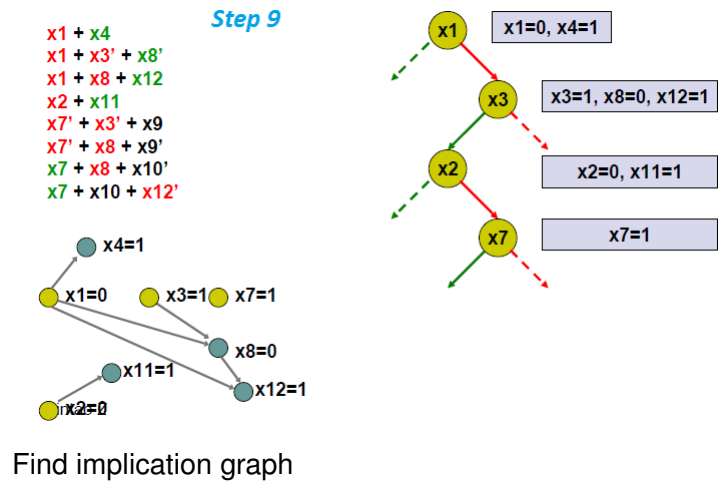
CDCL algorithm : illustration



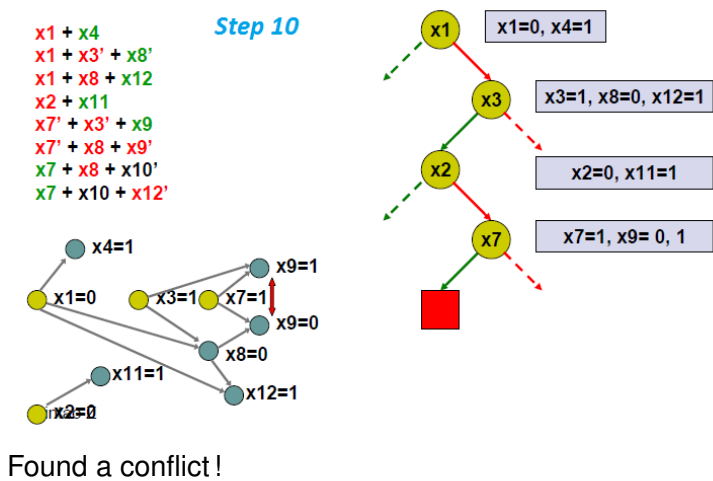
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CDCL algorithm : illustration



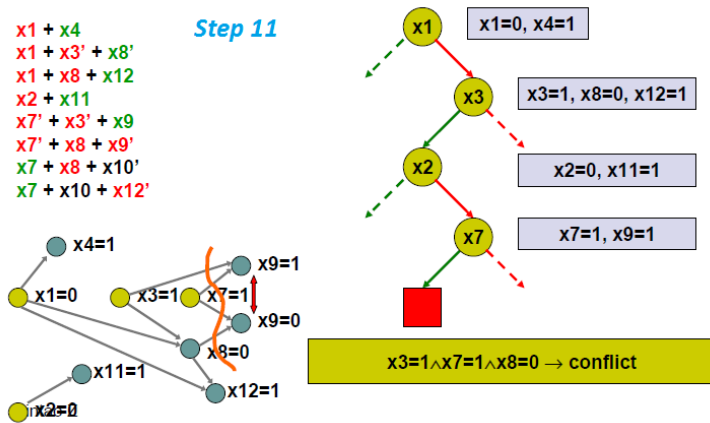
CDCL algorithm : illustration



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CDCL algorithm : illustration



Find the cut that led to this conflict. From the cut, find a conflicting condition

CDCL algorithm : illustration

If a implies b, then b' implies a'

