

# Well-Posedness and Optimal Control of an Enhanced Caginalp System

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Talk given at the Institut de Mathématiques de Bordeaux, Talence, France

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# Summary of the presentation

1 Presentation of the problem

2 Optimal Control

3 Objectives

# Presentation of the problem

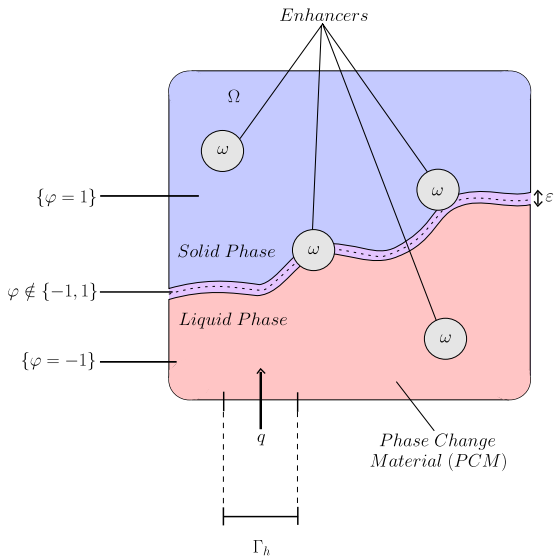


Figure: Representation of the melting

# Three Unknowns

- Phase Field function  $\varphi : [0, T] \times (\Omega \setminus \bar{\omega}) \rightarrow \mathbb{R}$   
 $\rightsquigarrow$  Describes **Mushy Zone** evolution  $\rightsquigarrow$  acts in  $(\Omega \setminus \bar{\omega})$
- Relative Heat enhancers  $u_\omega : [0, T] \times \omega \rightarrow \mathbb{R}$   
 $\rightsquigarrow$  Acting in  $\omega$  and through  $\partial\omega$
- Relative heat Phase Change Material  $u_\Omega : [0, T] \times (\Omega \setminus \bar{\omega}) \rightarrow \mathbb{R}$   
 $\rightsquigarrow$  Acting in  $(\Omega \setminus \bar{\omega})$  and through  $\partial\omega$

# Involved Quantities

- Thermal diffusivity  $\beta > 0$

$$\beta := \frac{\kappa}{\rho c_p},$$

$\kappa$  thermal conductivity,  $\rho$  density,  $c_p$  isobaric specific heat capacity

- Mushy Zone thickness  $\varepsilon > 0$
- Relaxation coefficient  $\alpha > 0$
- Thermal Contact Resistance  $\mathcal{R} > 0$

Assumption: closed system  $\Leftrightarrow \partial_n u_{\Omega}|_{\partial\Omega} = 0$ , isobaric and isochoric process

# Phase Field

Double-Well Potential  $W : \mathbb{R} \rightarrow \mathbb{R}_+ \setminus \{0\}$

(W1)  $W(\pm 1) = W'(\pm 1) = 0;$

(W2)  $W(s) > 0, s \in \mathbb{R} \setminus \{-1, 1\};$

(W3)  $W \in L^\infty(\mathbb{R}) \cap W^{1,\infty}(\mathbb{R}) \cap W^{2,\infty}(\mathbb{R}).$

Ginzburg-Landau type free energy functional

$$\mathcal{J}^\varepsilon(u_\Omega, \varphi) := \int_{\Omega \setminus \bar{\omega}} \left( \frac{\varepsilon}{2} |\nabla \varphi|^2 + \frac{1}{\varepsilon} W(\varphi) - \lambda \varepsilon u_\Omega \left( \int_{-1}^{\varphi} W(s) \, ds \right) \right) dx$$

+ Allen-Cahn assumption

$$(\alpha \partial_t \varphi, \psi)_{L^2(\Omega \setminus \bar{\omega})} = -\nabla_\varphi \mathcal{J}^\varepsilon(u_\Omega, \varphi) \cdot \psi.$$

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$$\rightsquigarrow \begin{cases} \alpha \partial_t \varphi - \varepsilon \Delta \varphi = \varepsilon^{-1} W'(\varphi) + \lambda \varepsilon W(\varphi) u_\Omega \\ \partial_n \varphi = 0. \end{cases}$$

## Relative Heat in PCM

(Fourier Law)  $\rho(\varphi)c_p(\varphi)\partial_t u_\Omega = \operatorname{div}(\kappa(\varphi)\nabla u_\Omega) + f(\varphi, \partial_t \varphi)$