Step 12

$x3=1 \wedge x7=1 \wedge x8=0 \rightarrow \text{conflict}$

Not conflict $\rightarrow (x3=1 \wedge x7=1 \wedge x8=0)'$

true $\rightarrow (x3=1 \wedge x7=1 \wedge x8=0)'$

$(x3=1 \wedge x7=1 \wedge x8=0)'$

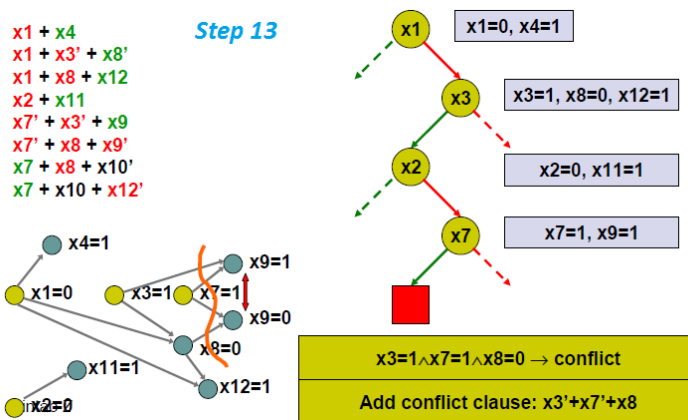
$(x3' + x7' + x8)$

Take the negation of this condition and make it a clause

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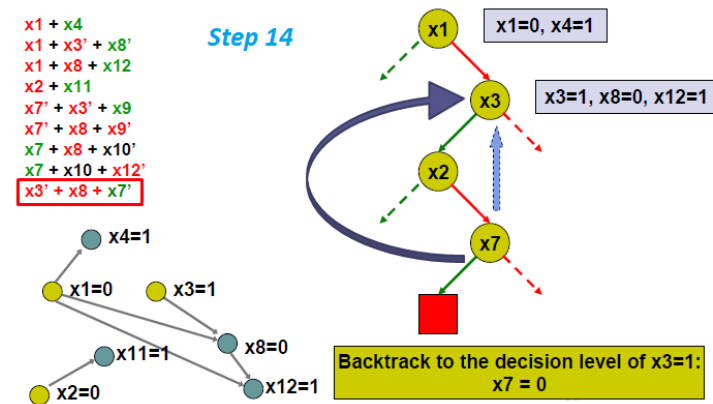
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CDCL algorithm : illustration



Add the conflict clause to the problem

CDCL algorithm : illustration

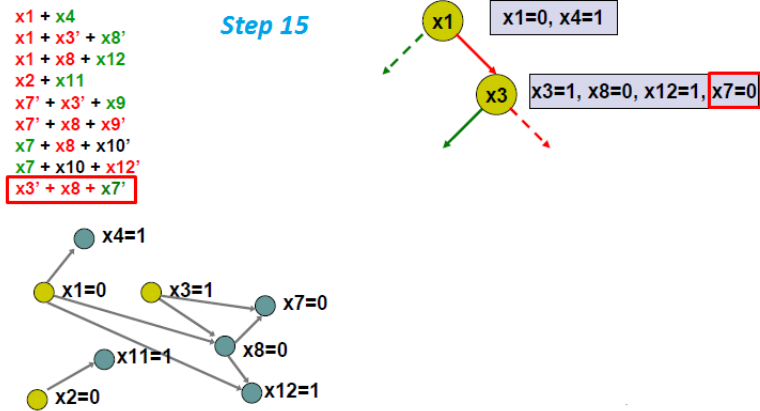


Non-chronological back jump to appropriate decision level, which in this case is the second highest decision level of the literals in the learned clause

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CDCL algorithm : illustration



Back jump and set variable values accordingly

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Representing integers with propositional variables (booleans)

- ▶ Integer x with initial domain $\{l, \dots, u\}$
 - ▶ Bounds booleans : $[[x \leq d]], l \leq d < u$
 - ▶ Equation booleans : $[[x = d]], l \leq d \leq u$
- ▶ An efficient form of **unary representation**
- ▶ We need constraints to represent relationship among variables
 - ▶ $[[x \leq d]] \Rightarrow [[x \leq d + 1]], l \leq d < n - 1$
 - ▶ $[[x = d]] \Leftrightarrow [[x \leq d]] \wedge \neg[[x \leq d - 1]]$
- ▶ Ensures **one to one correspondence** between domains and assignments