$\rightsquigarrow$  (Conservative form)  $\partial_t u_\Omega = \operatorname{div}(\beta(\varphi)\nabla u_\Omega) + \frac{f(\varphi, \partial_t \varphi)}{\rho(\varphi)c_p(\varphi)}$

(Caginalp Assumption)  $f(\varphi, \partial_t \varphi) = C(\varphi)I(\varphi)\partial_t \varphi$ , where  $I(\varphi)$  is the latent heat of fusion

(Conservation of  $L^2$ -norm)  $C(\varphi) := -\lambda\varepsilon I(\varphi)^{-1}W(\varphi)$ .

$$\rightsquigarrow \begin{cases} \alpha \partial_t \varphi - \varepsilon \Delta \varphi = \varepsilon^{-1} W'(\varphi) + \lambda \varepsilon W(\varphi) u_\Omega \\ \partial_n \varphi = 0 \\ \partial_t u_\Omega - \operatorname{div}(\beta(\varphi)\nabla u_\Omega) = -\lambda \varepsilon W(\varphi) \partial_t \varphi \\ \partial_n u_\Omega ? u_\omega ? \end{cases}$$



# Thermal Contact Resistance

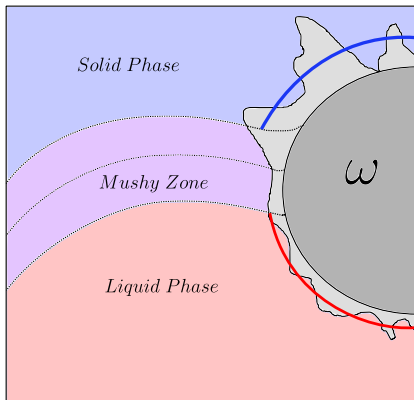


Figure: Thermal Contact Resistance and Phase Field

# Thermal Contact Resistance

Assumptions:

$$\begin{cases} \beta(\varphi)\partial_n u_\Omega = \beta_\omega\partial_n u_\omega & \text{(Fourier Law)} \\ \beta_\omega\partial_n u_\omega = -\mathcal{R}^{-1}(u_\omega - u_\Omega) & \text{(Newton Cooling Law)} \end{cases}$$

Mathematical assumption:  $\mathcal{R} > 0$  includes Thermal Contact Resistance

Physical relevance?

# Thermal Contact Resistance

$$\text{(Newton Cooling Law)} \quad \beta(\varphi)\partial_n u_\Omega = \mathcal{R}(\varphi)^{-1}(u_\Omega - u_\omega)$$

$$\text{(Biot Number)} \quad \mathcal{R}(\varphi)\kappa(\varphi) \approx B_{PCM}(\omega)^{-1} \frac{\mathcal{H}^d(\Omega \setminus \bar{\omega})}{\mathcal{H}^{d-1}(\partial\omega)}$$

$$\rightsquigarrow \begin{cases} \beta(\varphi)\partial_n u_\Omega = \mathcal{R}(\varphi)^{-1}(u_\Omega - u_\omega) \\ \quad = \kappa(\varphi)B_{PCM}(\omega) \left( \frac{\mathcal{H}^d(\Omega \setminus \bar{\omega})}{\mathcal{H}^{d-1}(\partial\omega)} \right)^{-1} (u_\Omega - u_\omega) \\ \beta_\omega \partial_n u_\omega = -\mathcal{R}_\omega^{-1}(u_\omega - u_\Omega) \\ \beta(\varphi)\partial_n u_\Omega = \beta_\omega \partial_n u_\omega \end{cases}$$

$$\rightsquigarrow \kappa(\varphi) \approx \mathcal{R}_\omega^{-1} B_{PCM}(\omega)^{-1} \frac{\mathcal{H}^d(\Omega \setminus \bar{\omega})}{\mathcal{H}^{d-1}(\partial\omega)} \rightsquigarrow \text{Dependence of Phase Field}$$