Atomic constraints

- ▶ Atomic constraints define changes in domain
 - ▶ Fixing variable : $x = d$
 - ▶ Changing bound : $x \leq d, x \geq d$
 - ▶ Removing value : $x \neq d$
- ▶ Atomic constraints are just boolean **literals**
 - ▶ $x = d \Leftrightarrow [[x = d]]$
 - ▶ $x \leq d \Leftrightarrow [[x \leq d]]$
 - ▶ $x \geq d \Leftrightarrow \neg[[x \leq d]]$
 - ▶ $x \neq d \Leftrightarrow \neg[[x = d]]$

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Explaining propagation

- ▶ A propagation must **explain** the domain changes it makes
- ▶ If $f(D) \neq D$ then propagator f returns an **explanation** for the atomic constraint changes

Example

- ▶ $D(x_1) = D(x_2) = D(x_3) = D(x_4) = D(x_5) = \{1, \dots, 4\}$
- ▶ $\text{all-different}(x_1, x_2, x_3, x_4)$
- ▶ $D(x_1) = \{1\}$ makes $D(x_2) = \{2, \dots, 4\}$
- ▶ Explanation : $x_1 = 1 \Rightarrow x_2 \neq 1$
- ▶ Implications of atomic constraints are **clauses** on the boolean literals :
 - ▶ $x_1 = 1 \Rightarrow x_2 \neq 1$
 - ▶ $[[x_1 = 1]] \Rightarrow \neg[[x_2 = 1]]$
 - ▶ $[[x_1 = 1]] \vee \neg[[x_2 = 1]]$
- ▶ Unit propagation on the clause will cause the change in domain

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Explaining propagation : continued example

- ▶ $x_2 \leq x_5$
 - ▶ $D(x_2) = \{2, \dots, 4\}$ enforces $D(x_5) = \{2, \dots, 4\}$
 - ▶ Explanation : $x_2 \geq 2 \Rightarrow x_5 \geq 2$
- ▶ $x_1 + x_2 + x_3 + x_4 \leq 9$
 - ▶ $D(x_1) = \{1, \dots, 4\}, D(x_2) = \{2, \dots, 4\}, D(x_3) = \{3, 4\}, D(x_4) = \{1, \dots, 4\}$ enforces $D(x_4) = \{1, \dots, 3\}$
 - ▶ Explanation : $x_2 \geq 2 \wedge x_3 \geq 3 \Rightarrow x_4 \leq 3$
 - ▶ $x_1 \geq 1$ is not included in the explanation since this is universally true (initial domain)

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Explaining failure

- ▶ When $f(D)(x) = \{\}$, **failure** detected
- ▶ The propagator must also *explain* failure
- ▶ $\text{all-different}(x_1, x_2, x_3, x_4)$
 - ▶ $D(x_3) = \{3\}, D(x_4) = \{3\}$ gives failure
 - ▶ Explanation : $x_3 = 3 \wedge x_4 = 3 \Rightarrow \text{false}$
- ▶ And
 - ▶ $D(x_1) = \{1, 3\}, D(x_2) = \{1, 2, 3\}, D(x_3) = \{1, 3\}, D(x_4) = \{1, 3\}$
 - ▶ Explanation :
 $x_1 \leq 3 \wedge x_1 \neq 2 \wedge x_3 \leq 3 \wedge x_3 \neq 2 \wedge x_4 \leq 3 \wedge x_4 \neq 2 \Rightarrow \text{false}$

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Minimal explanations

- ▶ An explanation should be **as general as possible**. Why?
- ▶ Sometimes there are **multiple** possible explanations, none better than others

Example

$D(x_1) = \{4, 6, \dots, 9\}, D(x_2) = \{1, 2\}, x_1 + 1 \leq x_2$

- ▶ $x_1 \geq 4 \wedge x_1 \neq 5 \wedge x_2 \leq 2 \Rightarrow \text{false}$
- ▶ $x_1 \geq 4 \wedge x_2 \leq 2 \Rightarrow \text{false}$
- ▶ $x_1 \geq 4 \wedge x_2 \leq 4 \Rightarrow \text{false}$
- ▶ $x_1 \geq 2 \wedge x_2 \leq 2 \Rightarrow \text{false}$