# The General Model

$$\left\{ \begin{array}{ll} \alpha \partial_t \varphi - \varepsilon \Delta \varphi = -\varepsilon^{-1} W'(\varphi) + \lambda \varepsilon u_\Omega W(\varphi) & \text{in } (0, T] \times (\Omega \setminus \bar{\omega}) \\ \partial_n \varphi = 0 & \text{on } [0, T] \times \partial(\Omega \setminus \bar{\omega}) \\ \partial_t u_\Omega - \operatorname{div}(\beta(\varphi) \nabla u_\Omega) = -\lambda \varepsilon W(\varphi) \partial_t \varphi & \text{in } (0, T] \times \Omega \setminus \bar{\omega} \\ \partial_t u_\omega - \beta_\omega \Delta u_\omega = 0 & \text{in } (0, T] \times \omega \\ -\beta_\omega \partial_n u_\omega = \frac{1}{\mathcal{R}}(u_\Omega - u_\omega) & \text{on } [0, T] \times \partial\omega \\ \beta(\varphi) \partial_n u_\Omega = \beta_\omega \partial_n u_\omega & \text{on } [0, T] \times \partial\omega \\ \beta(\varphi) \partial_n u_\Omega = \mathbb{1}_{\Gamma_h} q \text{ and } \partial_n \varphi = 0 & \text{on } [0, T] \times \partial\Omega \\ u_\Omega|_{t=0} = u_0 \text{ and } \varphi|_{t=0} = \varphi_0 & \text{in } \Omega \\ u_\omega|_{t=0} = v_0 & \text{in } \omega, \end{array} \right.$$

↪ Quasilinear System

# Norm Conservation

Assume  $q = 0$ :

$$\begin{aligned} & \alpha \|\partial_t \varphi(t)\|_{L^2(\Omega \setminus \bar{\omega})}^2 + \varepsilon \|\nabla \varphi(t)\|_{L^2(\Omega \setminus \bar{\omega})}^2 + \frac{1}{2} \frac{d}{dt} \|u_\Omega(t)\|_{L^2(\Omega \setminus \bar{\omega})}^2 \\ & + \frac{1}{2} \frac{d}{dt} \|u_\omega(t)\|_{L^2(\omega)}^2 + \int_{\Omega \setminus \bar{\omega}} \beta(\varphi) |\nabla u_\Omega(t)|^2 dx + \beta_\omega \|\nabla u_\omega(t)\|_{L^2(\omega)}^2 \\ & + \frac{1}{\mathcal{R}} \|u_\Omega(t) - u_\omega(t)\|_{L^2(\partial\omega)}^2 = \frac{1}{\varepsilon} \frac{d}{dt} \left( \int_{\Omega \setminus \bar{\omega}} W(\varphi) dt \right) \end{aligned}$$

$$\rightsquigarrow \begin{cases} u_\omega \in L^\infty([0, T], L^2(\omega)) \cap L^2([0, T], H^1(\omega)) \\ u_\Omega \in L^\infty([0, T], L^2(\Omega \setminus \bar{\omega})) \cap L^2([0, T], H^1(\Omega \setminus \bar{\omega})) \\ \varphi \in L^\infty([0, T], \dot{H}^1(\Omega \setminus \bar{\omega})) \cap \dot{H}^1([0, T], L^2(\Omega \setminus \bar{\omega})) \end{cases}$$

+ estimates for  $\varphi \in L^\infty([0, T], L^2(\Omega \setminus \bar{\omega}))$ .

## A Simplified Case: Enhanced Caginalp

Same physical properties = Caginalp model. Adding enhancers:

$$\left\{ \begin{array}{ll} \alpha \partial_t \varphi - \varepsilon \Delta \varphi = -\varepsilon^{-1} W'(\varphi) + \frac{1}{2} u_\Omega & \text{in } (0, T] \times (\Omega \setminus \bar{\omega}) \\ \partial_n \varphi = 0 & \text{on } [0, T] \times \partial(\Omega \setminus \bar{\omega}) \\ \partial_t u_\Omega - \beta_\Omega \Delta u_\Omega = -\frac{1}{2} \partial_t \varphi & \text{in } (0, T] \times \Omega \setminus \bar{\omega} \\ \partial_t u_\omega - \beta_\omega \Delta u_\omega = 0 & \text{in } (0, T] \times \omega \\ -\mathcal{R} \beta_\omega \partial_n u_\omega = (u_\Omega - u_\omega) & \text{on } [0, T] \times \partial\omega \\ \beta_\Omega \partial_n u_\Omega = \beta_\omega \partial_n u_\omega & \text{on } [0, T] \times \partial\omega \\ \beta_\Omega \partial_n u_\Omega = \mathbb{1}_{\Gamma_h} q \text{ and } \partial_n \varphi = 0 & \text{on } [0, T] \times \partial\Omega \\ u_\Omega|_{t=0} = u_0 \text{ and } \varphi|_{t=0} = \varphi_0 & \text{in } \Omega \\ u_\omega|_{t=0} = v_0 & \text{in } \omega, \end{array} \right.$$