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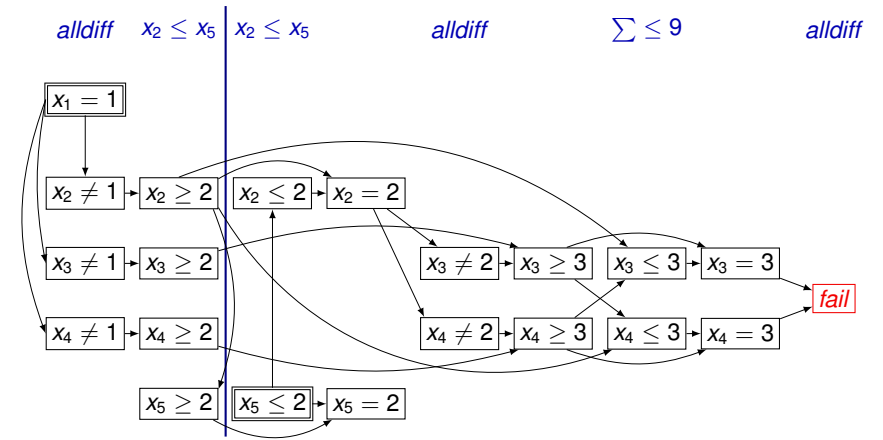
Finite Domain Propagation Example

$D(x_1) = D(x_2) = D(x_3) = D(x_4) = D(x_5) = \{1, \dots, 4\}$

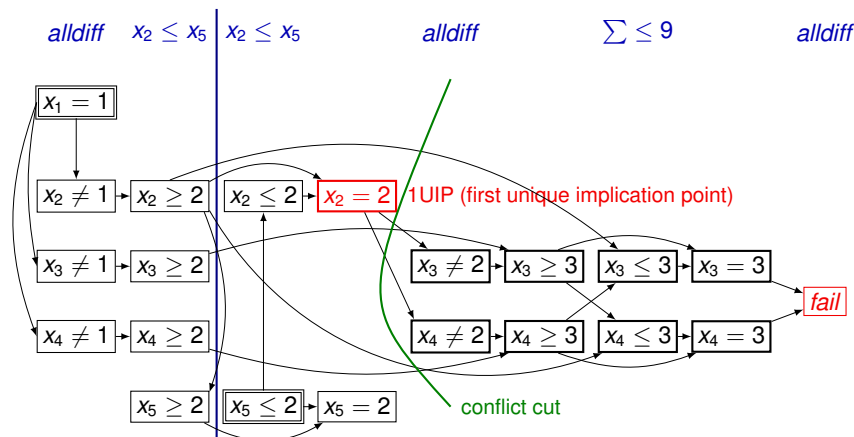
- ▶ $x_2 \leq x_5$
- ▶ all-different(x_1, x_2, x_3, x_4)
- ▶ $x_1 + x_2 + x_3 + x_4 \leq 9$

On the table

Finite Domain Propagation Example



Lazy Clause Generation

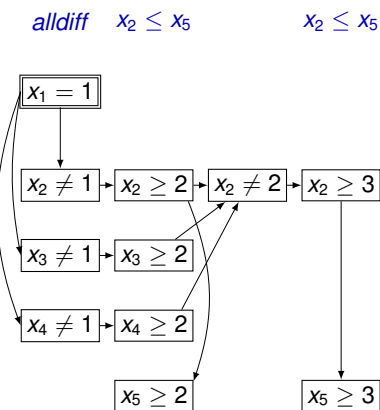


Explanation : $x_2 \geq 2 \wedge x_3 \geq 2 \wedge x_4 \geq 2 \wedge x_2 = 2 \Rightarrow false$

1UIP No-good (learned clause) :

$$[[x_2 \leq 1]] \vee [[x_3 \leq 1]] \vee [[x_4 \leq 1]] \vee \neg[[x_2 = 2]]$$

Non-chronological backtrack (backjumping)



- ▶ Backtrack to **second last** level in the no-good (learned clause)
- ▶ Learned clause will propagate
- ▶ We obtain **smaller domains** than after usual backtracking.
 - ▶ Here : $D(x_2) = \{3, 4\}$