$\rightsquigarrow$  semilinear system

## Results

Semigroup method + fixed point

### Theorem (Well-Posedness)

*Assume  $(u_0, v_0, \varphi_0) \in L^2(\Omega \setminus \bar{\omega}) \times L^2(\omega) \times H^1(\Omega \setminus \bar{\omega})$ ,  
 $q \in H^{\frac{1}{4}}([0, T], L^2(\Gamma_h)) \cap L^2([0, T], H^{\frac{1}{2}}(\Gamma_h))$ . Then, there exists a unique  
strong solution  $(\varphi, u_\omega, u_\Omega)$ .*

## Results

Maximal Regularity + Bootstrap

### Theorem (Hölder Regularity)

Assume  $(u_0, v_0, \varphi_0)$  regular enough. Then, the solution  $(\varphi, u_\omega, u_\Omega)$  satisfies

$$(\varphi, u_\omega, u_\Omega) \in C^{0,\alpha} \left( [0, T], C^{0, \frac{1}{2} + \alpha}(\Omega \setminus \bar{\omega}) \times C^{0, \frac{1}{2} + \alpha}(\omega) \times C^{0, \frac{1}{2} + \alpha}(\Omega \setminus \bar{\omega}) \right),$$

where  $\alpha := \frac{1}{2} - \frac{d}{r}$  for some  $r \in (2d, +\infty)$ .



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# Setting of the problem

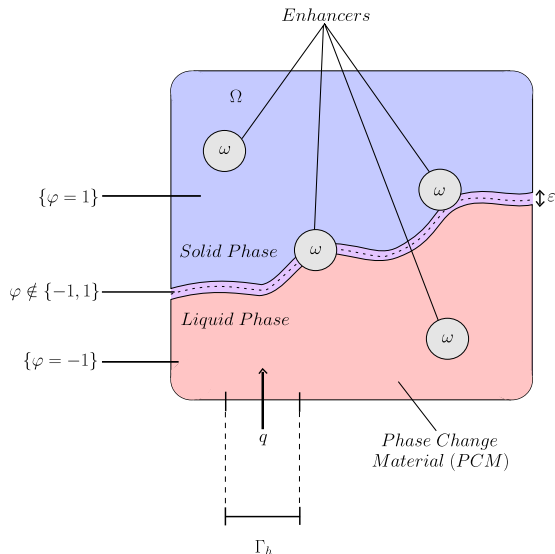


Figure: Find the optimal heat flux  $q$ ?

# Setting of the Problem

Minimize functional for  $q \in L^2(\Gamma_h)$

$$\mathcal{J}^\gamma(q) := \frac{1}{2} \|\varphi(T) - 1\|_{L^2(\Omega \setminus \bar{\omega})}^2 + \frac{1}{2} \|\varphi - 1\|_{L^2([0, T] \times (\Omega \setminus \bar{\omega}))}^2 + \gamma \|q\|_{L^2([0, T] \times \Gamma_h)}^2.$$

- Approach in optimal way melting at final time
- Optimize the cost of  $L^2$  norm melting process
- penalization term for coercivity

**Issue: solution for  $q \in L^2$ ?**

## Result

### Proposition (Weak Well-Posedness)

Let  $(u_0, v_0, \varphi_0) \in L^2(\Omega \setminus \bar{\omega}) \times L^2(\omega) \times H^1(\Omega \setminus \bar{\omega})$  and  $q \in L^2([0, T] \times \Gamma_h)$ .  
Then, the system has a unique (variational) weak solution.

**Sketch:** Approximation by  $(\varphi^m, u_\omega^m, u_\Omega^m)$  for  $q^m$  in case of strong solution  
 $\rightsquigarrow$  satisfy variational formulation  $\rightsquigarrow$  uniform bounds  $\rightsquigarrow$  weak limit +  
uniqueness by a priori estimates and Grönwall.

# Existence of an Optimal Control

## Result

### Proposition (Existence of a Control)

*The functional  $\mathcal{J}^\gamma$  has a minimum over  $L^2(\Gamma_h)$ .*

**Sketch:** Continuity of functional by estimates + Grönwall + coercivity, then use minimizing sequence and weak lower semicontinuity.

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# Objectives

- Uniqueness Control?
- Differentiability functional and characterization minimizer?
- Simulations solution with optimal control
- Quasilinear setting.

Thank you for your  
attention!